

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Waites, John E.	2. Court or Organization U.S. Bankruptcy Court, District of South Carolina	3. Date of Report 08/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Bankruptcy Court - District of South Carolina 145 King Street, Room 225 Charleston, SC 29401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor of Law	Charleston School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Charleston School of Law, teaching	\$22,094.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	
2. 2012	
3. 2012	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. South Carolina Bar	1/19/2012 - 1/22/2012	Columbia, SC	Annual Convention	Registration fee, meal
2. National Conference of Bankruptcy Judges	3/19/2012 - 3/20/2012	Miami, FL	Seminar	Registration fee, meals, transportation, lodging
3. Southeastern Bankruptcy Law Institute	3/22/2012 - 3/24/2012	Atlanta, GA	Seminar	Registration fee
4. South Carolina Bankruptcy Law Association	5/18/2012 - 5/20/2012	Asheville, NC	Seminar	Lodging, Registration fee, meals
5. South Carolina Association for Justice	8/2/2012 - 8/4/2012	Hilton Head Island, SC	Seminar	Lodging, registration fee

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6.	National Conference of Bankruptcy Judges	10/23/2012 - 10/28/2012	San Diego, CA	Annual Conference	Registration fee, meals, transportation, lodging
7.	South Carolina Defense Trial Attorneys' Association	11/9/2012 - 11/11/2012	Kiawah Island, SC	Seminar	Lodging, registration fee, meals
8.	Turnaround Management Association	12/3/2012 - 12/4/2012	Charlotte, NC	Seminar	Lodging, registration fee, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SCBT	Loan on 2006 Volvo (guarantor on non-dependant)	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Smith Barney Individual Acct. Money Fund	A	Interest	J	T					
2. S.C. Deferred Comp. Plan 401K/Profit Sharing	A	Interest	J	T					
3. Brokerage Acct. #1 - IRA Advisory Acct	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
4. -Dreyfus Liquid Assets Fund	A	Interest			Redeemed	01/19/12	J	A	Transferred to TSP
5. -Alliance Bernstein Intl. Value Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
6. -American Century Heritage Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
7. -Artisan Mid Cap Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
8. -William Blair International Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
9. -Columbia Marsico 21st Century Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
10. -Davis NY Venture Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
11. -Growth Fund of America	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
12. -Janus Contrarian Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
13. -Lazard Emerging Markets	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
14. -Loomis Bond Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
15. -Lord Abbett Fundamental Equity Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
16. MFS Intermediate -Income Trust (MIN)	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
17. -Oppenheimer International Bond	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Pinco Real Return Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
19. -Powershares DB Commodity Index	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
20. -Royce Opportunity Fund	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
21. -Vanguard Small-Cap ETF	A	Dividend			Redeemed	01/19/12	J	A	Transferred to TSP
22. Future Scholar 529 - Columbia Leg Captl Preserv E	A	Dividend	J	T					
23. Future Scholar 529 - Columbia Leg Captl Preserv E	A	Dividend	J	T	Buy (add'l)	03/09/12	K		
24.					Sold (part)	04/02/12	K	A	
25. Future Scholar 529 - Columbia Leg Captl Preserv E	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Information regarding three Future Scholar 529 accounts listed on lines 22 through 25 was inadvertently omitted from prior reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John E. Waites**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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