

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Slomsky, Joel H.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 05/2/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, Pennsylvania 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2015	Joel Harvey Slomsky Individual Retirement Account (IRA), control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

--Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. New York Intellectual
Property Lawyers Assn.

4/1-2/2016

New York, New York

Annual Event to Honor
Federal Judges

Hotel, Food, Transportation, Seminar

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. --Mercantile Commercebank N.A. (CD)	A	Interest			Redeemed	12/27/16	K	A	
3. --Federated Prime Mgmt. Obligations Fund	A	Dividend	K	T					
4. --iShares Preferred Stock Index Fund	A	Dividend	J	T					
5. IRA #2									
6. --Vanguard Wellington Fund	D	Dividend	M	T					
7. --Vanguard Wellington Fund					Sold (part)	08/03/16	K		
8. --Verizon Communications Inc. (Common Stock)	B	Dividend	K	T					
9. --Franklin Income Fund	C	Dividend	L	T					
10. --Franklin Income Fund	A	Dividend	J	T	Buy (add'l)	08/30/16	J		
11. --iShares Preferred Stock Index Fund	C	Dividend	L	T					
12. --AT&T Inc. Common Stock	C	Dividend	L	T					
13. --GE Capital Financial Inc. (CD)	A	Interest	L	T					
14. --Federated Prime Mgmt. Obligations Fund	A	Dividend	N	T					
15. --Federated Prime Mgmt. Obligations Fund					Distributed (part)	01/05/16	L		
16. --Federated Prime Mgmt. Obligations Fund					Distributed (part)	08/30/16	K		
17. --Federated Prime Mgmt. Obligations Fund					Distributed (part)	12/02/16	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. --Bank of America (Bond)	B	Interest			Redeemed	05/16/16	K	B	
19. --Wilshire Reit (ETF)	A	Dividend	J	T					
20. --Wilshire Reit (ETF)					Sold (part)	08/29/16	J		
21. --Vanguard Wellsley Fund	C	Dividend	M	T					
22. --Ally Bank (CD)	A	Interest			Redeemed	05/09/16	L	A	
23. --Discover Bank (CD)	A	Interest			Redeemed	10/24/16	K	A	
24. --Sallie Mae Bank (CD)	A	Interest			Redeemed	10/24/16	L	B	
25. --Sallie Mae Bank (CD)	A	Interest			Redeemed	11/14/16	K	A	
26. --Cathay Bank Los Angeles California (CD)	A	Interest			Redeemed	12/14/16	L	A	
27. --Goldman Sachs (CD)	B	Interest			Redeemed	10/17/16	M	B	
28. --Comcast (Common Stock)	A	Dividend	K	T					
29. --GE Capital (CD)	B	Interest			Redeemed	10/11/16	M	B	
30. --Merrick Bank (CD)	A	Interest			Redeemed	10/21/16	K	A	
31. --Capital One Bank (CD)	A	Interest			Redeemed	10/31/16	K	A	
32. --American Express Centurian Bank (CD)	A	Interest			Redeemed	12/05/16	L	A	
33. --Ally Bank Midvale Utah (CD)	A	Interest	J	T					
34. --Discover Bank (CD)	A	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Goldman Sachs Bank USA NY (CD)	A	Interest	K	T					
36. --Goldman Sachs Bank USA NY (CD)	A	Interest	L	T					
37. --Capital One Bank USA Nat'l Assn. (CD)	B	Interest	M	T					
38. --BMW Bank North Amer. Salt Lake (CD)	A	Interest	M	T					
39. --Ally Bank (CD)	A	Interest	M	T					
40. --Ally Bank Midvale Utah (CD)	A	Interest	K	T	Buy	04/04/16	K		
41. --Goldman Sachs Bank USA (CD)	A	Interest	L	T	Buy	05/02/16	L		
42. --Wells Fargo Bank (CD)	A	Interest	L	T	Buy	05/23/16	L		
43. --Ally Bank Midvale Utah (CD)		None	M	T	Buy	10/26/16	M		
44. --Discover Bank (CD)		None	M	T	Buy	10/26/16	M		
45. Flexible Annuity Plan									
46. --Mutual of America Conservative Allocation Fund		None	K	T					
47. --Mutual of America Moderate Allocation Fund		None	J	T					
48. --Mutual of America 2020 Retirement Fund		None	J	T					
49. --Mutual of America General Account	A	Interest	L	T					
50. Tax Deferred Annuity									
51. --Mutual of America Conservative Allocation Fund		None	L	T					

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52. --Mutual of America Interest Accumulation Account	D	Interest	N	T					
53. Citizens Bank Checking Account		None	K	T					
54. PNC Bank Checking Account	A	Interest	J	T					
55. PNC Bank Money Market Account	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1. For the Flexible Annuity Plan and the Tax Deferred Annuity holdings listed in Part VII with no income, "none" was listed because the statements do not provide the income attributable to those assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joel H. Slomsky**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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