

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lange, Roberto A.	2. Court or Organization District of South Dakota	3. Date of Report 10/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Suite 413 225 S. Pierre Street Pierre, SD 57501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Eastman Lange LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Eastman Lange LLC		None	L	W					
2. New York Life universal policy from 1992	A	Dividend	K	T					
3. New York Life universal policy from 1995	A	Dividend	K	T					
4. First Bank & Trust account	A	Interest	K	T					
5. Merrill Lynch IRA brokerage account									
6. --American Century (ACITX)		Dividend			Sold	01/08/14	J	D	
7. --BlackRock Eq Div (MADVX)	C	Dividend	L	T	Buy (add'l)	03/25/14	J		
8. ----(MADVX continued)					Buy (add'l)	07/21/14	J		
9. ----(MADVX continued)					Sold (part)	10/14/14	J	A	
10. ----(MADVX continued)					Buy (add'l)	12/16/14	J		
11. --Cohen & Steers Realty (CSRSX)		Dividend			Sold	01/08/14	K	E	
12. --Dreyfus Appreciation (DGAGX)	B	Dividend	K	T	Buy (add'l)	12/31/14	J		
13. --Lazard Emerging (LZEMX)	A	Dividend	J	T	Sold (part)	03/25/14	J	A	
14. ----(LZEMX continued)					Sold (part)	06/20/14	J	A	
15. ----(LZEMX continued)					Buy (add'l)	12/24/14	J		
16. --Mainstay Lg Cap (MLAIX)	C	Dividend	L	T	Sold (part)	01/08/14	J	C	
17. ----(MLAIX continued)					Sold (part)	06/20/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ---{(MLAIX continued)}					Buy (add'l)	12/05/14	J		
19. --MFS Bond (MRBIX)	A	Dividend	K	T	Buy (add'l)	01/08/14	J		
20. ---{(MRBIX continued)}					Buy (add'l)	06/02/14	J		
21. ---{(MRBIX continued)}					Buy (add'l)	06/20/14	J		
22. ---{(MRBIX continued)}					Buy (add'l)	12/31/14	J		
23. --Neuberger High Inc (NHILX)	A	Dividend	J	T	Sold (part)	01/08/14	J	A	
24. ---{(NHILX continued)}					Buy (add'l)	12/31/14	J		
25. --Oppenheimer Rising Div Y (OYRDX)	C	Dividend	K	T	Sold (part)	01/08/14	J	A	
26. ---{(OYRDX continued)}					Buy (add'l)	03/24/14	J		
27. ---{(OYRDX continued)}					Sold (part)	03/25/14	J	B	
28. ---{(OYRDX continued)}					Buy (add'l)	12/05/14	J		
29. --Principal Preferred SEC (PPSPX)		Dividend			Sold	01/08/14	J	D	
30. --TCW Total Return (TGLMX)	A	Dividend	K	T	Buy (add'l)	01/08/14	J		
31. ---{(TGLMX continued)}					Buy (add'l)	12/31/14	J		
32. --MFS Emerging Markets (MEDIX)	A	Dividend			Sold	01/08/14	J	D	
33. --Oakmark Internat'l Fund (OAKIX)	C	Dividend	L	T	Buy	01/08/14	J		
34. ---{(OAKIX continued)}					Buy (add'l)	03/25/14	J		

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35. ----(OAKIX continued)					Buy (add'l)	12/22/14	J		
36. --John Hancock VF Class (JCVIX)	A	Dividend	L	T	Buy (add'l)	01/08/14	J		
37. ----(JCVIX continued)					Buy (add'l)	12/18/14	J		
38. --Leg Mason ClearBridge Sm Cap (SBYPX)	A	Dividend	J	T	Sold (part)	01/08/14	J	A	
39. ----(SBYPX continued)					Buy (add'l)	12/12/14	J		
40. --Victory Small Co Opp (VSOYX)	B	Dividend	J	T	Buy	01/08/14	J		
41. ----(VSOYX continued)					Sold (part)	03/25/14	J	A	
42. ----(VSOYX continued)					Buy (add'l)	12/31/14	J		
43. --Pimco Total Return (PTTPX)	B	Dividend	K	T	Buy	01/08/14	K		
44. ----(PTTPX continued)					Buy (add'l)	12/31/14	J		
45. --Oppenheimer Senior Class C (OOSCX)	B	Dividend	K	T	Buy (add'l)	12/31/14	J		
46. --Loomis Sayles Strategic (NECZX)	C	Dividend	K	T	Buy	12/18/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line items 5 through 44 of Part VII are part of one Merrill Lynch account that is an Individual Retirement Account ("IRA"). That IRA account was opened in late 2009 and funded at the inception of 2010 with monies from liquidation of Judge Lange's 401(k) account from his previous employment with Davenport Evans Hurwitz & Smith LLP ("DEHS"). An effort has been made to simplify investments in this account so as to simplify this annual disclosure. The investment listed on line 32 had a small dividend in 2014 before it was sold in full.

Judge Lange previously disclosed the 529 college savings plans in existence since 2004 for the benefit of Judge Lange's [REDACTED] Judge Lange has no investment control over his children's 529 Plans. He serves as neither the custodian nor account owner. These were listed out of an abundance of caution and in favor of full disclosure. On September 9, 2015, the Judicial Conference of the United States Committee on Financial Disclosure requested that the report be amended as the disclosure left it unclear whether the 529 Plans are reportable. The 529 Plans accordingly are no longer being listed as they appear to be unnecessary to report under the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Roberto A. Lange**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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