

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALKER, CHARLES M.	2. Court or Organization USBC (M.D. TENN.)	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2D FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	G Investment Group
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 7 - 11, 2017	Las Vegas, NV	Speaker at CLE Seminar	Lodging and travel
2. Tennessee Bar Association	April 28 - 30, 2017	Gatlinburg, TN	Speaker at CLE Seminar	Food, lodging and travel
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting
WALKER, CHARLES M.

Date of Report
05/15/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Emp US 500 Enhanc Volatility Weighted A	B	Dividend			Sold	03/16/17	J	A	
2. Charles Schwab US REIT ETF	B	Dividend			Sold	03/16/17	J	A	
3. Powershares Fundame H Yield Corp ETF	B	Dividend			Sold	03/16/17	J	A	
4. SPDR S&P Global Dividend ETF	B	Dividend			Sold	03/16/17	J	A	
5. SPDR S&P 400 MID CAP Growth ETF	B	Dividend			Sold	03/16/17	J	A	
6. Schwab US Small Cap ETF	B	Dividend			Sold	03/16/17	J	A	
7. Guggenheim S&P 500 Equal Weight ETF	B	Dividend			Sold	03/16/17	J	A	
8. Schwab Int'l Small Cap EQY ETF	B	Dividend			Sold	03/16/17	J	A	
9. Schwab Fundamental US Small Com ETF	B	Dividend			Sold	03/16/17	J	A	
10. Wisdomtree Inter HDG QLYDIV GRT ETF	B	Dividend			Sold	03/16/17	J	A	
11. Schwab Emerging Markets Equity ETF	B	Dividend			Sold	03/16/17	J	A	
12. Powershares S&P Emerging Markets ETF	B	Dividend			Sold	03/16/17	J	A	
13. Schwab US Large Cap ETF	B	Dividend			Sold	03/16/17	J	A	
14. Guggenheim Multi Asset Income ETF	B	Dividend			Sold	03/16/17	J	A	
15. ALPS Emerging Sector DVDDOGS ETF	A	Dividend			Sold	03/16/17	J	A	
16. Schwab Int'l Equity ETF	B	Dividend			Sold	03/16/17	J	A	
17. Powershares Int'l DVDND ACHVRS ETF	B	Dividend			Sold	03/16/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Schwab Fundamental INL Large Com ETF	B	Dividend			Sold	03/16/17	J	A	
19. Franklin INCM FD CL A	B	Dividend			Sold	03/16/17	J	A	
20. American Century UTIL FD INV	B	Dividend			Sold	03/16/17	J	A	
21. Forward INTL Real Estate A	B	Dividend			Sold	03/16/17	J	A	
22. PIMCO All Asset All Authority CL D	B	Dividend			Sold	03/16/17	J	A	
23. Loomis Sayles Core Plus Bond Fund CL A	B	Dividend			Sold	03/16/17	J	A	
24. Lord Abbett Bond Debenture Fund Class A	B	Dividend			Sold	03/16/17	J	A	
25. Oppenheimer Gold & Spec Minerals CL A	B	Dividend			Sold	03/16/17	J	A	
26. PIMCO INCM CL D	B	Dividend			Sold	03/16/17	J	A	
27. Templeton Global Bond Fund CL A	B	Dividend			Sold	03/16/17	J	A	
28. Compass EMP US 500 ENHAN Volatility Weighted A	B	Dividend			Sold	03/16/17	J	A	
29. PIMCO Real Estate Real Return Strat D	B	Dividend			Sold	03/16/17	J	A	
30. Ivy Asset Strategy Fund CL A	B	Dividend			Sold	03/16/17	J	A	
31. Pioneer Strategic Income FD CL A	B	Dividend			Sold	03/16/17	J	A	
32. Hennessy Cornerstone MID CAP 30 INV	B	Dividend			Sold	03/16/17	J	A	
33. Compass EMP US SM CAP 500 Volatility Weighted	B	Dividend			Sold	03/16/17	J	A	
34. Forward INTL Dividend FD INV CL	B	Dividend			Sold	03/16/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Dreyfus INTL Bond FD A	B	Dividend			Sold	03/16/17	J	A	
36. Compass EMP INTL 500 Enhanced Volatility	B	Dividend			Sold	03/16/17	J	A	
37. PIMCO Rae Fundamental Plus D	B	Dividend			Sold	03/16/17	J	A	
38. Compass EMP Ultra Short Term Fix INCM A	B	Dividend			Sold	03/16/17	J	A	
39. Loomis Sayles Bond Fund CL R	B	Dividend			Sold	03/16/17	J	A	
40. Guggenheim S&P 500 Equal WEI UTI ETF	B	Dividend			Sold	03/16/17	J	A	
41. Schwab Fundamental INL Large ETF IV	B	Dividend			Sold	03/16/17	J	A	
42. Schwab US Large Cap ETF	B	Dividend			Sold	03/16/17	J	A	
43. Guggenheim S&P 500 EQL Weight ETF IV	B	Dividend			Sold	03/16/17	J	A	
44. Wisdomtree INTER HDG QLYDIV ETF IV	B	Dividend			Sold	03/16/17	J	A	
45. Powershares INT'L DIVDND ACHVRS ETF IV	B	Dividend			Sold	03/16/17	J	A	
46. Direxion NASDAQ 100 EQL WGHT IDX ETF	B	Dividend			Sold	03/16/17	J	A	
47. SPDR Dow Jones Global Real Estate ETF	B	Dividend			Sold	03/16/17	J	A	
48. Schwab Emerging Markets Equity ETF	B	Dividend			Sold	03/16/17	J	A	
49. Schwab US Small Cap ETF	B	Dividend			Sold	03/16/17	J	A	
50. Powershares Preferred Portfolio ETF	B	Dividend			Sold	03/16/17	J	A	
51. Salient INTL Real Estate A	B	Dividend			Sold	03/16/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. PIMCO StocksPlus Small D	B	Dividend			Sold	03/16/17	J	A	
53. Salient INTL Dividend Signal INV	B	Dividend			Sold	03/16/17	J	A	
54. Fidelity Destiny II	C	Dividend			Sold	05/17/17	J	A	
55.									
56. AQR LONG SHORT EQTY FD CL N		None	J	T	Buy	05/17/17	J		
57. MADISON COVERED CALL & EQTY INCM Y		None	J	T	Buy	05/17/17	J		
58. PIMCO INCM CL D		None	J	T	Buy	05/17/17	J		
59. PIMCO INCM CL D		None	J	T	Buy	05/17/17	J		
60. ALPS SECTOR DIVIDEND DOGS ETF		None	J	T	Buy	05/17/17	J		
61. GUGGENHEIM S&P 500 EQUALWEI UTI ETF		None	J	T	Buy	05/17/17	J		
62. POWERSHARES SENIOR LOAN ETF		None	J	T	Buy	05/17/17	J		
63. PIMCO 0-5 YEAR HIGH YLD BND IDX ETF		None	J	T	Buy	05/17/17	J		
64. GUGGENHEIM S&P 500 EQUAL WEIGHT ETF		None	J	T	Buy	05/17/17	J		
65. POWERSHARES INTL DVDND ACHVRS ETF		None	J	T	Buy	05/17/17	J		
66. DIREXION NASDAQ 100 EQL WGHT IDX ETF		None	J	T	Buy	05/17/17	J		
67. SPDR BLMBERG BRCLY CONV SECRT ETF		None	J	T	Buy	05/17/17	J		
68. WISDOMTREE US SMALLCAP DVD GRW ETF		None	J	T	Buy	05/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000	F = \$100,001 - \$500,000 G = \$500,001 - \$1,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. ALPS EMERGING SECTOR DVDDOGS ETF		None	J	T	Buy	05/17/17	J		
70. CHARLES SCHWAB US REIT ETF		None	J	T	Buy	05/17/17	J		
71. SCHWAB INT'L EQUITY ETF		None	J	T	Buy	05/17/17	J		
72. SCHWAB EMERGING MARKETS EQUITY ETF		None	J	T	Buy	05/17/17	J		
73. CHARLES SCHWAB US MC ETF		None	J	T	Buy	05/17/17	J		
74. SCHWAB US SMALL CAP ETF		None	J	T	Buy	05/17/17	J		
75.									
76. ALPS SECTOR DIVIDEND DOGS ETF		None	J	T	Buy	05/31/17	J		
77. PIMCO 0-5 Year High YLD BND IDX ETF		None	J	T	Buy	05/31/17	J		
78. GUGGENHEIM S&P 500 EQUAL WEIGHT ETF		None	J	T	Buy	05/31/17	J		
79. WISDMTRE US SMLCP QLT DIV GRW ETF IV		None	J	T	Buy	05/31/17	J		
80. POWERSHARES SENIOR LOAN ETF		None	J	T	Buy	05/31/17	J		
81. CHARLES SCHWAB US MC ETF		None	J	T	Buy	05/31/17	J		
82. SCHWAB US SMALL CAP ETF		None	J	T	Buy	05/31/17	J		
83. SCHWAB INT'L EQUITY ETF		None	J	T	Buy	05/31/17	J		
84. SCHWAB EMERGING MARKETS EQUITY ETF		None	J	T	Buy	05/31/17	J		
85. AQR LONG SHORT EQTY FD CL N		None	J	T	Buy	05/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. MADISON COVERED CALL & EQTY INCM Y		None	J	T	Buy	05/31/17	J		
87. PIMCO INCM CL D		None	J	T	Buy	05/31/17	J		
88. GUGGENHEIM S&p 500 EQUAL WEI UTI ETF		None	J	T	Buy	05/31/17	J		
89. ALPS EMERGING SECTOR DVDDOGS ETF		None	J	T	Buy	05/31/17	J		
90. POWERSHARES INTL DVDND ACHVRS ETF		None	J	T	Buy	05/31/17	J		
91. SPDR BLMBERG BRCLY CONV SECRT ETF		None	J	T	Buy	05/31/17	J		
92. CHARLES SCHWAB US REIT ETF		None	J	T	Buy	05/31/17	J		
93. DIREXION NASDAQ 100 EQL WGHT IDX ETF		None	J	T	Buy	05/31/17	J		
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

During the period between March 16 - April 13, 2017, all funds within my IRA account at Charles Schwab were sold as part of an IRA rollover used to pay the closing costs for the purchase of my current residence located at [REDACTED]. The actual IRA rollover was initiated on April 13, 2017. The sale date in this disclosure represents the date that I initiated the sale of the mutual funds to reduce the account to cash.

On May 26, 2017, I completed the IRA rollover and returned all funds to Charles Schwab to be reinvested. The funds listed on Part VII, Lines 76 through 93 were purchased on May 31, 2017. Additional shares of each of the funds purchased on May 31, 2017 were purchased on July 5, 2017 and August 23, 2017 constituting the reinvestment of all funds withdrawn on April 13, 2017 as part of the IRA rollover.

On April 24, 2017, [REDACTED] IRA, which was invested in Fidelity Destiny II, disclosed in Part VII, Line 54 on this report was liquidated and transferred to Charles Schwab. On May 17, 2017, her IRA was reinvested in the same mutual equity funds that constitute my IRA investment portfolio and shown on Lines 56 through 74 of Part VII of this Financial Disclosure.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

05/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ CHARLES M. WALKER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544