

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pratter, Gene E.	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 04/30/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 7614 United States Courthouse Sixth & Market Streets Philadelphia, PA 19106-1752		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	University of Pennsylvania Law School (Adjunct Professor)	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Commonwealth of Pennsylvania (Executive Deputy General Counsel)
2. 2011	Duane Morris LLC (attorney)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.	East River Bank	Mortgage on Rental Property #1, Philadelphia, PA	N
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Adams Harkness Small Growth - Retirement #5		None	J	T					
2. DFA US Small Cap Value - Retirement #5	A	Dividend	J	T					
3. DFA US Large Cap Value - Retirement #5	A	Dividend	J	T					
4. Federated US Gov't Ultrashort - Retirement #5	A	Dividend	K	T	Buy (add'l)	01/31/11	J		
5. First Eagle Overseas - Retirement #5	A	Dividend	J	T					
6. Janus Fund - Retirement #5	A	Dividend	J	T					
7. Janus Overseas - Retirement #5	A	Dividend	J	T					
8. Schwab Money Market - Retirement #5	A	Dividend	J	T					
9. Westcore MIDCO Growth - Retirement #5	A	Dividend	J	T					
10. Janus Mid Cap Value - Retirement #5		None			Sold	01/31/11	J	A	
11. William Blair Int'l Small Growth - Retirement #5	A	Dividend	J	T					
12. Federated Gov't Ultrashort - Retirement #6	A	Dividend	K	T	Buy (add'l)	01/31/11	J		
13. Baron Growth - Retirement #6	A	Dividend	J	T					
14. First Eagle Overseas - Retirement #6	A	Dividend	J	T					
15. Royce Opportunity - Retirement #6	A	Dividend	J	T					
16. Schwab Money Market - Retirement #6	A	Dividend	J	T					
17. Thornburg Value - Retirement #6		None			Sold	01/31/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Janus Overseas - Retirement #6	A	Dividend	J	T					
19. Janus Fund - Retirement #6	A	Dividend	J	T					
20. Sound Shore - Retirement #6	A	Dividend	J	T					
21. Third Avenue Int'l Value - Retirement #6	A	Dividend	J	T					
22. Baron Growth - Retirement #7	B	Dividend	L	T					
23. Brandywine - Retirement #7		None			Sold	01/31/11	M	D	
24. DFA US Micro Cap - Retirement #7	A	Dividend	K	T					
25. DFA Emerging Markets Core Equity - Retirement #7	B	Dividend	L	T	Buy (add'l)	09/22/11	J		
26. DFA International Small Value - Retirement #7	B	Dividend	K	T					
27. DFA International Value - Retirement #7	C	Dividend	M	T					
28. DFA One Year Fixed - Retirement #7	B	Dividend	N	T	Buy (add'l)	01/31/11	K		
29. DFA US Small Cap Value - Retirement #7	B	Dividend	L	T					
30. DFA US Large Cap Value - Retirement #7	B	Dividend	M	T	Sold (part)	01/31/11	J	A	
31. DFA Real Estate Securities - Retirement #7		None			Sold	01/31/11	L	E	
32. Dodge & Cox Stock - Retirement #7	B	Dividend	L	T	Sold (part)	01/31/11	J	A	
33. Federated Gov't Ultrashort - Retirement #7	B	Dividend	N	T	Buy (add'l)	01/31/11	K		
34. First Eagle Overseas - Retirement #7	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Hotchkis & Wiley Mid Cap Value - Retirement #7	A	Dividend	M	T	Buy (add'l)	09/22/11	J		
36. Morgan Stanley International Equity - Retirement #7	C	Dividend	M	T					
37. Morgan Stanley Emerging Markets - Retirement #7	A	Dividend	K	T	Sold (part)	01/31/11	J	A	
38. Royce Micro Cap - Retirement #7	A	Dividend	J	T					
39. Royce Opportunity - Retirement #7	A	Dividend	K	T	Sold (part)	01/31/11	J	C	
40. Schwab Money Market - Retirement #7	A	Dividend	K	T					
41. Westcore Intl Small Cap (former Wes Intl Fr) - Retirement #7	B	Dividend	K	T					
42. Westcore Mid Cap Value - Retirement #7	A	Dividend	M	T	Sold (part)	01/31/11	K	D	
43. Westcore MIDCO Growth - Retirement #7	C	Dividend	L	T	Sold (part)	01/31/11	L	D	
44. William Blair International Growth - Retirement #7	A	Dividend	L	T					
45. Janus Fund - Retirement #7	A	Dividend	L	T	Sold (part)	01/31/11	J	A	
46. Janus Overseas - Retirement #7	D	Dividend	L	T	Buy (add'l)	09/22/11	J		
47. Hotchkis & Wiley Large Cap Value - Retirement #7	B	Dividend	L	T	Buy (add'l)	09/22/11	J		
48. Royce Value Plus - Retirement #7	A	Dividend	K	T					
49. Third Avenue International Value - Retirement #7	B	Dividend	L	T					
50. Turner Large Growth (formerly Turner Core Gr)- Retirement #7		None	M	T					
51. William Blair Large Growth - Retirement #7	A	Dividend	L	T					

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52. Adams Harkness Small Growth - Retirement #7		None	L	T	Sold (part)	01/31/11	J	A	
53. William Blair Int'l Small Growth - Retirement #7	A	Dividend	K	T					
54. Metropolitan West Total Return - Retirement #7	E	Dividend	N	T	Buy (add'l)	01/31/11	K		
55. BlackRock Low Duration - Retirement #7	D	Dividend	N	T	Buy (add'l)	01/31/11	K		
56. Thornburg International Value - Retirement #7	A	Dividend	L	T	Buy (add'l)	09/22/11	J		
57. Ivy Mid Cap Growth - Retirement #7	B	Dividend	L	T	Buy	01/31/11	L		
58. William Blair Mid Cap Growth - Retirement #7	D	Dividend	L	T	Buy	01/31/11	L		
59. DFA Global Real Estate - Retirement #7	B	Dividend	L	T	Buy	01/31/11	L		
60. Schwab Money Market - Trust #1	A	Dividend	J	T					
61. Dodge & Cox Stock - Trust #1	A	Dividend	K	T					
62. Baron Growth - Trust #1	A	Dividend	K	T					
63. Federated Short Term Muni - Trust #1	A	Dividend	K	T					
64. First Eagle Overseas - Trust #1	B	Dividend	K	T					
65. Hotchkis & Wiley Large Cap Value - Trust #1	A	Dividend	J	T					
66. Hotchkis & Wiley Mid Cap Value - Trust #1	A	Dividend	K	T	Sold (part)	01/31/11	J	A	
67. Janus Fund - Trust #1	A	Dividend	K	T					
68. Janus Overseas - Trust #1	B	Dividend	K	T					

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69. Morgan Stanley International Equity - Trust #1	A	Dividend	K	T					
70. Morgan Stanley Emerging Markets - Trust #1	A	Dividend	K	T					
71. Royce Opportunity - Trust #1	A	Dividend	K	T	Sold (part)	01/31/11	J	A	
72. Turner Large Growth (formerly Turner Core Gr)- Trust #1		None	K	T					
73. Westcore Mid Cap Value - Trust #1	A	Dividend	K	T					
74. Westcore MIDCO Growth - Trust #1	B	Dividend	K	T	Sold (part)	01/31/11	J	A	
75. DFA Tax Managed Marketwide Value - Trust #1	A	Dividend	K	T					
76. DFA US Micro Cap - Trust #1	A	Dividend	J	T					
77. Third Avenue International Value - Trust #1	A	Dividend	K	T					
78. Westcore Intl Small Cap (formerly West Intl Fron)- Trust #1	A	Dividend	K	T					
79. DFA Int'l Value - Trust #1	A	Dividend	J	T					
80. DFA US Small Value - Trust #1	A	Dividend	K	T					
81. William Blair Mid Growth - Trust #1	B	Dividend	K	T					
82. DFA Short Term Muni - Trust #1	A	Dividend	K	T					
83. Metropolitan West Total Return - Trust #1	A	Dividend	K	T					
84. Thornburg International Value - Trust #1	A	Dividend	K	T					
85. William Blair Small Cap Growth - Trust #1		None	J	T	Sold (part)	01/31/11	J	A	

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86. DFA Global Real Estate - Trust #1	A	Dividend	J	T	Buy	01/31/11	J		
87. Baron Growth - Brokerage #1	A	Dividend	K	T	Sold (part)	04/18/11	J	B	
88. DFA Tax Mgd US Marketwide Value - Brokerage #1	A	Dividend	K	T	Sold (part)	04/11/11	J	B	
89. DFA US Small Value - Brokerage #1	A	Dividend	K	T					
90. DFA Emerging Markets Core Equity - Brokerage #1	A	Dividend	K	T					
91. DFA Tax Mgd International Value - Brokerage #1	A	Dividend	K	T	Sold (part)	05/09/11	J	A	
92. East River Bank Stock - Brokerage #1		None	K	U					
93. Federated Short Term Muni - Brokerage #1	B	Dividend	L	T	Sold (part)	06/29/11	J	A	
94. Federated Short Term Muni - Brokerage #1		None			Sold (part)	07/11/11	J	A	
95. First Eagle Overseas - Brokerage #1	A	Dividend	J	T					
96. Janus Fund - Brokerage #1	A	Dividend	K	T	Sold (part)	12/29/11	J	B	
97. Janus Overseas - Brokerage #1	B	Dividend	K	T					
98. Janus Mid Cap Value - Brokerage #1		None			Sold	01/31/11	J	A	
99. Morgan Stanley International Equity - Brokerage #1	A	Dividend	K	T	Sold (part)	04/18/11	J	A	
100. Schwab Money Market - Brokerage #1	A	Dividend	J	T					
101. Sound Shore - Brokerage #1	A	Dividend	J	T	Sold (part)	04/11/11	J	A	
102. Sound Shore - Brokerage #1		None			Sold (part)	12/29/11	J	A	

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103. Westcore MIDCO Growth - Brokerage #1	B	Dividend	K	T	Sold (part)	04/11/11	J	B	
104. Westcore MIDCO Growth - Brokerage #1		None			Sold (part)	05/09/11	J	A	
105. Hotchkis & Wiley Large Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	06/29/11	J	A	
106. Hotchkis & Wiley Mid Cap Value - Brokerage #1	A	Dividend	K	T	Buy (add'l)	01/31/11	J		
107. Hotchkis & Wiley Mid Cap Value - Brokerage #1		None			Sold (part)	12/29/11	J	A	
108. Royce Value Plus - Brokerage #1	A	Dividend	K	T	Sold (part)	04/18/11	J	A	
109. Third Avenue International Value - Brokerage #1	A	Dividend	J	T					
110. Thornburg Int'l Value - Brokerage #1	A	Dividend	J	T					
111. William Blair Int'l Small Growth - Brokerage #1	A	Dividend	K	T					
112. William Blair Large Growth - Brokerage #1	A	Dividend	K	T	Sold (part)	05/09/11	J	B	
113. William Blair Mid Cap Growth - Brokerage #1	B	Dividend	K	T	Sold (part)	04/18/11	J	B	
114. William Blair Mid Cap Growth - Brokerage #1		None			Sold (part)	12/29/11	J	A	
115. William Blair Small Cap Growth - Brokerage #1		None	J	T					
116. Westcore Mid Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	04/18/11	J	B	
117. Westcore Mid Cap Value - Brokerage #1		None			Sold (part)	06/29/11	J	A	
118. DFA Int'l Small Value - Brokerage #1	A	Dividend	J	T					
119. DFA Short Term Muni - Brokerage #1	B	Dividend	L	T	Sold (part)	05/09/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pratter, Gene E.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. DFA Short Term Muni - Brokerage #1		None			Sold (part)	09/09/11	J	A	
121. DFA Short Term Muni - Brokerage #1		None			Sold (part)	11/28/11	J	A	
122. Metropolitan West Total Return - Brokerage #1	C	Dividend	K	T	Sold (part)	06/29/11	J	A	
123. Metropolitan West Total Return - Brokerage #1		None			Sold (part)	11/28/11	J	A	
124. Royce Micro Cap - Brokerage #1	A	Dividend	J	T	Buy (add'l)	01/31/11	J		
125. William Blair Int'l Growth - Brokerage #1	A	Dividend	J	T	Buy (add'l)	01/31/11	J		
126. Thornburg Limited Term Municipal - Brokerage #1	B	Dividend	L	T	Sold (part)	09/09/11	K	A	
127. DFA Global Real Estate - Brokerage #1	A	Dividend	J	T	Buy	01/31/11	J		
128. Baron Growth - Brokerage #2	A	Dividend	K	T					
129. DFA US Micro Cap - Brokerage #2	A	Dividend	J	T					
130. DFA Tax Mgd Marketwide Value - Brokerage #2	A	Dividend	K	T					
131. DFA US Small Value - Brokerage #2	A	Dividend	J	T					
132. DFA Tax Mgd Int'l Value - Brokerage #2	A	Dividend	J	T					
133. Dodge & Cox Stock - Brokerage #2	A	Dividend	J	T	Sold (part)	01/31/11	J	A	
134. Federated Short Term Muni - Brokerage #2	B	Dividend	L	T					
135. First Eagle Overseas - Brokerage #2	A	Dividend	J	T					
136. Hotchkis & Wiley Large Cap Value - Brokerage #2	A	Dividend	J	T	Buy (add'l)	01/31/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Hotchkis & Wiley Mid Cap Value - Brokerage #2	A	Dividend	K	T					
138. Janus Fund - Brokerage #2	A	Dividend	K	T					
139. Janus Overseas - Brokerage #2	A	Dividend	J	T					
140. Morgan Stanley International Equity - Brokerage #2	A	Dividend	J	T	Sold (part)	01/31/11	J	A	
141. Morgan Stanley Emerging Markets - Brokerage #2	A	Dividend	J	T					
142. Royce Opportunity - Brokerage #2	A	Dividend	J	T					
143. Schwab Money Market - Brokerage #2	A	Dividend	J	T					
144. Third Avenue International Value - Brokerage #2	A	Dividend	K	T					
145. Turner Large Growth (formerly Turner Core Gr)- Brokerage #2		None	K	T					
146. Westcore Mid Cap Value - Brokerage #2	A	Dividend	J	T	Sold (part)	01/31/11	J	A	
147. Westcore MIDCO Growth - Brokerage #2	B	Dividend	K	T	Sold (part)	01/31/11	J	A	
148. Westcore International Small Cap - Brokerage #2	A	Dividend	J	T	Sold (part)	01/31/11	J	A	
149. Metropolitan West Total Return - Brokerage #2	B	Dividend	K	T					
150. Thornburg Int'l Value - Brokerage #2	A	Dividend	J	T					
151. DFA Short Term Muni - Brokerage #2	A	Dividend	L	T	Buy (add'l)	01/31/11	J		
152. DFA Global Real Estate - Brokerage #2	A	Dividend	J	T	Buy	01/31/11	J		
153. DFA One Year Fixed - Retirement #8	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Federated Gov't Ultrashort - Retirement #8	A	Dividend	M	T	Buy (add'l)	01/31/11	K		
155. Adams Harkness Small Growth - Retirement #8		None	K	T					
156. Baron Growth - Retirement #8	A	Dividend	K	T					
157. Brandywine Fund - Retirement #8		None			Sold	01/31/11	L	A	
158. DFA Emerging Markets Core Equity - Retirement #8	A	Dividend	K	T					
159. DFA International Value - Retirement #8	C	Dividend	L	T					
160. DFA US Large Value - Retirement #8	B	Dividend	L	T					
161. DFA US Small Value - Retirement #8	B	Dividend	L	T					
162. DFA US Micro Cap - Retirement #8	A	Dividend	K	T					
163. Dodge & Cox Stock - Retirement #8	A	Dividend	L	T					
164. DFA Int'l Small Cap Value - Retirement #8	B	Dividend	K	T					
165. First Eagle Overseas - Retirement #8	B	Dividend	K	T					
166. Hotchkis & Wiley Large Cap Value - Retirement #8	B	Dividend	L	T	Sold (part)	01/31/11	J	A	
167. Hotchkis & Wiley Mid Cap Value - Retirement #8	A	Dividend	L	T					
168. Janus Fund - Retirement #8	A	Dividend	L	T	Sold (part)	01/31/11	J	B	
169. Janus Mid Cap Value - Retirement #8		None			Sold	01/31/11	K	B	
170. Janus Overseas - Retirement #8	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Morgan Stanley Emerging Markets - Retirement #8	A	Dividend	K	T					
172. Morgan Stanley International Equity - Retirement #8	B	Dividend	L	T					
173. Royce Opportunity - Retirement #8	A	Dividend	K	T	Sold (part)	01/31/11	J	A	
174. Royce Value Plus - Retirement #8	A	Dividend	K	T					
175. Schwab Money Market - Retirement #8	A	Dividend	K	T					
176. Third Avenue International Value - Retirement #8	A	Dividend	L	T					
177. Turner Large Growth (formerly Turner Core Gr)- Retirement #8		None	K	T					
178. Westcore Intl Small Cap (former West Intl Fr)- Retirement #8	A	Dividend	K	T					
179. Westcore Mid Cap Value - Retirement #8	A	Dividend	L	T					
180. Westcore MIDCO Growth - Retirement #8	C	Dividend	L	T	Sold (part)	01/31/11	L	A	
181. William Blair International Growth - Retirement #8	A	Dividend	L	T					
182. William Blair Large Cap Growth - Retirement #8	A	Dividend	L	T					
183. William Blair Intl Small Growth - Retirement #8	A	Dividend	K	T					
184. BlackRock Low Duration - Retirement #8	D	Dividend	M	T					
185. Metropolitan West Total Return - Retirement #8	D	Dividend	M	T					
186. Thornburg Int'l Value - Retirement #8	A	Dividend	K	T					
187. William Blair Small Growth - Retirement #8		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. William Blair Mid Growth - Retirement #8	C	Dividend	L	T	Buy	01/31/11	L		
189. Ivy Mid Growth - Retirement #8	B	Dividend	L	T	Buy	01/31/11	L		
190. DFA Global Real Estate - Retirement #8	B	Dividend	K	T	Buy	01/31/11	K		
191. Equitable Variable Life - Alliance Common Stock		None	L	T	Buy (add'l)	02/03/11	J		
192. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	04/21/11	J		
193. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	07/25/11	J		
194. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	11/02/11	J		
195. Equitable Variable Life - Alliance International		None	K	T	Buy (add'l)	02/03/11	J		
196. Equitable Variable Life - Alliance International		None			Buy (add'l)	04/21/11	J		
197. Equitable Variable Life - Alliance International		None			Buy (add'l)	07/25/11	J		
198. Equitable Variable Life - Alliance International		None			Buy (add'l)	11/2/11	J		
199. Carlyle Real Estate LLP	A	Dividend	J	T					
200. Wheeler Terrace LTD	A	Dividend	J	T					
201. PNC Bank Accounts	A	Dividend	J	T					
202. Nantucket Bank Account	A	Dividend	J	T					
203. Wescott Nova LP		None	J	T					
204. Rental Property #1, Philadelphia, PA (2010 \$760,000)	D	Rent	O	R					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Pratter, Gene E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gene E. Pratter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544