

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wallace, J. Clifford	2. Court or Organization United States Court of Appeals for the Ninth Circuit	3. Date of Report 04/26/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 221 W. Broadway, Suite 4192 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Pacific Judicial Council
2.	Advisor	Thailand Judicial Training Institute
3.	Member	Council of Visitors of California Western School of Law
4.	Member	ABA Asia Rule of Law Initiative Council
5.	Member	William H. Rehnquist Center's National Board of Academic Advisors
6.	Member	ABA-UNDP Advisory Committee
7.	Member	National Committee on United States-China Relations

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Government of South Africa	1/17/2015 to 1/24/2015	Johannesburg, South Africa	Lecturer, meetings	Transportation
2. American Bar Association	2/7/2015	Houston, TX	Meetings	Transportation, lodging and meals
3. J. Reuben Clark Law Society	2/12/2015 to 2/14/2015	Tempe, AZ	Meeting	Transportation
4. International Senior Lawyers Project	3/23/2015	San Francisco, CA	Meeting	Transportation
5. Furth Family Foundation	5/20/2015 to 5/24/2015	Antalya, Turkey	Lecturer, meetings	Transportation and meals

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6.	The Church of Jesus Christ of Latter-day Saints	5/26/2015 to 6/2/2015	Sydney, Australia	Meetings, lecturer	Transportation, lodging and meals
7.	International Senior Lawyers Project	6/21/2015 to 6/29/2015	Kampala, Uganda	Meetings	Transportation and immunization
8.	The Church of Jesus Christ of Latter-day Saints	6/21/2015 to 6/29/2015	Kampala, Uganda	Meetings	Transportation
9.	Brigham Young University	7/7/2015	Provo, UT	Lecturer, meetings	Transportation
10.	The Church of Jesus Christ of Latter-day Saints	8/22/2015 to 8/31/2015	Santo Domingo, Dominican Republic	Meetings	Transportation and meals
11.	The Church of Jesus Christ of Latter-day Saints	9/3/2015 to 9/11/2015	Kampala, Uganda	Meetings, lecturer	Transportation and meals
12.	The Church of Jesus Christ of Latter-day Saints	9/12/2015 to 9/13/2015	Bangkok, Thailand	Meeting	Transportation and lodging
13.	Brigham Young University	9/13/2015 to 9/19/2015	Hanoi, Vietnam	Lecturer, meetings	Transportation
14.	Institute for Global Engagement	9/13/2015 to 9/19/2015	Hanoi, Vietnam	Lecturer, meetings	Professional fee and meals
15.	The Church of Jesus Christ of Latter-day Saints	9/20/2015	Guam	Meetings	Meals
16.	Pacific Judicial Council	9/21/2015 to 9/23/2015	Pohnpei, Federated States of Micronesia	Lecturer, meetings	Transportation, lodging and meals
17.	The Church of Jesus Christ of Latter-day Saints	9/23/2015	Pohnpei, Federated States of Micronesia	Lecturer, meetings	Transportation
18.	Brigham Young University	10/1/2015 to 10/7/2015	Salt Lake City and Provo, UT	Meetings, lecturer	Transportation
19.	The Church of Jesus Christ of Latter-day Saints	10/10/2015 to 10/14/2015	Zagreb, Croatia	Meetings, lecturer	Transportation and meals
20.	The Ceeli Institute	10/10/2015 to 10/14/2015	Zagreb, Croatia	Meetings, lecturer	Transportation
21.	The Church of Jesus Christ of Latter-day Saints	10/15/2015 to 10/18/2015	Moscow, Russia	Meetings	Transportation, lodging and meals
22.	The Church of Jesus Christ of Latter-day Saints	11/6/2015 to 11/10/2015	Sydney, Australia	Meetings, lecturer	Transportation, lodging and meals
23.	The Church of Jesus Christ of Latter-day Saints	11/10/2015 to 11/18/2015	Bangkok, Thailand	Meetings, lecturer	Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Transwest Credit Union	B	Int./Div.	M	T					
2. Changing Parameters Fund (Gemini Fund Services, LLC)	D	Dividend	N	T					
3. Changing Parameters Fund (Gemini Fund Services, LLC)	D	Dividend	N	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Clifford Wallace**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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