

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Aron, Catharine R.	2. Court or Organization U S Bankruptcy Court MDNC	3. Date of Report 06/28/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U. S. Bankruptcy Court P. O. Box 448 Greensboro, NC 27402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Valic-Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NCBJ Mid Year Board Meeting	April-03-06, 2011	Palm Desert, CA	Board Meeting	(lodging, food, transportation)
2. Middle District Bankruptcy Seminar	April 28-29, 2011	Southern Pines, NC	seminar	(lodging, food, transportation)
3. NCBJ Annual Board Meeting	October 11-12, 2011	Tampa, Florida	Board Meeting	(lodging, food, transportation)
4. North Carolina Bar Association	Novemmber 17-18, 2011	Asheville, NC	seminar	(lodging food, transportation)
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 43

Name of Person Reporting

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Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New Bridge Bank money market	B	Interest	M	T					
2. Willow Creek (JRS) (partial interest)	B	Interest	J	W					
3.									
4. See Section VIII for prior year lines 4-15									
5.									
6.									
7. AIG-Valic Aim Intern. ADR Growth Fund									
8. ADDYY	A	Dividend	J	T					
9. AMX	A	Dividend	J	T	Sold (part)	06/10/11	J		
10. BRGY	A	Dividend	J	T	Buy (add'l)	08/11/11	J		
11. BNPQY	A	Dividend	J	T					
12. BBD	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
13. BAYRY		None			Sold (part)	05/02/11	J	A	
14.					Sold	11/08/11	J		
15. BAMXY	A	Dividend	J	T	Sold (part)	08/05/11	J	A	
16.					Sold (part)	11/14/11	J	A	
17. BHP	A	Dividend	J	T	Sold (part)	05/02/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 43

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Aron, Catharine R.

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18.					Sold (part)	10/11/11	J	A	
19. BTI	A	Dividend	J	T					
20. BZLFY	A	Dividend	J	T					
21. CEO	A	Dividend	J	T					
22. CMXHY	A	Dividend	J	T					
23. CNI	A	Dividend	J	T	Buy (add'l)	04/28/11	J		
24.					Sold (part)	12/02/11	J	A	
25. CAJ		None	J	T	Buy (add'l)	03/16/11	J		
26.					Buy (add'l)	04/28/11	J		
27.					Buy (add'l)	07/27/11	J		
28. CVE	A	Dividend	J	T					
29. CPYYY	A	Dividend	J	T	Sold (part)	09/21/11	J	A	
30. CHEUY	A	Dividend	J	T					
31. CCLAY	A	Dividend	J	T	Buy (add'l)	08/10/11	J		
32. CCH	A	Dividend	J	T					
33. CMPGY	A	Dividend	J	T					
34. DANOY	A	Dividend	J	T	Buy (add'l)	02/17/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 43

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Aron, Catharine R.

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35. DNZOY	A	Dividend	J	T	Buy (add'l)	03/18/11	J		
36.					Buy (add'l)	06/13/11	J		
37. ECA	A	Dividend			Sold	08/04/11	J		
38. ERIC		None	J	T	Buy (add'l)	01/26/11	J		
39.					Buy (add'l)	06/20/11	J		
40.					Buy (add'l)	08/08/11	J		
41. FANUY		None	J	T	Sold (part)	07/25/11	J	A	
42.					Sold (part)	08/05/11	J	A	
43. FMX	A	Dividend	J	T	Buy (add'l)	03/03/11	J		
44.					Buy (add'l)	12/12/11	J		
45. FMS		None	J	T					
46. OGZPY		None	J	T					
47. TV		None	J	T	Sold (part)	04/04/11	J		
48.					Buy (add'l)	09/27/11	J		
49. HUWHY	A	Dividend	J	T					
50. IITYBY	A	Dividend	J	T	Sold (part)	08/04/11	J		
51. INFY	A	Dividend	J	T	Sold (part)	08/04/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 43

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Aron, Catharine R.

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52. JBAXY		None	J	T					
53. KPELY	A	Dividend	J	T	Sold (part)	04/19/11	J	A	
54. KGFHY	A	Dividend	J	T					
55. KMYUY	A	Dividend	J	T	Sold (part)	07/11/11	J	A	
56. AHONY	A	Dividend	J	T	Sold (part)	05/02/11	J	A	
57.					Sold (part)	05/19/11	J	A	
58. NSRGY		None	J	T	Sold (part)	01/27/11	J	A	
59. NJ	A	Dividend	J	T	Sold (part)	04/29/11	J	A	
60.					Sold (part)	06/03/11	J	A	
61. NVS	A	Dividend	J	T	Sold (part)	12/27/11	J	A	
62. NVO	A	Dividend	J	T	Sold (part)	03/04/11	J	A	
63.					Sold (part)	08/04/11	J	A	
64. PBR	A	Dividend			Sold	07/11/11	J		
65. PHI	A	Dividend			Sold	02/08/11	J		
66. PUBGY		None	J	T					
67. RUK	A	Dividend	J	T	Buy (add'l)	08/26/11	J		
68. RHHBY	A	Dividend	J	T	Sold (part)	02/08/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 43

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69.					Sold (part)	02/15/11	J		
70. RDSB		None	J	T	Buy (add'l)	06/15/11	J		
71. KKP NY	A	Dividend			Sold	06/15/11	J		
72. SAP	A	Dividend	J	T	Buy (add'l)	07/29/11	J		
73.					Buy (add'l)	10/31/11	J		
74. SHCAY	A	Dividend			Sold	06/24/11	J		
75. SHPGY	A	Dividend	J	T	Sold (part)	04/25/11	J	A	
76.					Sold (part)	05/18/11	J	A	
77.					Sold (part)	07/07/11	J	A	
78.					Sold (part)	09/07/11	J	A	
79. SNN	A	Dividend	J	T	Buy (add'l)	05/12/11	J		
80. SYT	A	Dividend	J	T	Buy (add'l)	12/13/11	J		
81. TNTTY	A	Dividend			Sold	04/05/11	J		
82. TSM	A	Dividend	J	T	Sold (part)	07/18/11	J	A	
83. TLM	A	Dividend	J	T	Sold (part)	07/22/11	J	A	
84. TSCDY	A	Dividend	J	T	Sold (part)	04/29/11	J		
85. TEVA	A	Dividend	J	T	Sold (part)	02/08/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 43

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86.					Buy (add'l)	10/06/11	J		
87. TOT	A	Dividend	J	T	Buy (add'l)	03/16/11	J		
88. TM	A	Dividend	J	T	Sold (part)	07/01/11	J		
89.					Sold (part)	12/15/11	J		
90. TRP	A	Dividend	J	T					
91. TMICY	A	Dividend			Sold	07/01/11	J		
92. UN	A	Dividend	J	T	Buy (add'l)	04/15/11	J		
93. VIP		None	J	T					
94. VOD	A	Dividend	J	T	Sold (part)	05/10/11	J		
95. VOLVY		None	J	T	Buy (add'l)	02/10/11	J		
96.					Buy (add'l)	04/28/11	J		
97.					Sold (part)	12/28/11	J		
98. WPPGY	A	Dividend	J	T					
99. ABB	A	Dividend	J	T	Buy	03/02/11	J		
100.					Buy (add'l)	03/17/11	J		
101.					Buy (add'l)	04/27/11	J		
102.					Buy (add'l)	10/06/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 43

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103. AMADY	A	Dividend	J	T	Buy	12/07/11	J		
104. BMBLY	A	Dividend	J	T	Buy	06/17/11	J		
105.					Buy (add'l)	08/10/11	J		
106. CGEMY	A	Dividend	J	T	Buy	02/17/11	J		
107.					Buy (add'l)	02/23/11	J		
108.					Buy (add'l)	03/14/11	J		
109.					Buy (add'l)	10/10/11	J		
110. CHL	A	Dividend	J	T	Buy	08/11/11	J		
111.					Buy (add'l)	10/06/11	J		
112. CIOXY	A	Dividend	J	T	Buy	09/28/11	J		
113. GSK	A	Dividend	J	T	Buy	11/02/11	J		
114.					Buy (add'l)	11/28/11	J		
115. GIB	A	Dividend	J	T	Buy	05/02/11	J		
116. LRLCY		None	J	T	Buy	09/12/11	J		
117. SU	A	Dividend	J	T	Buy	09/12/11	J		
118. SWDBY		None	J	T	Buy	03/08/11	J		
119.					Buy (add'l)	07/26/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. VLKPY		None	J	T	Buy	07/11/11	J		
121.					Buy (add'l)	10/07/11	J		
122. WYGPY		None	J	T	Buy	05/23/11	J		
123. YMDAF		None	J	T	Buy	07/12/11	J		
124. SBGSY		None	J	T	Buy	08/23/11	J		
125.									
126. xSSGAMoney Market Fund	A	Int./Div.	J	T					
127.									
128. AIG- Valic money market account	A	Interest	J	T	Distributed (part)	08/08/11	J		
129. AIG/Valic Select IRA 1 Transamerica Large Growth									
130. AMZN		None	J	T	Sold (part)	03/07/11	J	C	
131.					Sold (part)	03/25/11	J	A	
132.					Sold (part)	09/20/11	J	B	
133. AAPL		None	J	T	Sold (part)	03/25/11	J	C	
134. BWA	A	Dividend	J	T	Sold (part)	03/25/11	J	C	
135. CAT	A	Dividend	J	T	Buy (add'l)	03/22/11	J		
136. DIS	A	Dividend			Sold (part)	03/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 43

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Aron, Catharine R.

Date of Report

06/28/2012

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	05/12/11	J	B	
138. EMR	A	Dividend			Sold	03/25/11	J	C	
139. EXPD	A	Dividend			Sold (part)	03/25/11	J	A	
140.					Sold	12/21/11	J	A	
141. GOOG		None			Buy (add'l)	01/13/11	J		
142.					Sold (part)	03/25/11	J	B	
143.					Sold	06/29/11	J		
144. ISRG		None			Sold	03/25/11	J		
145. JCI	A	Dividend			Sold	03/25/11	J	C	
146. PCAR	A	Dividend			Sold	03/25/11	J	A	
147. PCLN		None			Sold (part)	03/07/11	J	B	
148.					Sold	03/25/11	J	C	
149. QCOM	A	Dividend	J	T	Sold (part)	01/13/11	J	A	
150.					Sold (part)	03/25/11	J	A	
151.					Buy (add'l)	09/20/11	J		
152. SCHW	A	Dividend			Sold	03/25/11	J		
153. TROW	A	Dividend			Sold	03/25/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 13 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. TEVA	A	Dividend			Sold	03/25/11	J		
155. UNP	A	Dividend			Sold	03/25/11	J	B	
156. WFC	A	Dividend			Sold (part)	03/07/11	J		
157.					Sold	03/25/11	J	A	
158. YUM	A	Dividend			Sold	01/21/11	J	B	
159. STI	A	Dividend			Sold	03/25/11	J	B	
160. VALE	A	Dividend			Sold	03/25/11	J	A	
161. MAR	A	Dividend			Sold (part)	01/21/11	J	B	
162.					Sold	02/21/11	J	A	
163. INTU		None			Sold	03/25/11	J	A	
164. ILMN		None			Sold	03/25/11	J	A	
165. CRM		None			Sold	03/25/11	J	A	
166. CHOW	A	Dividend			Sold	03/25/11	J		
167. ARMH		None			Sold	03/25/11	J	B	
168. DE		None	J	T	Buy (add'l)	01/21/11	J		
169.					Buy (add'l)	03/07/11	J		
170.					Sold (part)	03/25/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 14 of 43

Name of Person Reporting

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Date of Report

06/28/2012

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/03/11	J		
172. PCP		None	J	T	Buy (add'l)	01/21/11	J		
173.					Buy (add'l)	03/07/11	J		
174.					Sold (part)	03/25/11	J	A	
175.					Buy (add'l)	05/12/11	J		
176.					Buy (add'l)	08/03/11	J		
177.					Buy (add'l)	09/02/11	J		
178. CLB		None			Sold	03/25/11	J	A	
179. LVMH		None			Sold	03/25/11	J	B	
180. CAM	A	Dividend	J	T	Buy	02/02/11	J		
181.					Buy (add'l)	03/07/11	J		
182.					Sold (part)	03/25/11	J	A	
183. CBE		None			Buy	03/25/11	J		
184.					Sold	08/22/11	J		
185. CHKP		None	J	T	Buy	03/25/11	J		
186. A		None	J	T	Buy	03/25/11	J		
187. AKAM		None			Buy	03/25/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	08/29/11	J		
189. ABC	A	Dividend			Buy	03/25/11	J		
190.					Sold	08/03/11	J	A	
191. ADI	A	Dividend	J	T	Buy	03/25/11	J		
192. APA	A	Dividend	J	T	Buy	03/25/11	J		
193. ADSK		None	J	T	Buy	03/25/11	J		
194.					Buy (add'l)	11/17/11	J		
195. BMC		None			Buy	03/25/11	J		
196.					Sold	11/02/11	J		
197. BRCM	A	Dividend	J	T	Buy	03/25/11	J		
198. CSX	A	Dividend	J	T	Buy	03/25/11	J		
199.					Buy (add'l)	08/16/11	J		
200. CAT	A	Dividend	J	T	Buy	03/25/11	J		
201. CELG		None	J	T	Buy	03/25/11	J		
202. CERN		None	J	T	Buy	03/25/11	J		
203.					Buy (add'l)	08/22/11	J		
204. CTXS		None			Buy	03/25/11	J		

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 16 of 43

Name of Person Reporting

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Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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205.					Sold	09/20/11	J		
206. DHR	A	Dividend	J	T	Buy	03/25/11	J		
207.					Buy (add'l)	08/03/11	J		
208. EMC		None	J	T	Buy	03/25/11	J		
209. FMC	A	Dividend	J	T	Buy	03/25/11	J		
210. FFIB	A	Dividend			Buy	03/25/11	J		
211.					Sold	04/28/11	J	A	
212. GR	A	Dividend			Buy	03/25/11	J		
213.					Sold	10/06/11	J	B	
214. HAL	A	Dividend	J	T	Buy	03/25/11	J		
215. HAR	A	Dividend			Buy	03/25/11	J		
216.					Sold	04/26/11	J	A	
217. INFA		None	J	T	Buy	03/25/11	J		
218. ICE		None	J	T	Buy	03/25/11	J		
219. LRCX		None			Buy	03/25/11	J		
220.					Sold	12/29/11	J		
221. LIFE		None			Buy	03/25/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 17 of 43

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222.					Sold	04/08/11	J	A	
223. MAR		None			Buy	03/25/11	J		
224.					Sold	04/08/11	J		
225. NOVO	A	Dividend	J	T	Buy	03/25/11	J		
226. ORCL	A	Dividend	J	T	Buy	03/25/11	J		
227. PLL	A	Distribution			Buy	03/25/11	J		
228.					Sold	09/20/11	J		
229. RL	A	Dividend			Buy	03/25/11	J		
230.					Sold	06/09/11	J	A	
231. PX	A	Dividend	J	T	Buy	03/25/11	J		
232.					Buy (add'l)	09/02/11	J		
233. RHT		None	J	T	Buy	03/25/11	J		
234. SBUX	A	Dividend	J	T	Buy	03/25/11	J		
235. VAR		None			Buy	03/25/11	J		
236.					Sold	11/18/11	J		
237. PAY		None			Buy	03/25/11	J		
238.					Sold	10/18/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 18 of 43

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239. V	A	Dividend	J	T	Buy	03/25/11	J		
240. WU	A	Dividend			Buy	03/25/11	J		
241.					Sold	07/21/11	J		
242. STJ		None			Buy	04/01/11	J		
243.					Sold	07/12/11	J		
244. GILD		None			Buy	04/08/11	J		
245.					Sold	06/17/11	J		
246. TDC		None	J	T	Buy	04/08/11	J		
247.					Buy (add'l)	08/22/11	J		
248. HSIC		None			Buy	04/21/11	J		
249.					Sold	10/19/11	J		
250. ILMN		None			Buy	05/12/11	J		
251.					Sold	09/20/11	J		
252. JOYG	A	Dividend	J	T	Buy	05/12/11	J		
253.					Buy (add'l)	08/03/11	J		
254. ROVI		None			Buy	05/12/11	J		
255.					Sold	11/22/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 19 of 43

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. TIBX		None	J	T	Buy	05/12/11	J		
257. AGN		None	J	T	Buy	08/29/11	J		
258. ARBA		None	J	T	Buy	07/07/11	J		
259. DELL		None	J	T	Buy	10/14/11	J		
260. EBAY		None	J	T	Buy	07/07/11	J		
261. SLB	A	Dividend	J	T	Buy	09/20/11	J		
262. VFC	A	Dividend	J	T	Buy	09/20/11	J		
263. MON		None	J	T	Buy	10/14/11	J		
264. NUAN		None	J	T	Buy	10/18/11	J		
265. HOT		None	J	T	Buy	10/19/11	J		
266. TIF		None	J	T	Buy	10/26/11	J		
267.					Buy (add'l)	11/04/11	J		
268. NLSN		None	J	T	Buy	11/18/11	J		
269. UNH		None	J	T	Buy	12/12/11	J		
270. CVS		None	J	T	Buy	12/29/11	J		
271. ROK		None	J	T	Buy	12/29/11	J		
272.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. SSGA Money Market	A	Interest	J	T					
274.									
275. AIG- Valic Select IRA 2 Columbia value and restruct									
276. ACE	A	Dividend	J	T					
277. AGCO		None	J	T					
278. ANR		None	J	T	Buy (add'l)	02/03/11	J		
279. AMX		None	J	T					
280. ABC	A	Dividend	J	T					
281. APC	A	Dividend	J	T					
282. AVP	A	Dividend			Sold	08/03/11	J	B	
283. BAX	A	Dividend	J	T					
284. CE	A	Dividend	J	T	Sold (part)	11/28/11	J	A	
285. COP	A	Dividend	J	T					
286. CNX	A	Dividend			Sold (part)	02/03/11	J		
287.					Sold (part)	04/13/11	J		
288.					Sold	08/03/11	J		
289. GLW	A	Dividend			Sold	12/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. DVN	A	Dividend	J	T	Sold (part)	04/13/11	J	A	
291. ETN	A	Dividend	J	T					
292. ENR		None			Sold	02/23/11	J	A	
293. FCX	A	Dividend	J	T					
294. GS	A	Dividend			Sold	09/30/11	J		
295. HRS	A	Dividend	J	T	Sold (part)	08/29/11	J		
296.					Sold (part)	09/30/11	J		
297. IBM	A	Dividend	J	T					
298. IVZ	A	Dividend	J	T					
299. JMP	A	Dividend	J	T	Buy (add'l)	07/19/11	J		
300.					Buy (add'l)	11/18/11	J		
301. LO	A	Dividend	J	T	Sold (part)	11/28/11	J	A	
302. MET	A	Dividend	J	T	Buy (add'l)	09/27/11	J		
303.					Buy (add'l)	11/18/11	J		
304. NWL	A	Dividend	J	T					
305. PBR	A	Dividend	J	T					
306. TJX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. TYC	A	Dividend	J	T					
308. UNP	A	Dividend	J	T					
309. VALE	A	Dividend			Sold	06/10/11	J		
310. MA	A	Dividend	J	T	Sold (part)	09/30/11	J	A	
311. WCRX	A	Dividend	J	T					
312. HIGPRA	A	Dividend			Sold	09/30/11	J		
313. XRX	A	Dividend	J	T	Buy (add'l)	08/24/11	J		
314. WY		None	J	T	Sold (part)	02/23/11	J	A	
315.					Sold (part)	04/13/11	J	A	
316.					Buy (add'l)	09/27/11	J		
317.					Buy (add'l)	11/28/11	J		
318. CHK		None			Sold	08/03/11	J	B	
319. ST		None			Sold	08/03/11	J	A	
320. CPA		None	J	T					
321. SCCO		None			Sold	11/11/11	J		
322. SWK		None	J	T					
323. CVX	A	Dividend	J	T	Buy	07/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. CI	A	Dividend	J	T	Buy	12/19/11	J		
325. XEC	A	Dividend	J	T	Buy	06/29/11	J		
326.					Buy (add'l)	09/27/11	J		
327. CSCO	A	Dividend	J	T	Buy	05/03/11	J		
328.					Buy (add'l)	08/24/11	J		
329. CLF	A	Dividend	J	T	Buy	06/10/11	J		
330. GOOG		None	J	T	Buy	09/27/11	J		
331. HAS	A	Dividend	J	T	Buy	02/23/11	J		
332.					Buy (add'l)	07/19/11	J		
333.					Buy (add'l)	07/29/11	J		
334. MCP	A	Dividend	J	T	Buy	11/22/11	J		
335. PFE	A	Dividend	J	T	Buy	04/13/11	J		
336. SM	A	Dividend	J	T	Buy	07/29/11	J		
337. V	A	Dividend	J	T	Buy	04/13/11	J		
338.									
339. SSGA Money Market	A	Interest	J	T					
340.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. AIG Valic IRA DBA DavidsonMid Cap									
342. ARG	A	Dividend	J	T	Sold (part)	01/10/11	J	A	
343. ADS		None	J	T	Sold (part)	01/10/11	J	A	
344.					Sold (part)	03/24/11	J	A	
345.					Sold (part)	06/13/11	J	A	
346.					Sold (part)	08/09/11	J	A	
347.					Sold	09/23/11	J	B	
348.					Buy (add'l)	12/20/11	J		
349. CPLA		None	J	T	Sold (part)	01/10/11	J	A	
350.					Buy (add'l)	09/21/11	J		
351. FEIC		None			Sold (part)	01/10/11	J	A	
352.					Sold (part)	01/31/11	J	A	
353.					Sold (part)	05/03/11	J	A	
354.					Sold	08/08/11	J	A	
355. FCFC		None	J	T	Sold (part)	03/11/11	J	B	
356.					Sold (part)	09/02/11	J	B	
357. FLIR		None	J	T	Sold (part)	01/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	06/01/11	J	A	
359. GEOY		None	J	T	Buy (add'l)	11/07/11	J		
360.					Buy (add'l)	11/21/11	J		
361. GDOT		None	J	T					
362. HWCC	A	Dividend	J	T					
363. INWK		None	J	T	Sold (part)	02/24/11	J	A	
364.					Sold (part)	03/30/11	J		
365. SHOO		None			Sold (part)	04/19/11	J	B	
366.					Sold	05/10/11	J	B	
367. MASI	A	Dividend	J	T	Buy (add'l)	05/11/11	J		
368.					Buy (add'l)	08/10/11	J		
369.					Buy (add'l)	09/02/11	J		
370. NVEC		None	J	T	Buy (add'l)	04/14/11	J		
371.					Sold (part)	09/01/11	J	A	
372.					Buy (add'l)	10/27/11	J		
373.					Buy (add'l)	11/01/11	J		
374. OXPS	A	Dividend			Buy (add'l)	01/31/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	05/05/11	J	A	
376.					Sold	06/13/11	J	A	
377. RNOW		None			Sold (part)	01/10/11	J	A	See Section VIII
378.					Sold (part)	03/09/11	J	A	
379.					Sold (part)	08/03/11	J	A	
380.					Sold (part)	10/11/11	J	A	
381.					Sold (part)	10/28/11	J	A	
382.					Sold (part)	11/03/11	J	A	
383.					Sold	11/15/11	J	A	
384. RBA	A	Dividend			Sold (part)	01/31/11	J	A	
385.					Sold	12/01/11	J	C	
386. SPN		None	J	T	Sold (part)	02/24/11	J		
387.					Buy (add'l)	11/02/11	J		
388. SIVB		None	J	T	Sold (part)	01/31/11	J	A	
389.					Buy (add'l)	04/27/11	J		
390. WLL		None	J	T	Buy (add'l)	08/05/11	J		
391. ZOLL		None	J	T	Sold (part)	01/07/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	03/03/11	J	B	
393.					Sold (part)	05/20/11	J	A	
394.					Buy (add'l)	09/26/11	J		
395.					Buy (add'l)	11/16/11	J		
396.					Sold (part)	12/20/11	J	B	
397. TRNO		None	J	T					
398. VTR		None			Sold	01/14/11	J	B	
399. PEGA	A	Dividend			Sold (part)	05/02/11	J		
400.					Sold	08/15/11	J	A	
401. MLM	A	Dividend			Sold (part)	01/10/11	J		
402.					Sold	05/04/11	J	A	
403. HLF	A	Dividend	J	T	Sold (part)	11/23/11	J	A	
404. ALTH		None			Buy (add'l)	04/11/11	J		
405.					Sold	08/10/11	J		
406. TRLG		None	J	T	Sold (part)	05/26/11	J	A	
407. COBZ	A	Dividend	J	T					
408. ATI	A	Dividend	J	T	Buy (add'l)	08/04/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 43

Name of Person Reporting

Aron, Catharine R.

Date of Report

06/28/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. WDC		None			Sold	08/12/11	J		
410. TRCR		None	J	T					
411. LECO	A	Dividend	J	T	Sold (part)	11/21/11	J	A	
412. IMAX		None	J	T	Buy (add'l)	02/04/11	J		
413.					Sold (part)	06/13/11	J		
414.					Buy (add'l)	08/04/11	J		
415.					Buy (add'l)	10/25/11	J		
416. THO		None	J	T	Sold (part)	03/16/11	J	B	
417. BRY	A	Dividend	J	T	Buy	08/12/11	J		
418. NILE	A	Dividend	J	T	Buy	01/10/11	J		
419.					Buy (add'l)	03/16/11	J		
420.					Buy (add'l)	04/14/11	J		
421.					Buy (add'l)	08/04/11	J		
422.					Sold (part)	10/31/11	J		
423.					Buy (add'l)	11/09/11	J		
424.					Buy (add'l)	11/22/11	J		
425. DHX	A	Dividend	J	T	Buy	07/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	08/03/11	J		
427.					Buy (add'l)	11/16/11	J		
428. FTNT	A	Dividend	J	T	Buy	04/27/11	J		
429.					Buy (add'l)	08/09/11	J		
430.					Buy (add'l)	09/29/11	J		
431. HCN	A	Dividend	J	T	Buy	01/11/11	J		
432.					Sold (part)	11/16/11	J	A	
433. LPLA	A	Dividend	J	T	Buy	07/20/11	J		
434.					Buy (add'l)	11/15/11	J		
435. LL	A	Dividend	J	T	Buy	05/20/11	J		
436.					Buy (add'l)	05/23/11	J		
437.					Buy (add'l)	08/04/11	J		
438. RP		None	J	T	Buy	06/21/11	J		
439.					Buy (add'l)	08/11/11	J		
440.					Buy (add'l)	08/30/11	J		
441. RFMD	A	Dividend	J	T	Buy	01/26/11	J		
442.					Buy (add'l)	04/27/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	08/10/11	J		
444.					Buy (add'l)	12/15/11	J		
445. SSD	A	Dividend	J	T	Buy	04/29/11	J		
446. WWD	A	Dividend			Buy	05/05/11	J		
447.					Sold	08/09/11	J		
448. SSGAMoney Market Fund	A	Int./Div.	J	T					
449.									
450.									
451. Hallibuton	A	Dividend			Sold	05/25/11	J	D	
452. NC HSG FIN BONDS	A	Interest	K	T					
453. Johnson and Johnson	A	Dividend			Sold	05/11/11	J	D	
454. Highwoods Pptys Inc	A	Dividend	K	T					
455. Hooker Furniture	A	Dividend	J	T					
456. Equitey One Inc	A	Dividend	K	T					
457. Carter Bank and Trust	B	Dividend	K	T					
458. Charlotte NC WTR and SEWER STATE BONDS S	C	Int./Div.			Redeemed	08/03/11	L		
459. xCalamos Growth Mutual Fund A	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Mutual Series Mutual Shares A	A	Int./Div.	J	T					
461. Tempelton Growth Mutual Fund A	A	Int./Div.	J	T					
462. Franklin Income Mutual Fund A	A	Int./Div.	J	T					
463. VFA-IRA Alliance Bernstein International Value	A	Int./Div.	M	T					
464. Valic Freedom Plus IRA Annuity	A	Int./Div.	L	T					
465. AIG 401K									
466. xBSCFX	A	Int./Div.	K	T					See Section VIII
467. xJNTFX	A	Int./Div.	K	T					
468. xVPMCX	A	Int./Div.	K	T					
469. xVEXMX	A	Int./Div.	K	T					
470. xFTSE	A	Int./Div.	K	T					
471. xTGVAX	A	Int./Div.	K	T					
472. xVINIX	A	Int./Div.	K	T					
473. xVWELX	A	Int./Div.	K	T					
474. xMEIAX	A	Int./Div.	K	T					
475. xSIVX	A	Int./Div.	J	T					
476. xVBMFX	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. AIG Stable Value Fund	A	Interest	J	T					
478. Sun America NQDA	A	Int./Div.	L	T					
479. Jackson National NQDA	A	Int./Div.	L	T					
480. VFA TransAmerica Laarge Growth	A	Int./Div.	N	T					
481. VFA Columbia Value	A	Int./Div.	M	T					
482. VFA Sterling Mid Cap Value	A	Int./Div.	M	T					
483. VFA GWK Small Core	A	Int./Div.	M	T					
484. VFA Nuveen Intermediate Muni	C	Interest	M	T					
485. VFA Intergrated Manager Account									
486. x ABT	A	Dividend	J	T	Buy (add'l)	2/01/11	J		
487. xACE	A	Dividend	J	T					
488. xACT	A	Dividend	J	T					
489. xMDRX		None	J	T					
490. xAMZN		None	J	T	Buy (add'l)	01/31/11	J		
491. xAPA	A	Dividend	J	T	Buy (add'l)	05/02/11	J		
492. xAMAT	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
493. xADM	A	Dividend	J	T	Buy (add'l)	02/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. xATW		None	J	T					
495. xBHE		None	J	T					
496. xBBG		None	J	T					
497. xBWA		None	J	T					
498. xCHRW		None			Sold	04/01/11	J	A	
499. xCAM		None	J	T	Buy (add'l)	03/15/11	J		
500. xSCHW	A	Dividend			Sold	04/06/11	J		
501. xGTLS		None	J	T					
502. xCBE	A	Dividend	J	T	Buy (add'l)	08/15/11	J		
503. xGLW	A	Dividend	J	T					
504. xCVS	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
505. xDVN	A	Dividend	J	T					
506. xEME		None	J	T					
507. xEMR	A	Dividend			Sold	04/01/11	J		
508. xEXPD	A	Dividend			Sold	12/21/11	J	A	
509. xFLEX		None	J	T	Buy (add'l)	02/01/11	J		
510. xFWRD	A	Dividend	J	T	Buy (add'l)	08/15/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. xGOOG		None			Sold	06/24/11	J		
512. xHAL	A	Dividend	J	T					
513. xHLIT		None	J	T	Buy (add'l)	08/15/11	J		
514. xHES	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
515. xHON	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
516. xILMN		None			Sold (part)	04/01/11	J	A	
517.					Sold	09/15/11	J		
518. xIDCC	A	Dividend	J	T					
519. xINTU		None			Sold	07/27/11	J	A	
520. xISRG		None			Sold	04/12/11	J	A	
521. xITRI		None	J	T					
522. xJCI	A	Dividend			Sold	04/01/11	J	A	
523. xKBR	A	Dividend	J	T					
524. xLAYN		None	J	T					
525. xMAR	A	Dividend			Sold (part)	02/01/11	J		
526.					Sold	04/12/11	J	A	
527. xMDT	A	Dividend	J	T	Buy (add'l)	02/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. xMMSI		None	J	T					
529. xMMI		None			Sold	08/17/11	J	A	
530. xNOV	A	Dividend	J	T	Buy (add'l)	08/10/11	J		
531. xNAVG		None	J	T	Buy (add'l)	08/11/11	J		
532. xNSC	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
533. xPRXL		None	J	T	Buy (add'l)	08/15/11	J		
534. xPRAA		None	J	T	Buy (add'l)	08/15/11	J		
535. xPCP	A	Dividend	J	T	Buy (add'l)	05/10/11	J		
536. xPCLN	A	Dividend			Sold	04/06/11	J	B	
537. xQCOM	A	Dividend	J	T	Buy (add'l)	09/15/11	J		
538. xRAH	A	Dividend			Sold	05/24/11	J	A	
539. xSBH		None	J	T					
540. xSMTC		None	J	T					
541. S		None			Sold	10/11/11	J		
542. xSNHY	A	Dividend	J	T					
543. xSTI	A	Dividend			Sold	04/01/11	J	A	
544. xSPN		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. xTROW	A	Dividend			Sold	04/06/11	J	A	
546. xTTMI		None	J	T	Buy (add'l)	08/15/11	J		
547. xTGT	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
548. xTEVA	A	Dividend	J	T					
549. xWU	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
550. xTWX	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
551. xTTC	A	Dividend	J	T					
552. xUN	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
553. xUNP	A	Dividend	J	T	Buy (add'l)	01/28/11	J		
554. xUNFI		None	J	T					
555. xVALE	A	Dividend			Sold	04/01/11	J	A	
556. xVOD	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
557. xWMS		None	J	T					
558. xDIS	A	Dividend			Sold	05/13/11	J	A	
559. xWFC		None			Sold	04/01/11	J		
560. xWSH	A	Dividend	J	T	Buy (add'l)	02/01/11	J		
561. xSCMTX	B	Int./Div.	K	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. xSRMST	A	Int./Div.	K	T					
563. xGATEX	A	Int./Div.	K	T					
564. xLZOEX	A	Int./Div.	J	T					
565. xSSEMXX	A	Int./Div.	J	T					
566. xEFA	B	Int./Div.	L	T					
567. xCRSOX	A	Int./Div.	J	T	Buy	08/11/11	J		
568. xA		None	J	T	Buy	04/01/11	J		
569.					Buy (add'l)	08/15/11	J		
570. xAGN		None	J	T	Buy	08/24/11	J		
571. xAAPL		None	J	T	Buy	01/31/11	J		
572.					Buy (add'l)	04/07/11	J		
573.					Buy (add'l)	08/15/11	J		
574. xARBA		None	J	T	Buy	07/08/11	J		
575. xBAX	A	Dividend	J	T	Buy	08/15/11	J		
576. xBRCM	A	Dividend	J	T	Buy	04/01/11	J		
577. xCCC		None	J	T	Buy	08/12/11	J		
578. xCAT	A	Dividend	J	T	Buy	02/18/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. xCELG	A	Dividend	J	T	Buy	04/01/11	J		
580. xCERN		None	J	T	Buy	08/15/11	J		
581. xCHKP		None	J	T	Buy	04/01/11	J		
582.					Buy (add'l)	08/15/11	J		
583. xCVX	A	Dividend	J	T	Buy	02/01/11	J		
584. xCSX	A	Dividend	J	T	Buy	09/15/11	J		
585. xDRH	A	Dividend	J	T	Buy	08/15/11	J		
586. xDE	A	Dividend	J	T	Buy	08/15/11	J		
587. xETN	A	Dividend	J	T	Buy	02/04/11	J		
588.					Buy (add'l)	06/28/11	J		
589. XFMC	A	Dividend	J	T	Buy	04/01/11	J		
590.					Buy (add'l)	08/15/11	J		
591. xHBHC	A	Dividend	J	T	Buy	08/05/11	J		
592. xICE		None	J	T	Buy	04/01/11	J		
593.					Buy (add'l)	08/15/11	J		
594. xJOYG	A	Dividend	J	T	Buy	06/13/11	J		
595. xLKFN	A	Dividend	J	T	Buy	02/07/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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596. xLNN	A	Dividend	J	T	Buy	10/04/11	J		
597. xMET	A	Dividend	J	T	Buy	08/15/11	J		
598.					Buy (add'l)	12/05/11	J		
599. xMDS		None	J	T	Buy	06/28/11	J		
600. xNYX	A	Dividend	J	T	Buy	08/24/11	J		
601. xOXY	A	Dividend	J	T	Buy	04/01/11	J		
602.					Buy (add'l)	08/15/11	J		
603. xORCL	A	Dividend	J	T	Buy	04/11/11	J		
604.					Buy (add'l)	08/15/11	J		
605. xPX	A	Dividend	J	T	Buy	04/01/11	J		
606. xPBH		None	J	T	Buy	08/15/11	J		
607. xPRU		None	J	T	Buy	11/10/11	J		
608. xRHT	A	Dividend	J	T	Buy	04/01/11	J		
609.					Buy (add'l)	08/15/11	J		
610. xROK		None	J	T	Buy	12/23/11	J		
611. xRDSA	A	Dividend	J	T	Buy	05/18/11	J		
612. xSLB	A	Dividend	J	T	Buy	09/15/11	J		

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613. xSCHN	A	Dividend	J	T	Buy	05/31/11	J		
614. xSBUX	A	Dividend	J	T	Buy	04/01/11	J		
615.					Buy (add'l)	08/15/11	J		
616. xSTE	A	Dividend	J	T	Buy	03/29/11	J		
617.					Buy (add'l)	08/11/11	J		
618. xSYNA		None	J	T	Buy	08/15/11	J		
619. xTDC		None	J	T	Buy	04/07/11	J		
620. xTHOR		None	J	T	Buy	08/15/11	J		
621. xTIBX		None	J	T	Buy	06/29/11	J		
622. xUNH		None	J	T	Buy	12/16/11	J		
623. xVFC	A	Dividend	J	T	Buy	09/15/11	J		
624. xV	A	Dividend	J	T	Buy	04/01/11	J		
625.									
626. Genworth Variable Life Insurance	A	Int./Div.	J	T					
627.									
628.									
629.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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630.									
631.									
632.									
633.									
634.									
635.									
636.									
637.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 4-15 on prior report deleted. These are assets of [REDACTED]. No need to report.

Part VII, page 26, line 377 has been amended to correct a typo. I listed xNOW when I should have listed RNOW.

Part VII, page 31, line 464 has been amended to disclose the full name of an annuity.

Part VII, page 31, line 465 has been amended to include lines 466-477 to list each mutual fund in the 401K.

Part VII, page 31, line 473 has been amended to list each individual asset. Since each asset was not reported in the prior year report, every asset has been marked with an 'x'. The prior line 473 now begins at line 485 and includes all entries thru line 624.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catharine R. Aron**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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