

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Robert B.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 05/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice Chairman, Board of Directors	WVU College of Law Alumni Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TimeWarner Inc. (common)	B	Dividend	L	T					
2. Proctor & Gamble Co. (common)	C	Dividend	L	T	Sold (part)	02/25/14	K	D	
3.					Buy (add'l)	04/15/14	J		
4.					Sold (part)	12/11/14	K	D	
5. Intel Corp. (common)	C	Dividend	J	T	Sold (part)	12/11/14	J	C	
6. Microsoft Corp. (common)	B	Dividend			Sold	04/02/14	K	D	
7. Morgan Stanley Co. (common)	A	Dividend	L	T	Sold (part)	09/08/14	K	A	
8. Starbucks Corp. (common)	B	Dividend	L	T	Sold (part)	02/25/14	K	D	
9.					Sold (part)	12/11/14	J	A	
10. Legg Mason Clearbridge Aggressive Growth Fund	A	Dividend	L	T					
11. E.I. DuPont De Nemours & Co. (common)	A	Dividend	J	T	Buy (add'l)	04/15/14	J		
12. (H) Mineral interests, Tyler County, WV See below a - f		None							
13. - a. HG Energy, successor to East Resources, Inc.	B	Royalty	J	W					
14. - b. Ergon Oil Purchasing		None	J	W					
15. - c. CNX Gas, successor to Consol Gas Co.		None	J	W					
16. - d. Jay-Bee Production	C	Royalty	J	W					
17. - e. BD Oil Gathering Corp.		None	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - f. Texas Keystone, Inc.	A	Royalty	J	W					
19. - g. Petroedge Energy (X)	A	Royalty	J	W					
20. - h. Postrock Eastern Production	A	Royalty	J	W					
21. - i. American Energy - Marcellus	A	Royalty	J	W					
22. - j. Artero Resources Corp.	D	Royalty	J	W					
23. Mineral Interests, Doddridge County, WV	A	Royalty	J	W					
24. Mineral Interests, Wetzel County, WV		None	J	W					
25. Time Warner Cable Inc. (common)	A	Dividend	L	T					
26. Time Inc. (common) (See Part VIII)	A	Dividend			Spinoff (from line 25)	06/12/14	J		
27.					Sold	08/26/14	J	A	
28. AOL Inc. (common)		None			Sold	08/26/14	J	A	
29. First Trust Value Index	A	Dividend			Sold	03/21/14	K	A	
30. Prudential Short Term Corp. Bond Z	A	Dividend	L	T					
31. Apple Inc.	A	Dividend	K	T	Buy (add'l)	09/25/14	K		
32. Pepsico	A	Dividend	K	T					
33. American Century Equity Income Fund	A	Dividend	L	T					
34. Blackrock Global Allocation Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Prudential Global Real Estate Fund Class 2	A	Dividend	K	T	Sold (part)	02/04/14	K	B	
36. Prudential Short Term Corp. Bond Inc. Z	B	Dividend	L	T	Sold (part)	03/25/14	K	B	
37. Home Depot Inc.	A	Dividend			Sold (part)	03/21/14	K		
38.					Sold	07/01/14	J	A	
39. Pfizer Inc.	A	Dividend			Sold	03/21/14	K		
40. Hess Corp.	A	Dividend	K	T	Buy (add'l)	09/25/14	K		
41. Kraft Foods	A	Dividend	K	T					
42. John Hancock Classic Value Fund	A	Dividend			Sold	04/11/14	J		
43. MSF Emerging Markets Debt Fund	A	Dividend			Sold	01/08/14	J		
44. Oakmark Intl. Fund	A	Dividend			Sold (part)	01/08/14	J	A	
45.					Buy (add'l)	03/25/14	J		
46.					Sold	04/11/14	J	A	
47. Facebook		None	K	T	Sold (part)	01/14/14	K	D	
48. Blackrock Equity Dividend Fund	A	Dividend			Buy	03/25/14	J		
49.					Sold	04/11/14	J	A	
50. Cohen & Steers Realty Fund (See Part VIII)	A	Dividend			Sold	04/11/14	J	A	
51. Lazard Emerging Markets	A	Dividend			Sold	03/25/14	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Mainstay Large Cap Growth Fund	A	Dividend			Sold	04/11/14	J	A	
53. Neuberger Berman High Inc. Bond Fund	A	Dividend			Sold (part)	01/08/14	J	A	
54.					Sold	04/11/14	J	A	
55. Oppenheimer Rising Dividends Fund	A	Dividend			Sold	03/25/14	J	A	
56. Principal Preferred Sec. Fund	A	Dividend			Sold	01/08/14	J	A	
57. TCW Total Return Bond Fund	A	Dividend			Buy (add'l)	01/08/14	J		
58.					Sold	04/11/14	J	A	
59. Walmart stores (Common)	A	Dividend	J	T	Buy	04/15/14	J		
60. United Technology (Common)	A	Dividend	J	T	Buy	04/15/14	J		
61. Raytheon (Common)	A	Dividend	J	T	Buy	04/15/14	J		
62. Paychex Inc. (Common)	A	Dividend	J	T	Buy	04/15/14	J		
63. Northrop Grumman Corp. (Common)	A	Dividend	J	T	Buy	04/15/14	J		
64.					Sold (part)	12/08/14	J	A	
65. Norfolk Southern (Common)	A	Dividend	J	T	Buy	04/15/14	J		
66. McDonalds Corp. (Common)	A	Dividend	J	T	Buy	04/15/14	J		
67. Linear Technology Corp. (Common)	A	Dividend	J	T	Buy	04/15/14	J		
68. Johnson & Johnson (Common)	A	Dividend	J	T	Buy	04/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Genuine Parts Co. (Common)	A	Dividend	J	T	Buy	04/15/14	J		
70. Emerson Electric (Common)	A	Int./Div.	J	T	Buy	04/15/14	J		
71. Exxon Mobil (Common)	A	Dividend	J	T	Buy	04/15/14	J		
72. CSX Corp. (common)	A	Dividend	J	T	Buy	04/15/14	J		
73. Automatic Data (common)	A	Dividend	J	T	Buy	04/15/14	J		
74. General Dynamics Corp. (common)	A	Dividend	J	T	Buy	04/15/14	J		
75.			J	T	Sold (part)	12/18/14	J	A	
76.									
77.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 26, Time Inc. - Acquired as stock dividend from TWX on 6/12/14. Sold on 8/26/14.

Line 50, Cohen & Steers Realty Fund - 2013 report showed the asset was sold on 10/23/13. This should have been reported as a partial sale on 10/23/13. All of this asset was sold on 4/11/14.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert B. King

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544