

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Perkin, Henry S.	2. Court or Organization Pennsylvania-Eastern District	3. Date of Report 06/19/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 504 Hamilton Street Suite 4401 Allentown, PA 18101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	Lehigh County Employee Retirement Plan with Lehigh County, Pennsylvania, former employer
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Service Electric Cable T.V., Inc.; Value of cable service for permitting access to investment properties formerly owned.	\$1,529.16
2. 2013	County of Lehigh Pension	\$35,218.80
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association	March 22 & 23, 2013	Waldorf Astoria Hotel, New York, NY	Annual Dinner	Lodging New York City and transport for the annual dinner honoring the Federal Judiciary; March 22 & 23, 2013
2. Pennsylvania Bar Association	July 24, 2013	Toftrees Golf Resort and Conference Center	Presenter at the Young Lawyers Conference	Lodging and dinner
3. U.S. Magistrate's Judges Workshop	July 29 - 31, 2013	San Diego, CA	Judicial Conference	Lodging, dinner, conference cost and airfare
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Bank (Bank Accounts and CDs)	A	Interest	K	T					
2. KNBT - Bank Accounts	A	Interest	K	T					
3. PNC - Bank Accounts & CDs	A	Interest	J	T					
4. Firsttrust Savings Bank - IRA CD	A	Interest	K	T					
5. American Heritage Federal Credit Union (Bank Accounts and CDs)	C	Interest	M	T					
6. GE Capital Retail Bank CD	A	Interest	L	T	Open	09/27/13	K		Inheritance
7. Team Capital Bank	A	Interest	M	T	Open	09/27/13	M		Inheritance
8. IRA #8									
9. -Air Products and Chemicals Common Stock	A	Dividend	J	T					
10. -Altria Group, Inc. Common Stock	A	Dividend	J	T					
11. -Boeing Company Common Stock	A	Dividend	K	T					
12. -Consolidated Edison, Inc. Common Stock	B	Dividend	K	T					
13. -Cross Timbers Royalty Trust Common Stock	B	Dividend			Sold	02/01/13	K		
14. -Pepsico, Inc. Common Stock	A	Dividend	J	T					
15. -Proctor & Gamble Company Common Stock	A	Dividend	J	T					
16. -Powershares Global Water PT	A	Dividend	J	T					
17. -Powershares Aero & Defense	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Streettracks Gold Trust	A	Dividend	J	T					
19. -PrimeVest Financial Serv. (formerly First Southwest)Note 2	A	Interest	J	T					
20. -Hartford Inflation Plus C	A	Dividend	J	T					
21. -ING GNMA Income C	A	Dividend	J	T					
22. -Kraft Foods, Inc. Common Stock	A	Dividend	J	T					
23. -Philip Morris International Common Stock	A	Dividend	J	T					
24. -PPL Corporation	A	Dividend	K	T	Buy	06/21/13	K		
25. -Mondelez International Inc.	A	Dividend	J	T					
26. The Hartford 457 Plan									
27. -General Fund (Money Market Fund)	A	Dividend	K	T					
28. Brokerage Account #1									
29. -Intel Corporation Common Stock	A	Dividend	J	T					
30. -Orasure Common Stock	A	Dividend	J	T					
31. Pfizer Common Stock	A	Dividend	J	T					
32. Abercrombie & Fitch Common Stock	A	Dividend	J	T					
33. Microsoft Corp. Common Stock	A	Dividend	J	T					
34. IBM Corporation Common Stock	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Carnival Corporation Common Stock	A	Dividend	J	T					
36. General Electric Company Common Stock	A	Dividend	J	T					
37. The Walt Disney Company Common Stock	A	Dividend	J	T					
38. Merck & Company, Inc. Common Stock	A	Dividend	J	T					
39. Intel Corporation Common Stock	A	Dividend	J	T					
40. Xerox Corp. Common Stock	A	Dividend	J	T					
41. American Bank Inc. Common Stock	A	Dividend	J	T					
42. American Capital Trust	A	Dividend	J	T					
43. Vanguard Value Index Fund	B	Dividend	L	T					
44. Vanguard GNMA Fund Investors Shares	B	Dividend	K	T					
45. Vanguard Intermediate Term Tax Exempt Bond Fund	B	Dividend	K	T					
46. Dodge & Cox Balanced Fund	B	Dividend	M	T					
47. Harbor International Fund	B	Dividend	L	T					
48. Agere - Common Stock		None	J	T					
49. Lehigh County Agricultural Society Common Stock		None	J	T					
50. IRA #1									
51. - Fidelity Asset Manager 50%	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. IRA #2									
53. - Fidelity Asset Manager 50%	D	Dividend	M	T					
54. IRA #3									
55. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
56. IRA #4									
57. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
58. IRA #5									
59. - TR Price Midcap Growth Fund	B	Dividend	L	T					
60. IRA #6									
61. - Vanguard 500 Index Fund	B	Dividend	M	T					
62. IRA #7									
63. - Vanguard Total Stock Market Index Fund	B	Dividend	M	T					
64. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
65. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
66. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
67. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
68. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					

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69. New York Life Insurance Co. Universal Life	B	Interest	K	T					
70. New York Life Insurance Co. Universal Life	A	Interest	K	T					
71. United States Savings Bonds	B	Interest	M	T	Open	09/02/13	K		Inheritance
72. Citadel Broadcasting System Common Stock	A	Dividend	J	T					
73. Express Scripts Holding Company Common Stock		None	J	T					
74. Fidelity Charitable Gift Fund	A	Dividend	J	T					
75. VT Pimco - 457 Plan	A	Dividend	J	T					
76. Santander Bank	C	Interest	N	T					
77. IRA #9									
78. -Spartan Intl Index Fid Adv Class	A	Dividend	K	T	Open	10/15/13	K		Inheritance
79. -Fidelity Gov't Money Market	A	Interest	J	T	Open	10/15/13	J		Inheritance
80. -Fidelity Low Priced Stock	A	Dividend	K	T	Open	10/15/13	K		Inheritance
81. LSI Corporation Stock	A	Dividend	J	T					See Note 5 Part VIII

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Sovereign Bank changed its name to Santander Bank in 2013 (line 75).
2. IRA #8 was previously misidentified as Brokerage Account #1 (line 8).
3. Brokerage Account #2 is now designated as Brokerage Account #1 due to the change referred to in note 2 above (line 27).
4. Mondelez International Inc. is in IRA #8, but was previously incorrectly listed alone (line 25).
5. I didn't realize I held this stock until I learned that LSI Corporation was acquired by Avago Technologies Wireless on May 6, 2014. The LSI Corporation stock was originally acquired as a part of a merger between LSI Corporation and Agere in April of 2007. This stock was converted into a right to receive \$11.15 per share when LSI Corporation was acquired providing proceeds of a little more than \$66.00.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry S. Perkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544