

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Yarbrough, Steven C.	2. Court or Organization United States District Court - New Mexico	3. Date of Report 10/02/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 09/02/2014
7. Chambers or Office Address 333 Lomas Blvd. NW, Ste. 670 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Acting United States Attorney	United States Attorney's Office, District of New Mexico
2. First Assistant United States Attorney	United States Attorney's Office, District of New Mexico
3. Board of Directors	Los Ojos de la Familia (501(c)(3) charity)
4. Member	New Mexico Model Rules of Professional Conduct Committee
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012-2014

self-employed, musician

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. exempt

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sunwest Bank	Mortgage on rental property: Albuquerque, NM 87113	M
2.	Capital One Mastercard 	Credit Card	J
3.	Visa 	Credit Card	J
4.	Capital One Visa 	Credit Card	J
5.	Citibank Visa 	Credit Card	J
6.	Chase Visa 	Credit Card	J
7.	Bank of America Visa 	Credit Card	J
8.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Extended Mkt Index Adm	A	Dividend	K	T	Exempt				
2. Vanguard High Divident Yld Idx Inv	A	Dividend	J	T	Exempt				
3. Vanguard High Yield Corp Fund Inv	A	Dividend	J	T	Exempt				
4. Vanguard Pacific Stock Index Inv	A	Dividend	J	T	Exempt				
5. Vanguard Precious Metal and Mining	A	Dividend	J	T	Exempt				
6. Vanguard Prime Money Market	A	Int./Div.	M	T	Exempt				
7. Vanguard REIT Index Fund	A	Dividend	J	T	Exempt				
8. Vang Tot Intl Stock lx Adm	A	Dividend	K	T	Exempt				
9. Vang Total Bond Mkt Idx	A	Dividend	J	T	Exempt				
10. Vang 500 Index Fund	A	Dividend	K	T	Exempt				
11. Market Vectors Gold Miners ETF	A	Dividend	J	T	Exempt				
12. Caterpillar	A	Dividend	J	T	Exempt				
13. Cisco Systems Inc.	A	Dividend	J	T	Exempt				
14. HPQ	A	Dividend	J	T	Exempt				
15. Intel Corp	A	Dividend	J	T	Exempt				
16. JNJ	A	Dividend	J	T	Exempt				
17. PG	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. SONVF	A	Dividend	J	T	Exempt				
19. MMM	A	Dividend	J	T	Exempt				
20. Vang GNMA Fund Inv Shares	A	Dividend	J	T	Exempt				
21. Vanguard Aggressive Age Based Option: Vanguard Agg Growth Port 529 (3)	A	Dividend	J	T	Exempt				
22. Vanguard Aggressive Age Based Option: Vanguard Agg Growth Port 529 (2)	A	Dividend	J	T	Exempt				
23. The Education Plan 529 - Aggressive Index Portfolio New Mexico (1)	A	Dividend	K	T	Exempt				
24. Vang 500 Index Fund	A	Dividend	K	T	Exempt				
25. Vang Develop Mkts Idx Fund	A	Dividend	J	T	Exempt				
26. Vang Emerging Mkt Idx Fund	A	Dividend	J	T	Exempt				
27. Vang European Stock Idx Fund	A	Dividend	J	T	Exempt				
28. Vang Growth and Income Fund Inv	A	Dividend	K	T	Exempt				
29. Vang Mid Cap Idx Fund	A	Dividend	K	T	Exempt				
30. Vang Prime Money Mkt Fund	A	Int./Div.	J	T	Exempt				
31. Vang Small Cap Growth Idx Fund	A	Dividend	K	T	Exempt				
32. Vang Total Int'l Stock Idx Fund	A	Dividend	K	T	Exempt				
33. Vang Windsor II Fund Inv	A	Dividend	K	T	Exempt				
34. Google Inc	A	Dividend	J	T	Exempt				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. DIS	A	Dividend	J	T	Exempt				
36. Vang Balanced Trad IRA	A	Dividend	J	T	Exempt				
37. Vang Life Strategy Growth Trad IRA	A	Dividend	J	T	Exempt				
38. Vang Mid-Cap Growth Trad IRA	A	Dividend	J	T	Exempt				
39. Vang Tot Stock Mkt Index Trad IRA	A	Dividend	J	T	Exempt				
40. Vang Life Strategy Conserv Growth Rollover IRA	A	Dividend	J	T	Exempt				
41. Vang Long Term Bond Idx Fund Rollover IRA	A	Dividend	J	T	Exempt				
42. Vang Precious Metals and Mining Fund Rollover IRA	A	Dividend	J	T	Exempt				
43. Vang Star Fund Rollover IRA	A	Dividend	J	T	Exempt				
44. Vang strat equity fund Rollover IRA	A	Dividend	K	T	Exempt				
45. Vang wellesley inc fund inv rollover ira	A	Dividend	K	T	Exempt				
46. vang european stock idx fund inv roth ira	A	Dividend	J	T	Exempt				
47. vang total int'l stock idx fund roth ira	A	Dividend	J	T	Exempt				
48. vang strategic equity fund roth ira	A	Dividend	J	T	Exempt				
49. vang star fund simple ira	A	Dividend	K	T	Exempt				
50. vang total int'l stock idx fund simle ira	A	Dividend	K	T	Exempt				
51. vang prime money market fund utma account (1)	A	Dividend	J	T	Exempt				

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52. BAC (1)	A	Dividend	J	T	Exempt				
53. F (1)	A	Dividend	J	T	Exempt				
54. GE (1)	A	Dividend	J	T	Exempt				
55. DIS (1)	A	Dividend	J	T	Exempt				
56. Vang Aggressive Growth Port 529 (1)	A	Dividend	J	T	Exempt				
57. Vang prime money market utma (3)	A	Int./Div.	J	T	Exempt				
58. DIS (3)	A	Dividend	J	T	Exempt				
59. vang prime money mkt utma (2)	A	Int./Div.	J	T	Exempt				
60. DIS (2)	A	Dividend	J	T	Exempt				
61. OCB Venture II LLC (real estate purchase and sell)		None	K	U	Exempt				
62. Rental Property #1 - Taos, NM	D	Rent	N	W	Exempt				
63. Rental Property # 2 - Albuquerque NM	D	Rent	M	W	Exempt				
64. Undev Real Prop [redacted] and Sundollar Inv - rio rancho NM		None	J	W	Exempt				
65. Rock Me Baby Records [redacted] corp; income earned as salary)		None	M	W	Exempt				
66. Vanguard Moderate Age Based Option: Vang Growth Port 529 (2)	A	Dividend	J	T	Exempt				
67. Vanguard Moderate Age Based Option: Vang Growth Port 529 (3)	A	Dividend	J	T	Exempt				
68.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 65: [REDACTED] Rock Me Baby Records, Inc., an S-corporation. [REDACTED] draws income as an employee, which I did not list. I listed the company as an investment, however, since the company has value and [REDACTED] owns the company. I noted that [REDACTED] had no income from the company because the company did not pay dividends and the income [REDACTED] received was in the form of a salary.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven C. Yarbrough**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544