

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vratil, Kathryn H.	2. Court or Organization District of Kansas	3. Date of Report 7/30/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court 500 State Avenue Suite 529 Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Director	Federal Judges Association
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

7/30/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	AT&T Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke Law School	April 6-7, 2017	Atlanta, GA	Program on women and minorities in leadership positions in MDL cases and Class Action	Travel, Lodging, and Subsistence
2. Federal Judges Association	May 24-25, 2017	Washington, DC	Board of Directors meeting	Travel, Lodging, and Subsistence
3. Duke Law School	June 8-9, 2017	Raleigh-Durham, NC	Faculty at LLM Program	Travel, Lodging, and Subsistence
4. Emory Law School	September 13-15, 2017	Atlanta, GA	Program on diversity and leadership in MDL cases	Travel, Lodging, and Subsistence
5. Women En Masse	September 24-26, 2017	Aspen, CO	Program on strategies to diversify leadership in MDL cases	Travel, Lodging, and Subsistence

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting	Date of Report
Vratil, Kathryn H.	7/30/2018

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

7/30/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Navient	Student Loans	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

7/30/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Prudential Life Ins. Policies (whole life) (Y)									
2. Massachusetts Mutual Life Ins. Policy (whole life) (Y)									
3. iShares MSCI Emrg Mkt Fd Emerging		None			Sold (part)	04/13/17	J	A	
4.					Sold	04/21/17	J	C	
5. Technology Select Sector SPDS a/k/a Sector	A	Dividend	K	T	Sold (part)	04/21/17	K	E	
6. IRA #1 (H)									
7. Othologic Corp		None	J	T					
8. California Amplifier		None	J	T					
9. Schwab Cash Reserves	A	Interest			Sold	04/11/17	J	A	
10. Bond Fund of America C1 C	A	Dividend			Sold	05/22/17	J		
11. Capital World Grth & Incm C1 C	A	Dividend			Sold	06/13/17	J	A	
12. Europacific Growth Fund C1 C		None			Sold	06/13/17	J	A	
13. Franklin Founding Fd Alloc C		None			Sold	06/13/17	J	A	
14. Growth Fund of America C1 C		None			Sold	06/13/17	J	A	
15. Investment Co of America C1 C	A	Dividend			Sold	06/13/17	J	A	
16. Lord Abbett Developing Grwth A		None			Sold	05/22/17	J		
17. Washington Mutual Invs FD F1	A	Dividend			Sold	06/13/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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☐ NONE (No reportable income, assets, or transactions.)

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18. Cash account-Edward Jones		None			Sold	06/08/17	J		
19. Fidelity Government Cash Reserves	A	Interest	J	T	Buy	04/11/17	J		
20. Fidelity 500 Index Premium Class	A	Dividend	K	T	Buy	04/20/17	K		
21.					Sold (part)	08/11/17	J	A	
22. Matthews Asia Dividend Fund	B	Dividend	K	T	Buy	04/20/17	K		
23. American New World Fund C1 F2	A	Dividend	J	T	Buy	06/14/17	J		
24. Fidelity Government Cash Reserves	A	Dividend	J	T	Buy	06/12/17	J		
25. Trust #1 (H)									
26. Schwab Cash Reserves Sweep Shares (cash)	A	Interest	K	T					
27. Schwab Deposit Account	A	Interest			Closed	04/12/17	K	A	
28. Wyandotte ETC K 4.125%	A	Interest	J	T					
29. KS ST DEV FA 4.4%	A	Interest			Redeemed	05/01/17	J		
30. Olathe KS 4% 29 DB	A	Interest	J	T					
31. Wyandotte ETC KS 4.75%	A	Interest	J	T					
32. Fidelity Government Cash Reserves	A	Interest	K	T	Buy	04/12/17	K		
33. Fidelity Cash Account	A	Interest	K	T	Buy	04/12/17	K		
34. Fidelity Momentum Factor ETF	A	Interest	L	T	Buy	06/06/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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35.					Buy (add'l)	07/10/17	K		
36.					Buy (add'l)	07/31/17	K		
37. Fidelity Dividend ETF for Rising Rates	B	Dividend	L	T	Buy	06/06/17	K		
38.					Buy (add'l)	07/10/17	K		
39.					Buy (add'l)	07/31/17	J		
40. iShares Core S&P Mid-Cap ETF	A	Dividend	K	T	Buy	06/06/17	J		
41.					Buy (add'l)	07/10/17	J		
42.					Buy (add'l)	08/11/17	J		
43. Vanguard Tax Managed Bal PRTF Adm	A	Dividend	L	T	Buy	06/06/17	K		
44. American Euro Pacific Growth Fund Cl F2	B	Dividend	L	T	Buy	07/31/17	K		
45.					Buy (add'l)	08/31/17	K		
46.					Buy (add'l)	10/02/17	K		
47. Fidelity Advisor Small Cap Growth Cl Z	B	Dividend	K	T	Buy	07/31/17	J		
48.					Buy (add'l)	08/29/17	J		
49.					Buy (add'l)	09/29/17	J		
50. Fidelity Select Materials Portfolio	A	Dividend	K	T	Buy	07/31/17	J		
51.					Buy (add'l)	08/29/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Vratil, Kathryn H.

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52.					Buy (add'l)	09/29/17	J		
53. Vanguard GL Minimum Volatility Investor	B	Dividend	L	T	Buy	07/31/17	K		
54.					Buy (add'l)	08/30/17	K		
55.					Buy (add'l)	10/02/17	K		
56. Vanguard Index FDS Vanguard REIT ETF	A	Dividend	J	T	Buy	07/31/17	J		
57. Kentucky St PPPY & BLDGS Commn	A	Dividend	J	T	Buy	08/24/17	J		
58. Brevard Cnty FLA Health Facs	A	Dividend	J	T	Buy	08/30/17	J		
59. Middlesex Cnty NJ CTFS PARTN REF COPS Civic Square II Redev Assoc	A	Dividend	K	T	Buy	09/28/17	K		
60. Perry TWP Multi Sch Bldg Corp		None	J	T	Buy	10/06/17	J		
61. Washington Fed Hwy Gmt		None	K	T	Buy	10/17/17	K		
62. District Columbia Rev Deed Tax Rev Bds	A	Interest	J	T	Buy	10/20/17	J		
63. Kentucky St Tpk Auth Economic Dev Rd		None	J	T	Buy	10/20/17	J		
64. University Alaska Univ Revs Gen Rev Bds		None	J	T	Buy	10/20/17	J		
65. Corpus Christi Tex Util Sys Rev		None	K	T	Buy	10/26/17	K		
66. Arizona St Transn Brd Grant Antic Nts		None	K	T	Buy	10/31/17	K		
67. Houson Tex Cmnty College Rev		None	J	T	Buy	11/29/17	J		
68. Mississippi Dev Bk Spl Oblig Walnut Grove Youth Corr		None	K	T	Buy	11/30/17	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

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69. King Cty Wash School Dist No 400 Mercer	A	Dividend	J	T	Buy	11/03/17	J		
70. University Tex Univ Revs Rev Ref Bds		None	K	T	Buy	11/03/17	K		
71. Miami-Dade Cnty Fla Health Facs Auth		None	K	T	Buy	11/06/17	K		
72. Washington St Health Care Facs Auth Rev		None	K	T	Buy	11/06/17	K		
73. Trust #2 (H)									
74. Commerce Bank (cash)	A	Interest	J	T					
75. Trust #3 (H)									
76. Bank of America (cash)	A	Interest	K	T					
77. Series E Savings Bonds (X)		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

7/30/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 27: Due to a typographical error, this asset was inadvertently listed in my 2016 report as being part of Trust #2.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

7/30/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathryn H. Vratil

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544