

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keir, Duncan W.	2. Court or Organization U.S. Bankruptcy Court, District of MD	3. Date of Report 04/17/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 101 W. Lombard Street Chambers 3B Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prime Fund Daily Class	A	Dividend	K	T	Buy (add'l)	06/29/16	J		
2. Wells Fargo Bank f/k/a Wachovia Bank	A	Interest	J	T					
3. Nationwide Insurance	A	Interest	K	T					
4. Bond U.S. Series EE	A	Interest	J	T					
5. Baltimore County Employee Credit Union	A	Interest	J	T					
6. Baltimore County Employee Retirement	D	Interest	K	T					
7. MUT FD PIMCO Total Return	A	Dividend			Sold	06/29/16	K	A	
8. MUT FD Ishares Barclays 1-3 year	B	Dividend	K	T					
9. MUT FD Ishares Barclays 1-3 yr CD BD FD	A	Dividend	K	T					
10. MUT FD Ishares Trust Barclays CMBS BD FD	A	Dividend	J	T					
11. MUT FD PIMCO ETF Trust 0-5 yield BD IDX ETF	A	Dividend	J	T					
12. MUD FD Pimco All Asset Authority	B	Dividend	K	T					
13. MUT FD DFA US CORE EQUITY 1	B	Dividend	L	T					
14. MUT FD DFA Investment Grade	A	Dividend	K	T	Buy	06/29/16	K		
15. IRA at Fidelity Investments as follows:									
16. Fidelity Cash Reserve Fund	A	Dividend	J	T					
17. MUT FD DFA Investment grade portfolio	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. MUT FD DFA US CORE Equity 1	B	Dividend	L	T					
19. MUT FD DFA Five year global fixed	A	Dividend	K	T					
20. MUT FD DFA International Core Equity	A	Dividend	K	T					
21. IRA at FIDELITY INVESTMENTS as follows:									
22. Fidelity Cash Reserve	A	Dividend	J	T					
23. MUT FD DFA Investment grade	D	Dividend	N	T					
24. MUT FD DFA Emerging Markets	A	Dividend			Sold	10/27/16	K	C	
25. MUT FD DFA Core Equity 1	D	Dividend	N	T					
26. MUT FD DFA Five Year Global Fixed	C	Dividend	M	T					
27. MUT FD DFA Global Real Estate Sec	C	Dividend	L	T	Sold (part)	10/27/16	J	A	
28.					Sold (part)	11/01/16	J	A	
29. MUT FD DFA International Core Equity	C	Dividend	M	T					
30. MUT FD DFA Intermediate Govt Fixed	B	Dividend	M	T	Buy	08/23/16	M		
31. Real Estate Worcester Co. MD Purchased 6/07 \$213,000	A	Rent	M	R					
32. Real Estate Ba. Co. MD Farmland JT Interest Appraisal 7/2008	A	Rent	P1	Q					
33. CD Bank of America	A	Interest	L	T					
34.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Appraisal for asset listed on line 32 was done as of 07/15/08 (date of death).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Duncan W. Keir**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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