

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brown, Jerry A.	2. Court or Organization U. S. Bankruptcy Court, EDLA	3. Date of Report 08/04/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 500 Poydras Street, Ste B-741A Hale Boggs Federal Building New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Brown, Jerry A.

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08/04/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. National Conference of
Bankruptcy Judges

October 26-29, 2016

San Francisco, CA

NCBJ annual seminar

transportation and lodging

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Brown, Jerry A.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Ditech	Mortgage loan-N.O. rental property	J
2.	CitiMortgage	Mortgage loan-N.O. rental property	M
3.	Chase	Mortgage loan - N.O. rental property	L
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

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08/04/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New Orleans, LA rental property-parcel 1	E	Rent	N	W					
2. New Orleans, LA rental property-parcel 2	E	Rent	N	W					
3. New Orleans, LA rental property-parcel 4	D	Rent	M	W					
4. New Orleans, LA rental property-parcel 5	E	Rent	N	W					
5. New Orleans, LA rental property-parcel 6	D	Rent	M	W					
6. IBM-common stock	E	Dividend	O	T					
7. Occidental Pet.-common stock	E	Dividend	O	T					
8. Capitol One	A	Interest	J	T					
9. Capitol One Inv. LLC	B	Dividend	J	T					
10. Scudder Tax Free Fund	B	Dividend	J	T					
11. Vinbro, Inc.-common stock (New Orleans, LA)	D	Rent	K	W					
12. Vinbro, Inc.-common stock (New Orleans, LA)	F	Rent	O	W					
13. Safe Co. Muni Bond Fund	F	Dividend	J	T					
14. Merrill Lynch Global Allocation	A	Dividend	K	T					
15. Janus Twenty Fund	A	Dividend	J	T					
16. 20th Century Ultra Fund	A	Dividend	J	T					
17. Vanguard Health Care Portion	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. DWS Investments	A	Dividend	J	T					
19. Nicholas Fund	A	Dividend	J	T					
20. New Orleans, LA rental prop.-parcel 7	E	Rent	N	W					
21. AIM Large Cap Growth Fund	A	Dividend	J	T					
22. Microsoft-Common stock	A	Dividend	J	T					
23. New Orleans rental property - Parcel 8	E	Rent	N	W					
24. LPL Financial	F	Distribution	P1	T					
25. - AMCAP Fund Class A	A	Distribution	L	T					
26. - Capital World Growth & Income Fund - Class A	B	Distribution	L	T					
27. - Fundamental Investors Inc. Class A	B	Distribution	L	T					
28. - Income Fund of America Class A	D	Distribution	M	T					
29. - Franklin Utilities Fund Class C	C	Distribution	M	T					
30. - Franklin Income Fund Class C	D	Distribution	M	T					
31. - Franklin Rising Dividends Class C	B	Distribution	M	T					
32. - Franklin Mutual Global Discovery	A	Distribution	K	T					
33. - New Perspective Fund Class A	A	Distribution	L	T					
34. - Pimco All Asset All Authority Fund	C	Distribution	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Brown, Jerry A.

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35. Whitney Bank Checking Account		None	M	W					
36. Vinbro, Inc, common stock (New Orleans, LA)	B	Dividend	K	W					
37. Vinbro, Inc, common stock (New Orleans, LA)	E	Dividend	N	W					
38. Hancock Holding Co.	D	Dividend	N	T					
39. California Resources Corp.	A	Dividend	K	T					
40.									
41.									
42.									
43.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Brown, Jerry A.

Date of Report

08/04/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of:

Part VII, Lines 11 and 12 show for the calendar year 2016 the income to [REDACTED], that is a flow through from a subchapter S corporation in which [REDACTED] own stock (in different amounts because of donations I made years ago of most of my stock to [REDACTED]).

Part VII, Lines 25-37, show the specific funds in which LPL Financial has invested 99% of the funds it has. The income, gross value, and other information for all the individual funds are shown as a cumulative figure on line 24.

Part VII. Lines 26, 27 and 30 were deleted because LPL Financial has sold those funds and reinvested them in other funds.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Brown, Jerry A.

Date of Report

08/04/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jerry A. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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