

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanan, Beth E.	2. Court or Organization U.S. Bankruptcy Court, Eastern District of Wisconsin	3. Date of Report 05/19/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 517 E. Wisconsin Ave. Room 140 Milwaukee WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, board of trustees (Jan. 2012-April, 2015)	Catholic Charities of the Archdiocese of Milwaukee, Inc.
2. Member (2006-May, 2015)	Gass Weber Mullins LLC
3. Financial power of attorney	POA 1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999-2004	Reinhart Boerner Van Deuren s.c. Retirement Income Plan, with former law firm, no control (defined benefit pension plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015 part	Business net income from Gass Weber Mullins LLC membership interest	\$57,381.00
2. 2015 part	US Judges Admin office - salary	
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Patina Solutions Group Inc. salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. FJC	3/2-5/15	New York NY	FJC education seminar for new bankruptcy judges	\$932
2. FJC	8/4-7/15	Washington DC	FJC education seminar for bankruptcy judges	\$1352
3. FJC	11/16-20/15	Washington DC	FJC education seminar for new bankruptcy judges	\$1738
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	State Bar of Wis. bankruptcy section	co-sponsorship of Investiture Reception, Oct. 9 2015	\$500.00
2.	Eastern Dist. of Wis. Bar Ass'n	co-sponsorship of Investiture Reception, Oct. 9 2015	\$500.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	credit card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Liquid Asset Fund	A	Interest	J	T					
2. Franklin Mutual Global Discovery	D	Dividend	L	T					
3.					Buy (add'l)	07/20/15	K		
4.					Sold (part)	06/09/15	J		
5.					Sold (part)	05/15/15	J		
6.									
7. MFS Global Alternative Strategy aka MFS Diverse Target Return	A	Dividend	K	T	Sold (part)	05/15/15	J	A	
8.					Sold (part)	06/09/15	J	A	
9.					Buy (add'l)	06/20/15	J		
10.					Buy (add'l)	07/20/15	J		
11.									
12. Oppenheimer Developing Markets	A	Dividend	L	T	Sold (part)	05/15/15	J	A	
13.					Buy (add'l)	06/09/15	J		
14.					Buy (add'l)	07/20/15	J		
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Oppenheimer Equity Income	D	Dividend	M	T	Sold (part)	05/15/15	J	A	
19.					Buy (add'l)	06/09/15	J		
20.					Buy (add'l)	07/20/15	K		
21.									
22.									
23.									
24. PIMCO Commodity Real Return Strategy	B	Dividend	K	T	Sold (part)	05/15/15	J	A	
25.					Buy (add'l)	06/09/15	J		
26.					Buy (add'l)	07/20/15	J		
27.									
28.									
29.									
30. Touchstone Sands Capital Select Growth	D	Dividend	M	T	Sold (part)	05/15/15	J	A	
31.					Sold (part)	06/09/15	J	A	
32.					Buy (add'l)	07/20/15	K		
33.									
34.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35.									
36. Voya Global Real Estate aka ING Global Real Estate	A	Dividend	K	T	Sold (part)	05/15/15	J	A	
37.					Sold (part)	06/09/15	J	A	
38.					Buy (add'l)	07/20/15	J		
39.									
40.									
41. Voya MidCap Opportunities aka ING MidCap Opportunities	C	Dividend	M	T	Sold (part)	05/15/15	J	A	
42.					Sold (part)	06/09/15	J	A	
43.					Buy (add'l)	07/20/15	J		
44.									
45. Jackson Variable annuity- Jackson National S&P Managed Aggr. Growth	A	None	M	T					
46. Gass Weber Mullins LLC 2.5% membership interest (GWM)					Redeemed	05/27/15	J	A	LLC redemption
47. John Hancock USA, US Group Parent Manulife Financial - GWM 401k plan	A	Dividend	J	T	Distributed	07/17/15	M		rollover- Morgan Stanley
48. Patina Solutions Inc. common stock	B	Dividend	L	T					
49. Patina Solutions Inc. common stock	A	Dividend	J	T					
50. Patina Solutions Inc.	A	Dividend	K	T					
51. Howick Associates - abandon worthless securities		None	J	T		09/26/15	J	A	Abandon

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Howick Associates - 1/3 interest in Principal Financial Grp	A	Dividend	K	T					
53.									
54.									
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									
63.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. I have a small interest in a law firm retirement plan where I worked as an associate until 2004. It was not available for withdrawal in 2015.

III. My spouse took a partial distribution/withdrawal from his 401k. Not sure if this needs to be reported, as it isn't really income.

IV. I reported the Federal Judicial Center reimbursements, even though one read of the instructions might be that I need not have done that.

VII. When I left the law firm, I rolled over my 401k (John Hancock) into the mutual funds held by Morgan Stanley, in which we already had some interest. See lines 2-43.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Beth E. Hanan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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