

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Huennekens, Kevin R.	2. Court or Organization Virginia - Bankruptcy Court	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 701 East Broad Street Fifth Floor Richmond, VA 23219-1888		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Wages Virginia Commonwealth University - Assistant Professor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. William & Mary Law School	February 15 - 16, 2013	Williamsburg, VA	Judge for moot court competition	Meals & Hotel Room
2. Northern Virginia Bankruptcy Bar Association	February 20 - 21, 2013	Fairfax, VA	Faculty for legal educational seminar	Transportation, Meal, Room
3. Tidewater Bankruptcy Bar Association	February 21-22, 2013	Virginia Beach, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room
4. American College of Bankruptcy	March 15-16, 2013	Washington, DC	Faculty for legal education seminar	Transportation, Meals & Hotel Room
5. National Conference of Bankruptcy Judges	April 7 - 9, 2013	Phoenix, AZ	Attend mid-year meeting	Transportation, Meal, Hotel Room

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6.	American Bankruptcy Institute	April 18 - 21, 2013	Washington DC	Faculty for legal educational seminar	Meals & Hotel Room
7.	American Bankruptcy Institute	June 4-5, 2013	New York, NY	Committee Presentation	Transportation, Meals, Room
8.	American Bankruptcy Institute	September 26 - 27, 2013	Washington, DC	Faculty for legal educational seminar	Transportation, Meals & Hotel Room
9.	Virginia State Bar (CLE)	September 19 - 21, 2013	Chalottesville, VA	Faculty for legal educational seminar	25th Mid-Atlantic Bankruptcy Institute, (Meals, Room)
10.	American Bankruptcy Institute	October 3 - 4, 2013	Kansas City, MO	Faculty for legal education seminar	Transportation, meals, hotel room
11.	National Conference of Bankruptcy Judges	October 29 - November 2, 2013	Atlanta, GA	Attend nat'l conference & faculty for legal educational seminar	Transportation & 3 nights hotel room

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Advisors	Margin Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual adjustable Complife Policy	C	Dividend	L	T					
2. First Nat'l Bank of Omaha - Health Savings Account	A	Interest	J	T					
3. IRA for MEH IMLBX	A	Int./Div.	K	T					
4. Wells Fargo Savings Account	A	Interest	J	T					
5. Suntrust Checking Account	A	Interest	J	T					
6. Wells Fargo Advisors Cap [REDACTED]									
7. - cash and sweep balances in Wells Fargo [REDACTED]	A	Int./Div.	K	T					
8. - ARTSX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
9.					Sold (part)	07/31/13	J	A	
10.					Sold (part)	12/12/13	J	A	
11. - DGAGX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
12.					Sold (part)	07/31/13	J	A	
13.					Buy (add'l)	09/24/13	J		
14. - MMIIX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
15.					Sold (part)	07/31/13	J	A	
16.					Buy (add'l)	09/24/13	J		
17. - ARGYX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - ARTVX	A	Int./Div.	J	T	Sold (part)	01/10/13	J		
19.					Sold (part)	07/31/13	J	A	
20.					Buy (add'l)	09/24/13	J		
21. - CISGX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
22.					Sold (part)	07/31/13	J	A	
23. - DODFX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
24.					Sold (part)	07/31/13	J	A	
25. - DNVYX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
26.					Sold (part)	07/31/13	J	A	
27.					Buy (add'l)	09/24/13	J		
28. - EITVX					Sold (part)	01/10/13	J	A	
29.					Sold (part)	07/31/13	J	A	
30.					Sold	09/24/13	J	A	
31. - FAARX					Sold (part)	01/10/13	J	A	
32.					Sold (part)	07/31/13	J		
33.					Sold	09/26/13	J		
34. - FTXXX		None	J	T	Buy (add'l)	07/18/13	J		

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35. - HWMIX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
36.					Sold (part)	07/05/13	J	A	
37.					Buy (add'l)	09/24/13	J		
38. - MEDIX	A	Int./Div.	J	T	Sold (part)	07/31/13	J		
39. - OIBYX	A	Int./Div.	J	T	Sold (part)	07/31/13	J		
40.					Buy (add'l)	09/24/13	J		
41. - OIGYX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
42.					Sold (part)	09/24/13	J	A	
43. - ORIYX		None	J	T	Sold (part)	01/10/13	J	A	
44.					Sold (part)	07/31/13	J	A	
45. - PCRIX	A	Int./Div.	J	T	Sold (part)	01/10/13	J		
46.					Sold (part)	07/31/13	J		
47. - PNMFX		None			Sold (part)	01/10/13	J	A	
48.					Sold	07/31/13	J	A	
49. - PRSMX	A	Int./Div.	J	T	Sold (part)	01/10/13	J	A	
50.					Sold (part)	07/31/13	J		
51.					Buy (add'l)	09/24/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P3 = \$25,000,001 - \$50,000,000
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52. - RSENX		None			Sold	02/20/13	J	A	
53. - TWGIX		None			Sold (part)	01/10/13	J	A	
54.					Sold (part)	07/31/13	J	A	
55.					Sold	09/26/13	J	A	
56. MCGIX	A	Int./Div.	J	T	Buy	09/24/13	J		
57. DHLRX	A	Int./Div.	J	T	Buy	09/24/13	J		
58. NWHYX		None	J	T					
59. ODVYX	A	Int./Div.	J	T	Buy	02/21/13	J		
60. IRA #1 for KRH	D	Int./Div.	N	T					
61. - cash and sweep balances in Wells Fargo									
62. - IJR					Sold (part)	01/14/13	J	A	
63.					Sold (part)	12/27/13	J	A	
64. - CIU					Sold (part)	12/27/13	J	A	
65. - LQD					Sold (part)	12/27/13	J	A	
66. - CSJ					Buy (add'l)	04/03/13	J		
67.					Buy (add'l)	07/31/13	J		
68.					Buy (add'l)	09/25/13	J		

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69.					Sold (part)	12/27/13	J	A	
70. - MBB									
71. - IEF									
72. - EWM									
73. - DBC					Sold (part)	01/14/13	J	A	
74.					Sold (part)	09/04/13	J	A	
75.					Sold (part)	12/27/13	J		
76. - JNK					Sold (part)	12/27/13	J	A	
77. - VWO					Sold (part)	04/22/13	J		
78. - VEA					Sold (part)	01/15/13	J	A	
79.					Sold (part)	03/07/13	J	A	
80.					Sold (part)	04/29/13	J	A	
81.					Sold (part)	07/18/13	J	A	
82.					Sold (part)	12/27/13	J	A	
83. - IJH					Sold (part)	01/14/13	J	A	
84.					Sold (part)	12/27/13	J	A	
85. - XLF					Sold (part)	12/27/13	J	A	

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86. - XLV					Sold (part)	12/27/13	J	A	
87. - XLY					Sold (part)	07/31/13	J	A	
88.					Sold (part)	12/27/13	J	A	
89. - XLI					Buy (add'l)	01/15/13	J		
90.					Sold (part)	12/27/13	J	A	
91. - VNQ					Sold (part)	12/27/13	J	A	
92. - VOX					Sold (part)	12/27/13	J	A	
93. - XLP					Sold (part)	07/31/13	J	A	
94.					Sold (part)	12/27/13	J	A	
95. - VGT					Sold (part)	07/31/13	J	A	
96.					Sold (part)	12/27/13	J	A	
97. - XLB					Buy (add'l)	01/15/13	J		
98.					Sold (part)	07/31/13	J	A	
99.					Sold (part)	12/27/13	J	A	
100. - XLE					Sold (part)	12/27/13	J	A	
101. - BND					Sold (part)	01/14/13	J	A	
102.					Sold (part)	12/27/13	J	A	

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103. - EWU									
104. - EWY					Sold (part)	09/13/13	J	A	
105.					Sold (part)	12/27/13	J	A	
106. - IGN					Sold (part)	01/14/13	J	A	
107.					Buy (add'l)	01/15/13	J		
108.					Sold (part)	12/27/13	J	A	
109. - EWW					Sold (part)	01/14/13	J	A	
110.					Sold (part)	12/27/13	J	A	
111. - EIDO					Sold (part)	08/20/13	J	A	
112.					Sold (part)	08/22/13	J	A	
113. - FEZ					Buy (add'l)	10/29/13	J		
114.					Sold (part)	12/27/13	J	A	
115. - KBE					Sold (part)	12/27/13	J	A	
116. - XRT					Sold (part)	12/27/13	J	A	
117. - ECON					Buy	04/22/13	J		
118. - EWC					Buy	08/22/13	J		
119.					Sold	09/27/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Huennekens, Kevin R.

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - EWA					Buy	07/18/13	J		
121.					Sold	10/29/13	J	A	
122. - EWG					Buy	04/29/13	J		
123.					Sold (part)	12/27/13	J	A	
124. - EWJ					Buy	09/27/13	J		
125. - EWH					Buy	08/20/13	J		
126.					Buy (add'l)	09/27/13	J		
127.					Sold	10/29/13	J	A	
128. IRA #2 for KRH	B	Int./Div.	M	T					
129. - cash and sweep ballancesi in Wells Fargo									
130. - AGG					Sold (part)	01/08/13	J	A	
131.					Sold	02/01/13	J	A	
132. - HYG					Sold	02/01/13	J	A	
133. - LQD					Sold (part)	01/08/13	J	A	
134.					Sold	02/01/13	J	A	
135. - DBC					Sold (part)	02/01/13	J	B	
136. - DBP					Sold	02/01/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Huennekens, Kevin R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - XLY					Sold	01/08/13	J	A	
138. - SHY					Sold	02/01/13	J		
139. - XLE					Sold	02/01/13	J	A	
140. - FXL					Sold	02/01/13	J	A	
141. - RSP					Sold	02/01/13	K	B	
142. - GSY					Sold	02/01/13	J	A	
143. - XLI					Sold	02/01/13	J	A	
144. - ITOT					Sold (part)	01/08/13	J	A	
145.					Sold	02/01/13	J	A	
146. - IVV					Sold (part)	01/08/13	J	A	
147.					Sold (part)	02/01/13	J	A	
148.					Buy (add'l)	03/01/13	L		
149.					Buy (add'l)	05/01/13	J		
150.					Sold (part)	06/03/13	J	A	
151.					Sold (part)	07/01/13	L	D	
152. - HDV					Sold	02/01/13	J	A	
153. - IYR					Sold	02/01/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Huennekens, Kevin R.

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - IYF					Sold	02/01/13	J	A	
155. - VAW					Sold	02/01/13	J	A	
156. - IJH					Buy	02/06/13	L		
157.					Sold (part)	03/01/13	L		
158.					Buy (add'l)	08/01/13	L		
159.					Sold (part)	09/03/13	L		
160. - EFA					Buy	01/08/13	J		
161.					Sold	02/01/13	J	A	
162. - IWM					Buy	02/06/13	L		
163.					Sold (part)	05/01/13	L	B	
164.					Buy (add'l)	06/03/13	L		
165.					Buy (add'l)	07/01/13	J		
166.					Sold	11/01/13	L	D	
167. - IGOV					Buy	01/08/13	J		
168.					Sold	02/01/13	J	A	
169. - EEM					Buy	01/08/13	J		
170.					Sold	02/01/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Huenekens, Kevin R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - IEI					Buy	05/01/13	L		
172.					Sold	06/03/13	L		
173.					Buy	07/01/13	L		
174.					Buy (add'l)	09/03/13	L		
175.					Sold	10/01/13	M	A	
176. - DBB					Buy	01/08/13	J		
177.					Sold	02/01/13	J	A	
178. - MVV					Buy	02/06/13	K		
179.					Sold	03/01/13	K		
180.					Buy	08/01/13	K		
181.					Sold (part)	09/03/13	K		
182. - UST					Buy	03/01/13	K		
183.					Sold (part)	06/03/13	K		
184.					Buy (add'l)	07/01/13	K		
185.					Sold (part)	08/01/13	K		
186.					Buy (add'l)	09/03/13	K		
187.					Sold	10/01/13	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Huennekens, Kevin R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - SSO					Buy	03/01/13	K		
189.					Buy (add'l)	06/03/13	J		
190.					Sold (part)	07/01/13	K	D	
191. - PCY					Buy	01/08/13	J		
192.					Sold	02/01/13	J	A	
193. - UWM					Buy	02/06/13	K		
194.					Sold	05/01/13	K	C	
195.					Buy	06/03/13	K		
196.					Sold	11/01/13	K	D	
197. - CEW					Buy	01/08/13	J		
198.					Sold	02/01/13	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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Huennekens, Kevin R.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 6 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 7 through 59.

Part VII - Line 25 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 61 through 127.

Part VII - Line 26 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 129 through 198.

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Huennekens, Kevin R.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kevin R. Huennekens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544