

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pepper, Pamela	2. Court or Organization E.D. Wisconsin Bankruptcy	3. Date of Report 06/05/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 517 East Wisconsin Avenue Suite 140 Milwaukee, Wisconsin 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Pepper-Hanewall Revocable Trust
2. Chief Judge	United States Bankruptcy Court, Eastern District of Wisconsin
3. Ex-officio director	Eastern District of Wisconsin Bar Association
4. Associate editor	American Bankruptcy Law Journal
5. Member, board of directors; chair, education committee	American Bankruptcy Institute
6. Seventh Circuit Governor	National Conference of Bankruptcy Judges
7. Bankruptcy judge representative	Human Resources Advisory Council--Administrative Office of U.S. Courts
8. Member, OSCAR working group	Administrative Office of U.S. Courts

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 04/08/12	American Bankruptcy Law Journal, pay for editing work	\$1,317.75
2. 6/27/2012	American Bankruptcy Law Journal, pay for editing work	\$1,317.75
3. 09/25/12	American Bankruptcy Law Journal, pay for editing work	\$1,317.75
4. 12/12/12	American Bankruptcy Law Journal, pay for editing work	\$1,317.75

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Engberg Anderson Design Partnership--salary
2. 2012	Village of Shorewood--Village Trustee compensation
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	January 6-7, 2012	Washington, DC	American Bankruptcy Law Journal symposium	Hotel, travel and meals
2. Advanced Consumer Bankruptcy Practice Institute	January 18-20, 2012	Phoenix, AZ	Bankruptcy Litigation Instruction	Hotel, travel and meals
3. State Bar of Wisconsin	March 1-2, 2012	Kohler, WI	Bankruptcy seminar	Hotel, travel and meals
4. National Conference of Bankruptcy Judges	March 19-20, 2012	Miami, FL	Mid-year meeting of board of governors	Hotel, travel and meals
5. American Bankruptcy Institute	April 19-22, 2012	National Harbor, MD	Annual Spring Meeting--bankruptcy conference	Hotel, travel and meals

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6.	National Association of Consumer Bankruptcy Attorneys	April 27-28, 2012	San Antonio, TX	Bankruptcy Seminar	Hotel, travel and meals
7.	Bankruptcy Association of Southern Illinois	May 3-4, 2012	Fairview Heights, IL	Bankruptcy Seminar	Hotel, travel and meals
8.	Columbus Bankruptcy Institute	May 10-11, 2012	Columbus, OH	Bankruptcy Seminar	Hotel, travel and meals
9.	Kansas City, Missouri Bankruptcy Court	May 31-June 1, 2012	Kansas City, MO	Bankruptcy Seminar	Hotel, travel and meals
10.	American Bankruptcy Institute	June 7-9, 2012	Traverse City, MO	Bankruptcy Seminar	Hotel, travel and meals
11.	Advanced Consumer Bankruptcy Practice Institute	July 9-11, 2012	New Orleans, LA	Bankruptcy Litigation Instruction	Hotel, travel and meals
12.	National Conference of Bankruptcy Judges	October 23-27, 2012	San Diego, CA	Bankruptcy seminar/conference	Hotel, travel and meals
13.	Bankruptcy Association of Northern New York	November 1-3, 2012	Cooperstown, NY	Bankruptcy conference	Hotel, travel and meals
14.	State Bar of Wisconsin	November 14, 2012	Milwaukee, WI	Bankruptcy conference	Mileage and meals
15.	American Bankruptcy Institute	November 29-December 1, 2012	Tucson, AZ	Bankruptcy conference	Hotel, travel and meals
16.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. American Funds Municipal Bond Fund	C	Dividend	K	T					
2. American Funds, Pamela Pepper, SEP IRA-- The Growth Fund	A	Dividend	J	T					
3. Penn Mutual Life Insurance									
4. --Pamela Pepper--insured	C	Dividend	K	T					
5. --Other--insured	B	Dividend	K	T					
6. The Principal Life Insurance Company									
7. --Pamela Pepper--insured	A	Dividend	J	T					
8. --Other--insured	A	Dividend	J	T					
9. Princor Financial Services									
10. --Pamela Pepper, Mutual Fund (profit- sharing plan)									
11. --Principal Investors Real Estate Sec.	B	Dividend	K	T					
12. --Principal Investors Diversified Intl. Fund	A	Dividend	J	T					
13. --Principal Investors Small Cap Blend	A	Dividend	J	T					
14. --Principal Investors Mid Cap Blend Fund	D	Dividend	K	T					
15. --Principal Capital Appreciation Fund	C	Dividend	K	T					
16. --Principal Equity Income Fund	B	Dividend	J	T					
17. --IRA, Principal Mutual Funds, Smeap and Midcap Blend	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. U.S. Savings Bonds	A	Interest	J	T					
19. Revocable Trust									
20. --Equitable Bank checking account	A	Interest	J	T					
21. Great-West Retirement Services 401(k)-- Formerly U.S. Bank									
22. --American Funds EuroPacific Growth--X	C	Dividend	K	T					
23. --American Funds Fundamental Investors Large Cap--X	C	Dividend	K	T					
24. --First American Equity Index Fund	C	Dividend	K	T					
25. Equitable Bank Money Market Savings Account--X	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Pamela Pepper**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544