

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JOLLY, E. GRADY	2. Court or Organization COURT OF APPEALS FIFTH	3. Date of Report 07/28/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 501 EAST COURT STREET, ROOM 3.850 JACKSON, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	ADVISORY BOARD	FEDERAL JUDGES ASSOCIATION
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. FEDERAL JUDGES ASSOCIATION (fja)	5/3-4/2014	WASHINGTON, DC	ATTEND FEDERAL JUDGES ASSOCIATION ANNUAL BOARD MEETING MEETING	TRANSPORTATION, LODGING & MEALS
2. UNITED STATES COURTS	5/6-9/2014	SAN ANTONIO, TX	ATTEND FIFTH CIRCUIT JUDICIAL CONFERENCE	TRANSPORTATION, LODGING & MEALS
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bancorpsouth Money Market Acc't IRA (s)	A	Interest	J	T					
2. Analysts International Com Stk.		None			Expired	12/31/14	J	A	SEE FOOTNOTE 1
3. Snopes Corp. Common Stock	C	Int./Div.	K	U					
4. Gold Bullion 23 oz.		None	K	T					
5. Silver Bullion 850 oz.		None	J	T					
6. Fred's Inc. Common Stock	A	Dividend	K	T					
7. Trustmark Bank Acc't	A	Interest	J	T					
8. Trustmark Bank Acc't	A	Interest	J	T					
9. Trustmark Bank Acc't		None	J	T					
10. Trustmark Bank Acc't		None	L	T					
11. Trustmark Bank Acc't (s)	A	Interest	K	T					
12. "(H)" BROKERAGE ACCOUNT # 1									
13. Keely Sm Cap Val Fd	A	Dividend	J	T					
14. Blackrock Global Allocation Fd	A	Dividend			Sold (part)	4/14/14	K	C	
15.					Sold	12/30/14	J	B	
16. J P Morgan Tr II U S Gov't Money Mkt Fd	A	Dividend	J	T					
17. Berkshire Hathaway Cl B		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. J P Morgan Tr II L Cap Gr Fd Cl A	A	Dividend	J	T					
19. Parnassus Inc Tr Eq Inc Portfolio	A	Dividend	K	T					
20. SPDR S & P 500 ETF Tr	A	Dividend	K	T	Buy (add'l)	12/30/14	J		
21. SPDR Ser TR S & P Dividend ETF	A	Dividend	J	T					
22. PROSHARES S&P 500 DIV ARISTOCRATS ETF	A	Dividend	J	T	Buy	10/29/14	J		
23. SPDR DOW JONES IND AVG ETF TR UNIT SER I		None	J	T	Buy	12/30/14	J		
24. "(H)" IRA ACCOUNT # 1									
25. Columbia Acorn Fd - IRA	B	Dividend	K	T					
26. First Eagle Global Fd - IRA	A	Dividend	J	T					
27. Alger Spectra Fd - IRA	B	Dividend	K	T	Sold (part)	9/29/14	J	B	
28. J P Morgan Money Mkt-IRA	A	Interest	J	T					
29. J P Morgan Tr Value Advantage Fd-IRA	A	Dividend	K	T	Sold (part)	2/28/14	J	A	
30. Ishares Select Dividend ETF	A	Dividend	K	T					
31. SPDR Ser Tr S&P Div ETF	A	Dividend	K	T					
32. Berkshire Hathway Cl B		None	K	T					
33. Parnassus Inc Tr Eq Inc	A	Dividend	K	T					
34. SPDR S&P 500 ETF Tr	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Proshares S&P 500 Aristocrats ETF	A	Dividend	J	T					
36. CALL CYTK 8/6/14		None			Buy	2/28/14	J		
37.					Sold	4/28/14	J	B	
38. CYTOKINETICS INC		None			Buy	3/04/14	J		
39.					Sold	4/30/14	J	A	
40. "(H)" BROKERAGE ACCOUNT # 2									
41. Ishares Select Dividend ETF		None			Sold	1/14/14	J	A	
42. Ishares Tr Russell Midcap Gr Index Fd		None			Sold	1/14/14	J	A	
43. J P Morgan Tr II Large Cap Gr Fd Cl A		None			Sold	1/14/14	J	B	
44. SPDR S&P 500 ETF Tr	A	Dividend			Sold	1/14/14	J	A	
45. SPDR Ser Tr S&P Dividend ETF		None			Sold	1/14/14	J	A	
46. Vanguard Short Term Bond ETF		None			Sold	1/14/14	J	A	
47. J P Morgan Tr II US Gov't Money Mkt Fd	A	Dividend			Closed	3/5/14	K		
48. Global X FDS ETF	A	Dividend			Sold	1/14/14	J	A	
49. Proshares S&P 500 Aristocrats ETF		None			Sold	1/14/14	J	A	
50.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FOOTNOTE 1: PART VII LINE 2 ANALYSTS INTERNATIONAL COMMON STOCK. OWNED 29 SHARES OF ANALYSTS INTERNATIONAL WHICH WAS ACQUIRED BY AMERICAN CYBER SYSTEMS IN AUGUST 2013. FAILED TO TRANSMIT THE SHARES TO AMERICAN CYBER SYSTEMS. THE OFFER PRICE WAS \$187. AS OF DECEMBER 2014 THE OFFER HAS EXPIRED AND THERE IS NO VALUE IN THE STOCK.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ E. GRADY JOLLY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544