

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conway, Anne C.	2. Court or Organization Middle District of Florida	3. Date of Report 12/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active United States District	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Room 6750 401 W. Central Blvd Orlando, FL 32801-0675		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Advisors	Center for Governmental Responsibility, University of Florida College of Law
2. Trustee	Law Center Council, University of Florida College of Law
3. Executor	Estate #1
4. Trustee	Trust #1
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	Carlton Fields Profit Sharing Plan with former law firm - self directed
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of FL	05/28/13	Gainesville, FL	Speaker	Transportation
2.	University of FL	05/13/13 - 05/14/13	Gainesville, FL	Speaker	Food, lodging, and transportation
3.	The Florida Bar	06/27/13 - 06/28/13	Boca Raton, FL	Speaker	Food, lodging, and transportation
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Smokey Partnership, LLLP, Ltd Ptner	B	Interest	O	U					
2. Duplex residence, rental property, Orlando, FL	D	Rent	M	R					See part VIII
3. Carlton Fields Profit Sharing Plan Trust (H):									
4. -Harbor Cap Appreciation Fund	C	Dividend	L	T					
5. -MSIF Mid-Cap Growth/P	D	Dividend	M	T					
6. - Vanguard Instl Index 500	C	Dividend	M	T					
7. Wells Fargo	A	Interest	K	T					
8. MS active assets acct	A	Interest			Closed	08/20/13	K		See part VIII
9. Smokey Partnership, LLLP, Gen Ptr	A	Interest	K	U					
10. Oppenheimer Quest Bal Val A mutual fund (I)	A	Dividend			Sold	08/12/13	J	A	
11. Wells Fargo - acct	A	Interest	L	T					
12. SEI Fixed Income Laddered Muni acct (H):									See part VIII
13. - Virginia St, Gen'l Obligation Bond	A	Interest	K	T					See part VIII
14. - Mobile Cnty, AL, Gen'l Obligation Refunding	A	Interest	K	T					See part VIII
15. - Portland, OR, Revenue Bond	A	Interest	K	T					See part VIII
16. - Linden, NJ, Gen'l Obligation Bond	A	Interest	K	T					See part VIII
17. - Virginia St Public, Revenue Refunding	A	Interest	K	T	Buy	06/18/13	K		See part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Nebo UT, Revenue Bond	A	Interest	K	T					See part VIII
19. - Monroe Cnty, PA, Gen'l Obligation Bond	A	Interest	K	T					See part VIII
20. - Des Moines, IA, Gen'l Obligation Refunding	A	Interest	K	T					See part VIII
21. - Dallas, TX, Revenue Bond	A	Interest	K	T					See part VIII
22. - Colorado St, Revenue Bond	A	Interest	K	T					See part VIII
23. - Westborough, MA, Gen'l Obligation Refunding	A	Interest	K	T					See part VIII
24. - Cuyahoga Cnty, OH, Gen'l Obligation Bond	A	Interest			Redeemed	12/01/13	J		See part VIII
25. - Virginia Beach, Gen'l Obligation Bond	A	Interest			Redeemed	12/01/13	J		See part VIII
26. - Sedgwick Cnty, KS, Gen'l Obligation Bond	A	Interest	K	T					See part VIII
27. - Prince George, MD, Gen'l Obligation Bond	A	Interest	K	T					See part VIII
28. - Decatur, AL, Gen'l Obligation Bond	A	Interest	K	T					See part VIII
29. - East Bay, CA, Gen'l Obligation Bond	A	Interest			Redeemed	05/01/13	J		See part VIII
30. - Mobile Cnty, AL, Gen'l Obligation Bond	A	Interest	J	T					See part VIII
31. - Shelton, CT, Gen'l Obligation Bond	A	Interest	J	T					See part VIII
32. - SEI Tax free money market fund (X)	A	Interest	K	T					See part VIII
33. Fidelity Brokerage acct (H):									
34. - Berkshire Hathaway B		None	L	T					See part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Ishares Core Total US Bond Market	B	Dividend	M	T					
36. - US Treas Sec Stripped 2021	B	Interest	K	T					
37. - US Treas Sec Stripped 2022	B	Interest	K	T					
38. - US Treas Sec Stripped 2023	B	Interest	K	T					
39. - Fidelity US Treas MMA	A	Dividend	L	T					
40. - DFA US Core Equity	C	Dividend	M	T	Buy (add'l)	02/28/13	L		
41. - Harbor Int'l Institutional Fd	A	Dividend	K	T					
42. - Loomis Sayles Bond Fund	D	Dividend	N	T					
43. - Oppenheimer Dev Mkts	A	Dividend	K	T					
44. - Pimco Commodity	A	Dividend			Sold	06/11/13	K	A	
45. - Pimco Emerging Local	D	Dividend	M	T					
46. - Vanguard Intermed Term	C	Dividend	M	T					
47. - Ally Bk Midvale UT CD	A	Interest	M	T					
48. - Ally Bk Midvale UT CD	A	Interest	L	T					
49. - Beal Bk USA Las Vegas, NV CD (#1)	A	Interest			Redeemed	01/30/13	M		
50. - Beal Bk USA Las Vegas, NV CD (#2)	A	Interest			Buy	01/09/13	M		
51. - Beal Bk USA Las Vegas, NV CD (#2)					Redeemed	06/12/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Discover Bk Greenwood DEL Fixed Coupon	A	Interest	M	T					
53. - Discover Bk Greenwood DEL Fixed Coupon	A	Interest	L	T					
54. - Fidelity Cash (X)	A	Interest	M	T					See Part VIII
55. Estate #1	A	Int./Div.							See Part VIII
56. - Merrill Lynch CMA fund					Distributed	06/04/13	M		Transferred to Trust #1
57. Trust #1	F	Int./Div.	P2	W					
58. - Wells Fargo, common stock									
59. - Hubbel, Inc. Class B common stock									
60. - VF Corporation common stock									
61. - Laurel Homes, Inc common stock									
62. - Arbor Properties, Inc common stock									
63. - Laurel Agency, Inc. common stock									
64. - Laurel Builders, Inc. common stock									
65. - Schrimsher Exploration, Ltd - Ltd Partner									
66. - Duplex rental property Orlando, FL									
67. - Smokey Partnership, LLLP,Ltd Ptr									
68. - Fidelity Brokerage:									

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69. --Ishares TR Russell 1000 Growth Index Fund									
70. --DFA 1 year Fixed Income									
71. -- DFA Int'l Small Co. Port									
72. --DFA Inv't 2 year Global Fixed									
73. --DFA Emerging Markets									
74. --DFA Large Cap Int'l									
75. --Vanguard Explorer									
76. --Vanguard Short Term Treasury									
77. --Vanguard Inflation Protected									
78. --Vanguard Value Index									
79. --Vanguard Small Cap									
80. --Fidelity Tax-Free MMA									
81. --Power Secure Stock					Open	03/13/13	J		See Part VIII
82.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 2 - Duplex property in Orange County, FL costing \$78,891, acquired 4/1/82

Part VII item 8 - The Morgan Stanley account was closed and transferred into the Fidelity Brokerage account (item 33)

Part VII items 12 through 32 - The SEI Fixed Income Laddered Muni account is a professionally managed muni bond account, wherein the Manager selects the bonds that are purchased and sold. In the prior year it was treated as all one fund.

Part VII item 32 - The SEI tax free money market account is a result of a buildup of the muni interest earnings throughout the year.

Part VII item 34 - The Berkshire Hathaway stock was transferred into the Fidelity Brokerage account on 8/20/13. In 2012, it was item # 11 under part VII

Part VII item 54 - The Fidelity Cash account is a result of a buildup of interest and dividends throughout the year from the other investments held in the Fidelity account.

Part VII item 55 - The Estate closed out on 06/04/13

Part VII item 81 - Asset discovered during Estate closeout, re-titled directly into Trust from Decedent's name

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anne C. Conway

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544