

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSON, III, ROBERT L.	2. Court or Organization 11TH CIR. COURT OF APPEALS	3. Date of Report 07/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. CIRCUIT JUDGE (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 56 Forsyth Street, N.W. Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	FELLOW	AMERICAN BAR FOUNDATION
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 11/7/07	R. Lanier Anderson, III/Chas. Schwab & Co. Cust/ IRA rollover
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bank - checking account	A	Interest	J	T					
2. Exxon (common)	A	Dividend	J	T					
3. SunTrust Bank (common)	B	Dividend	K	T					
4. TIAA-CREF Traditional Bond Fund (TIAA1)	C	Dividend	L	T					
5. TIAA-CREF Stock Fund (QCSTRX)	C	Dividend	K	T					
6. House and lot, Macon-Bibb County, GA	D	Rent	M	W					
7. SunTrust Bank (common)	A	Dividend	J	T					
8. I shares Russell 1000 Index	A	Dividend	K	T					
9. I shares Russell 2000 Value	A	Dividend	K	T					
10. I shares Russell 2000 Index	A	Dividend	K	T					
11. I shares Russell 1000 Index	A	Dividend	J	T					
12. I shares Russell 2000 Value	A	Dividend	K	T					
13. I shares Russell 2000 Index	A	Dividend	J	T					
14. I shares Russell 2000 Index (IRA) (H)	A	Dividend	J	T					
15. Genworth Life Ins. Co (whole life)	D	Dividend	M	T					
16. SunTrust Bank - money market	B	Interest	L	T					
17. H (RLA)/CHARLES SCHWAB CUST IRA ROLLOVER)									See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Schwab US Treas. Money Fund	A	Interest	J	T					
19. Goldman Sachs Satellite Shategres Port (GXSIX)	A	Dividend			Sold	12/04/18	L	A	
20. Blackrock Strat. Inc. Opportunity Port Instl.	A	Dividend	J	T					
21. Vanguard Bond Index Fund Intermed. Term Bond (BIV)	B	Dividend	K	T					
22. AQR Style Premia ALT (QSPIX)	A	Dividend			Sold	12/04/18	K	A	
23. Doubleline total Return (DBLTX)	A	Dividend	K	T					
24. AQR Long Short Equity Fund (QLEIX)	A	Dividend			Sold	12/04/18	J	A	
25. Blackrock Total Factor (BSTIX)	A	Dividend	L	T	Buy	12/05/18	L		
26. iShares Core S&P500 (IVV)	A	Dividend	J	T	Buy	12/04/18	J		
27. H (RLA SCHWAB INDIVIDUAL ACCOUNT)									See Part VIII
28. Schwab US Treas Money Fund	A	Interest	J	T					
29. Goldman Sachs Satellite Strategies Port (GXSIX)	A	Dividend			Sold	12/05/18	M	A	
30. iShares Core S&P (IVV)	A	Dividend	M	T	Buy (add'l)	12/04/18	L		
31. iShares Russell 2000 (IWM)	A	Dividend	L	T	Buy (add'l)	12/04/18	K		
32. Vanguard Mid-Cap Growth (VOT)	A	Dividend	K	T	Buy (add'l)	12/06/18	J		
33. AQR Style Premia ALT (QSPIX)	B	Dividend			Sold	12/04/18	L	A	
34. Vanguard Sht. Term Bond (BSV)	A	Dividend	K	T					

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35. Vanguard Mid Cap Value (VOE)	A	Dividend	K	T	Buy (add'l)	12/04/18	J		
36. JP Morgan Dvrsfd Rtrn Intrnl (JPIN)	A	Dividend	L	T	Buy (add'l)	12/06/18	L		
37. AQR Long Short Equity Fund (QLEIX)	A	Dividend			Sold	12/05/18	J		
38. ISHR EDG MSCI Min Vol EMG Mkt ETF (EEMV)	A	Dividend	J	T	Buy	12/06/18	J		
39. H (ADDITIONAL STIFEL ASSETS)									See Part VIII
40. Vanguard Appreciation	B	Dividend	L	T					
41. Suntrust Banks, Inc. Pfd Series 5.875% (RLA)	A	Dividend			Redeemed	03/15/18	J		
42. Suntrust Banks, Inc. Pfd Series 5.875%	A	Dividend			Redeemed	03/15/18	J		
43. Loomis Sayles Strategic Fund (H)	B	Dividend	L	T					
44. Osterweis Strategic Fund (IRA-W)	B	Dividend	K	T					
45. Osterweis Strategic Fund (IRA-H)	C	Dividend	L	T					
46. Invesco Balanced Risk Alloc. CL Y (IRA-W)	B	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 17, is simply a heading to disclose that the following lines (lines 18--26) describe holdings or transactions in RLA Schwab IRA Rollover during 2016

Part VII, line 27 is simply a heading to disclose that the following lines (lines 28-38) describe holdings or transactions in the RLA Schwab individual account during 2016

Part VII line 39 , is simply a heading to disclose that lines 40-46 describe holdings that were either already in or added to RLA Stifel brokerage accounts. Other holdings in those accounts were reported on early lines of Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **ROBERT L. ANDERSON, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544