

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Millett, Patricia A.	2. Court or Organization U.S. Court of Appeals for the D.C. Circuit	3. Date of Report 05/28/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 333 Constitution Avenue, N.W. Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustee	The [REDACTED] Living Trust dated February 25, 1998 as amended.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Florida Sugar Cane League, Inc. - spouse's salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Stanford Law School	02/07/2014 - 02/09/2014	Stanford, CA	2014 Supreme Court at Midterm Conference	Transportation, food, hotel
2. University of Michigan Law School	04/02/2014	Ann Harbor, MI	Campbell Moot Court	Transportation, food
3. American Law Institute	04/03/2014 - 04/04/2014	Philadelphia, PA	ALI Rest. Third, The Law of American Indians	Transportation, food, hotel
4. Legal Writing Institute	07/01/2014 - 07/02/2014	Philadelphia, PA	2014 Legal Writing Institute Conference	Transportation, food, hotel
5. William & Mary Law School	09/19/2014 - 09/20/2014	Williamsburg, VA	Supreme Court Preview	Transportation, food, hotel

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6.	Harvard Law School	11/18/2014 - 11/19/2014	Cambridge, MA	AMES Moot Court	Transportation, food, hotel
7.	Yale Law School	12/03/2014 - 12/04/2014	New Haven, CT	Supreme Court Advocacy Clinic	Transportation, food, hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Akin Gump Strauss Hauer & Feld LLP	Investiture reception	\$17,393.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		tuition for child	None
2.		tuition for child	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Akin Gump Strauss Hauer & Feld LLP- Partner's Retirement Plan		None			Sold	03/07/14	L		
2. Akin Gump Strauss Hauer & Feld LLP- 401(K) Profit Sharing Plan		None			Sold	01/09/14	N		
3. Pacific: Universal Variable Life (Y)									
4. U.S. Treasury Bonds	A	Interest	J	T					
5. Akin Gump Strauss Hauer & Feld LLP- Capital Account (Y)									
6. National: Whole Life Policy	A	Interest			Sold	09/10/14	K	D	
7. Wells Fargo Bank- Various Accounts	A	Interest	N	T					
8. Florida Sugar Cane League, Inc. 401(K) Plan (H)	D	Int./Div.	M	T					
9. -First Eagle SoGen Overseas A Fund									
10. -FMI Large Cap Fund									
11. -Janus Growth and Income Fund									
12. -Keeley Small Cap Value Fund									
13. -Mainstay ICAP Select Equity Fund									
14. -Principal Inv. FD High Yield Fund									
15. -Schroder Cap Smller Cos Inv Fund									
16. -Columbia Acorn Select Fund									
17. -Schwab 1000 Index Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Janus Perkins Mid Cap Value-T (X)									
19. -TCW Total Return Mortgage Backed Fund									
20. -Wells Fargo Stable Value Fund									
21. Principal Financial Group IRA Rollover Variable Annuity (H)									
22. -Strategic Asset Mgmt. Conservative Balanced Fund					Sold	03/28/14	M		
23. -Strategic Asset Mgmt. Conservative Growth Fund					Sold	03/28/14	M		
24. American Funds Growth Fund of America 529 Plan (H)									
25. -Growth Fund of America 529A	D	Dividend	L	T	Buy (add'l)	02/12/14	J		
26. -Growth Fund of America 529B	B	Dividend	J	T					
27. American Funds Income Fund of America 529 Plan (H)									
28. -Income Fund of America 529A	B	Dividend	L	T	Buy (add'l)	02/12/14	J		
29. -Income Fund of America 529B	A	Dividend	J	T					
30. Brokerage Account #1 (H)									
31. -Exxon Mobile Corp. - Common (X)	C	Dividend	M	T					
32. -Lockheed Martin Corp. - Common (X)	D	Dividend	N	T					
33. Brokerage Account #2 (H)									
34. -Raymond James Bank	A	Interest	L	T					

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35. -First Eagle Global Fund	C	Dividend	L	T	Buy	06/18/14	K		
36.					Buy (add'l)	08/01/14	K		
37.					Buy (add'l)	09/24/14	K		
38.					Buy (add'l)	10/30/14	K		
39.					Buy (add'l)	11/28/14	K		
40. -Franklin Income Fund	B	Dividend	L	T	Buy	06/18/14	K		
41.					Buy (add'l)	08/01/14	K		
42.					Buy (add'l)	09/24/14	K		
43.					Buy (add'l)	10/30/14	K		
44.					Buy (add'l)	11/28/14	K		
45. -Lord Abbett Short Duration Income Fund	C	Dividend	M	T	Buy	06/18/14	N		
46.					Sold (part)	08/01/14	L		
47.					Sold (part)	09/24/14	L		
48.					Sold (part)	10/30/14	L		
49.					Sold (part)	11/28/14	L		
50. -T. Rowe Price Capital Appreciation Fund	D	Dividend	M	T	Buy	06/16/14	K		
51.					Buy (add'l)	07/30/14	K		

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52.					Buy (add'l)	09/22/14	K		
53.					Buy (add'l)	10/28/14	K		
54.					Buy (add'l)	11/25/14	K		
55. Brokerage Account #3 UTMA (H)									
56. -T. Rowe Price Capital Appreciation Fund	A	Dividend	J	T	Buy	07/01/14	J		
57. Brokerage Account #4 UTMA (H)									
58. -T. Rowe Price Capital Appreciation Fund	A	Dividend	J	T	Buy	07/01/14	J		
59. Trust # 1 (H)		None	L	R					
60. -Real Estate, Chesterfield, Missouri (2000 \$198,000)									
61.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Line 1- The Akin Gump Strauss Hauer & Feld LLP Partners Retirement Plan was transferred into the Thrift Savings Plan and is no longer reportable.
- 2) Part VII, Line 2- The Akin Gump Strauss Hauer & Feld LLP 401(k) Profit Sharing Plan was transferred into the Thrift Savings Plan and is no longer reportable.
- 3) Part VII, Line 3- The Akin Gump Strauss Hauer & Feld LLP Pacific Universal Variable Life Insurance Policy terminated on 12/31/2013, no cash value, and is no longer reportable.
- 4) Part VII, Line 5- The Akin Gump Strauss Hauer & Feld LLP Capital Account represents the filer's return of capital of partnership interest in Akin Gump Strauss Hauer & Feld LLP. The return of capital was paid on 12/27/2013 and is no longer reportable.
- 5) Part VII, Line 8- The Florida Sugar Cane League, Inc. 401(k) Plan is controlled and maintained by The Florida Sugar Cane League, Inc., and is not self-directed. The Spouse can only chose a general category of risk (low, medium or high).
- 6) Part VII, Line 18- The Janus Perkins Mid Cap Value-T Fund was inadvertently omitted from the listing of assets in the Florida Sugar Cane League, Inc. 401(k) Plan for 2013.
- 7) Part VII, Line 21- The Principal Financial Group IRA Rollover Annuity was transferred into the Thrift Savings Plan, and is no longer reportable.
- 8) Part VII, Line 22- The Strategic Asset Mgmt. Conservative Balanced Fund is an underlying asset of Line 21, The Principal Financial Group IRA Rollover Annuity, and was transferred into the Thrift Savings Plan and is no longer reportable.
- 9) Part VII, Line 23- The Strategic Asset Mgmt. Conservative Growth Fund is an underlying asset of Line 21, The Principal Financial Group IRA Rollover Annuity, and was transferred into the Thrift Savings Plan and is no longer reportable.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Patricia A. Millett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544