

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Connelly, Rebecca B.	2. Court or Organization US Bankruptcy Court for the Western District of Virginia	3. Date of Report 4/3/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2015 to 12/31/2015
7. Chambers or Office Address 116 North Main Street Harrisonburg VA 22802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Connelly, Rebecca B.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	The Montpelier Foundation Teaching fee
2. 2015	Washington and Lee University Salary
3. 2015	The Jack Miller Center for Teaching Honoria \$1500
4. 2015	American Enterprise Institute Honoria \$2500

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NACTT	7/1/2015-7/3/2015	Salt Lake City, UT	Bankruptcy Education, Training and Meetings	lodging and transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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Name of Person Reporting

Connelly, Rebecca B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Botetourt savings account	A	Interest	J	T					
2. Cornerstone Bank bank accounts (various accounts)	A	Interest	K	T					
3. Cornerstone Bank stock	A	Interest	K	T					
4. SunTrust Bank (various accounts)	A	Interest	K	T					
5. Ameriprise Money Market Acct	A	Interest	J	T					
6. Ameriprise AIM Invesco Equity & Inc Fund	A	Dividend	K	T					
7. Ameriprise Columbia Funds Account	E	Dividend	N	T					
8. Ameriprise Columbia Pacific Mutual Fund	A	Dividend	J	T					
9. Ameriprise Columbia Acorn Thermostat CLA	A	Dividend	K	T					
10. Ameriprise Columbia Intermediate Municipal Bond CL A	B	Dividend	K	T					
11. Ameriprise Eaton Vance Diversified Currency Income CL A (see PartVIII)	A	Dividend	K	T					
12. Ameriprise Fidelity Advisor Emerging Markets CL A	A	Dividend	K	T					
13. Ameriprise Gabelli Asset Mutual Fund	A	Dividend	K	T					
14. Ameriprise Goldman Sachs Rising Dividend Growth CL A	A	Dividend	K	T					
15. Ameriprise Legg Mason BW Global Opportunities Bond CL A	A	Dividend	K	T					
16. Ameriprise Metropolitan West Total Bond CL M	A	Dividend	K	T	Sold (part)	05/06/15	J	A	
17. TRP Mid Cap Growth fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. TRP Spectrum Income fund	A	Dividend	K	T					
19. Vanguard Wellesley Inc Fund mutual fund	A	Dividend	K	T					
20. JP Morgan Income Builder fund UGTMA	A	Dividend	J	T					
21. Prudential Jennison Equity Income UGTMA	A	Dividend	J	T					
22. JPMorgan Income Builder UGTMA	A	Dividend	J	T					
23. Prudential Jennison Equity Income UGTMA	A	Dividend	J	T					
24. Janney Montgomery Sweep Acct	A	Interest	J	T					
25. Alger Spectra CL C	A	Dividend	K	T					
26. Alger Spectra CL I	A	Dividend	K	T					
27. Baron Growth CL I	A	Dividend	K	T					
28. Columbia Acorn EM Class Z	A	Dividend	J	T					
29. Fidelity Advisor Real Estate CL Z	A	Dividend	J	T					
30. Highmark Geneva Mid Cap Growth CL C	A	Dividend	J	T					
31. MFS Emerging Markets Debt CL B	A	Dividend	J	T					
32. MFS International Value CL I	A	Dividend	K	T					
33. Mainstay High Yield Corp Bond CL B	A	Dividend	J	T					
34. Oppenheimer Developing Markets CL C	A	Dividend			Sold	12/29/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Oppenheimer Global Opportunities Cl C	A	Dividend	J	T					
36. Oppenheimer Steelpath Alpha CL Y	A	Dividend	J	T					
37. PIMCO Income CL C	A	Dividend	J	T					
38. Principal Preferred Securities CL P	A	Dividend	J	T					
39. Prudential Global Real Estate CLZ	A	Dividend	J	T					
40. Putnam Diversified In CL Y	A	Dividend			Sold	12/29/15	J		
41. Sterling Capital Equity Income CL C	B	Dividend	J	T					
42. Touchstone Mid Cap CL Y	A	Dividend	J	T					
43. Yacktman Service CL X	A	Dividend	K	T					
44. Bank of Montreal Russell Index Note	D	Interest	J	T					
45. Government I Bonds	A	Dividend	J	T					
46. Government I Bond	A	Dividend	J	T					
47. Gov Series EE Bonds	A	Dividend	J	T					
48. Govenment Series EE Bonds	A	Dividend	J	T					
49. Government Series EE Bonds	A	Dividend	J	T					
50. Government Series EE Bonds	A	Dividend	J	T					
51. TIAA Cref Retirement Account	A	Dividend	O	T					

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2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
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52. Virginia 529 Potomac Plan (age based) (no control)	A	Dividend	J	T					
53. Virginia 529 Chesapeake Plan (age based) (no control)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rebecca B. Connelly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544