

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whitworth, Matt J.	2. Court or Organization United States District Court - Western Missouri	3. Date of Report 09/17/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Matt J. Whitworth, United States Magistrate Judge Christopher S. Bond United States Courthouse 80 Lafayette Street, Room 3108 Jefferson City, Missouri 65101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Spouse's accounting income - Mike Beatty, CPA - Blue Springs, Missouri
2. 2014	Spouse's income for rent for home office - Mike Beatty, CPA - Blue Springs, Missouri
3. 2014	Spouse's income as in-house accountant for Polysource, LLC - Pleasant Hill, Missouri
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Mike Beatty, CPA	2014	various	spouse business expense reimbursement by employer	reimbursement by spouse's employer for business phone, cell phone, Internet, mileage reimbursements and per diem when traveling for business
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	credit card	J
2.	Department of Education (Navient)	college student loans for children	L
3.	U.S. Bank	credit card	K
4.	Discover	credit card	J
5.	Lowe's	credit card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank Personal Savings Account	A	Interest	J	T					
2. US Bank Personal Savings Account	A	Interest	J	T					
3. Adams Dairy Bank	A	Dividend	J	U					
4. Morgan Stanley Simple IRA	A	Dividend	L	T	Buy (add'l)	12/31/14	J		
5. - Central Fund Canada LTD A	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
6. - Cisco Systems, Inc.	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
7. - Ebay, Inc.	A	Dividend			Sold	12/31/14	J		
8. - ENI Spa Amer Dep RCPT	A	Dividend			Sold	12/31/14	J		
9. - Guggenheim S&P 500 EQU Weight	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
10. - Guggenheim Timber EFT	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
11. - iShares MSCI Japan Sm Cap	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
12. - Market Vectors Jr. Gold Mines	A	Dividend			Sold	12/31/14	J		
13. - Molycorp Inc. Del Com	A	Dividend			Sold	12/31/14	J		
14. - Transocean LTD	A	Dividend			Sold	12/31/14	J		
15. - iShares MSCI China Large Cap	A	Dividend	J	T	Buy	12/31/14	J		
16. - Duetsche GLB Infrastructure	A	Dividend	J	T	Buy	12/31/14	J		
17. - Market Vectors Russia ETF Russ	A	Dividend	J	T	Buy	12/31/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Uranium Energy Corp	A	Dividend			Sold	12/31/14	J		
19. - Doubleline Emerg Mkts FX Inc.	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
20. - Doubleline Total Return	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
21. - First Eagle Overseas I	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
22. - Janus Forty I	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
23. - Janus Overseas I	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
24. - Loomis Sayles Strategic Inc. Y	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
25. - Royce Low Priced Stock Invest	A	Dividend			Sold	12/31/14	J		
26. - Victory Established Val A	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
27. - Wasatch Emerging Mkts Small CP	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
28. - Orange New (ORAN)	A	Dividend			Sold	12/31/14	J		
29. - Guggenheim Solar EFT New (TAN)	A	Dividend			Sold	12/31/14	J		
30. - Legg Mason Opportunity TRST I (LMNOX)	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
31. Morgan Stanley Smith Barney Roth IRA	A	Dividend	J	T					
32. - Calamos Growth C	A	Dividend	J	T					
33. - Mainstay Marketfield (MFLDX)	A	Dividend			Sold	12/31/14	J		
34. Morgan Stanley Barney Roth IRA	A	Dividend	J	T	Buy (add'l)	12/31/14	J		

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35. - Legg Mason Cap Mgmt Opport C	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
36.									
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Matt J. Whitworth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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