

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fulton, Thomas H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 07/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 601 West Broadway, Suite 528 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERIPRISE FINANCIAL									
2. Variable Annuity		None	K	T					
3. First Eagle Global CL A		None	J	T					
4. HILLIARD LYONS BROKERAGE ACCT #1									
5. Hilliard Lyons Govt. Fund	A	Dividend	J	T					
6. CISCO Systems, Inc.	A	Dividend	J	T					
7. Walt Disney Stock	A	Dividend	K	T					
8. Home Depot Stock	A	Dividend	K	T					
9. International Paper	A	Dividend	K	T					
10. Kimberly-Clark Corp Stock	A	Dividend	K	T					
11. Microsoft	A	Dividend	J	T					
12. Pfizer, Inc	A	Dividend	J	T					
13. Colgate-Palmolive Co.	A	Dividend	K	T					
14. Staples, Inc.	A	Dividend	J	T					
15. General Electric	A	Dividend	J	T					
16. Comcast Corp Class A	A	Dividend	K	T					
17. Corning, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Janus Cap Group	A	Dividend	J	T					
19. Motorcar Parts of America	A	Dividend	J	T					
20. Summer Infant	A	Dividend	J	T					
21. O Reily Automotive, Inc	A	Dividend	J	T					
22. Zoetis, Inc	A	Dividend	J	T	Buy	06/27/13	J		
23. Hilliard Lyons Brokerage Acct. #2									
24. Walmart	A	Dividend	J	T					
25. Nokia	A	Dividend	J	T					
26. American Legacy III Variable Annuity	A	Dividend	J	T					
27. Hilliard Lyons Govt Fund	A	Dividend	J	T					
28. Dow Chemical	A	Dividend	J	T					
29. Qualcomm, Inc.	A	Dividend	J	T					
30. Oracle Corp	A	Dividend	J	T					
31. Pfizer, Inc	A	Dividend	J	T					
32. Costa, Inc (formerly known as Cross A T Company)	A	Dividend	J	T					
33. Janus Cap Group	A	Dividend	J	T					
34. Landuer Inc.	A	Dividend	J	T					

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35. Motorcar Parts of America	A	Dividend	J	T					
36. Stifel Financial Corp	A	Dividend	J	T					
37. Summer Infant Inc.	A	Dividend	J	T					
38. Zoetis, Inc	A	Dividend	J	T	Buy	06/28/13	J		
39. Hilliard Lyons Trust Acct.									
40. Abbott Laboratories	A	Dividend	J	T					
41. Abbvie Inc com	A	Dividend	J	T	Spinoff (from line 40)	01/08/13	J		
42. Berkshire Hathaway		None	K	T					
43. Cisco	A	Dividend	J	T					
44. Disney Walt	A	Dividend	J	T					
45. Exxon Mobile	A	Dividend	K	T					
46. Pepsico	A	Dividend	J	T					
47. Proctor & Gamble	A	Dividend	J	T					
48. Walgreens Co.	A	Dividend	J	T					
49. Goldmans Sach Financial Square Federal Fund	A	Dividend	J	T	Sold (part)	04/04/13	J	B	
50.					Sold (part)	09/09/13	J	B	
51. Johnson & Johnson Co.	A	Dividend	J	T					

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52. TJX Companies	A	Dividend	K	T	Sold (part)	09/09/13	K	B	
53. Harley Davidson Inc.	A	Dividend	J	T					
54. Microsoft Corp	A	Dividend	J	T					
55. Home Depot	A	Dividend	J	T					
56. Chevron Corp	A	Dividend	K	T					
57. CVS Caremark Corp	A	Dividend	J	T					
58. TEVA Pharmaceutical Inds.	A	Dividend	J	T					
59. US Bancorp Del Com	A	Dividend	J	T					
60. Ishares TR MSCI EAFE Index	A	Dividend	K	T					
61. Ishares TR S&P 400 Value	A	Dividend	J	T					
62. VanGuard Index Small Cap ETF	A	Dividend	J	T					
63. Southern Co.	A	Dividend	J	T					
64. JP Morgan Chase	A	Dividend	J	T					
65. CH Robinson Worldwide	A	Dividend	J	T	Buy	04/04/13	J		
66. Expeditors INTL	A	Dividend	J	T	Buy	04/04/13	J		
67. Allergan Inc	A	Dividend	J	T	Buy	09/09/13	J		
68. International Business Machs Corp	A	Dividend	J	T	Buy	09/30/13	J		

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69. Hilliard Lyons Retirement Acct.									
70. Washington Mutual Fund	A	Dividend	J	T					
71. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #1									
72. Fifth Street Finance	A	Dividend			Sold	10/04/13	K	B	
73. Powershares Water Resources	A	Dividend	K	T					
74. UMH Properties, Inc.	A	Dividend			Sold	10/04/13	J		
75. Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
76. Principal Financial Group	A	Dividend	J	T					
77. First Trust Target 10 Nov 12	A	Dividend			Sold	12/09/13	L	E	
78. Guggenheim	A	Dividend	K	T	Buy	10/04/13	K		
79. Advisors Asset MGR-Emerging Mkts	A	Dividend	K	T	Buy	10/04/13	K		
80. First Trust Portfolios Do Target 10 Dec 13	A	Dividend	L	T	Buy	12/10/13	L		
81. Wells Fargo(formerly Wachovia Securites) Acct. #3									
82. Bank Deposit Sweep	A	Interest	J	T					
83. Goldcorp, Inc.	A	Dividend	K	T					
84. Monmouth Real Estate Reit	B	Dividend	K	T	Sold (part)	10/04/13	J		
85. UMH Properties, Inc.	B	Dividend	K	T					

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86. Alpine Global Dynamic	B	Dividend	J	T					
87. ING Global Equity Div and Prem. Opportunity	B	Dividend			Sold	06/07/13	J		
88. ING Clarion Global Real Estate Income Fund	A	Dividend			Sold	10/04/13	J		
89. Medtronic, Inc	A	Dividend	J	T					
90. Blackrock Kelso Capital Corp	A	Dividend			Sold	06/07/13	J		
91. Prospect Capital Corp	B	Dividend			Sold	06/07/13	J		
92. Merk&Co. Inc	A	Dividend	K	T	Buy	06/07/13	K		
93. SYSCO Corp	A	Dividend	K	T	Buy	06/07/13	K		
94. YUM Brands, Inc.	A	Dividend	K	T	Buy	06/07/13	K		
95. Exxon Mobile	A	Dividend	J	T	Buy	10/04/13	J		
96. Hershey Company	A	Dividend	J	T	Buy	10/04/13	J		
97. Wells Fargo(formerly) Wachovia Securities Brokerage Acct. #4									
98. AT & T Inc.	A	Dividend	K	T					
99. Du Pont De Nemours	A	Dividend			Sold	10/30/13	K		
100. Gilat Satellite Networks		None	J	T					
101. Headwaters, Inc.		None	J	T					
102. Merk & Co. Inc.	A	Dividend	K	T					

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103. Pfizer Incorporated	A	Dividend	K	T					
104. Tetra Tech Inc.		None	K	T					
105. Verizon Communications	A	Dividend	K	T					
106. H & Q Healthcare FD SBI	B	Dividend	K	T	Buy (add'l)	04/01/13	J		
107.					Buy (add'l)	07/01/13	J		
108.					Buy (add'l)	09/30/13	J		
109.					Sold (part)	10/04/13	K		
110. H & Q Life Sciences	D	Dividend	K	T	Buy (add'l)	04/01/13	J		
111.					Buy (add'l)	07/01/13	J		
112.					Buy (add'l)	09/30/13	J		
113.					Sold (part)	10/04/13	K		
114. IRA Bank Deposit Sweep	A	Interest	J	T					
115. Johnson & Johnson	A	Dividend			Sold	10/30/13	K		
116. Frontier Communications, Corp	A	Dividend	J	T					
117. Lazard LTD	A	Dividend	K	T					
118. Intel Corp	A	Dividend	J	T					
119. Chevron	A	Dividend	K	T					

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120. Hewlett-Packard Co.	A	Dividend			Sold	10/30/13	K		
121. McDonalds Corp	A	Dividend	K	T	Buy (add'l)	10/30/13	K		
122. SYSCO Corp	A	Dividend	K	T	Buy	06/11/13	K		
123. Kraft Foods Group		None			Buy	10/04/13	K		
124.					Sold	10/30/13	K	A	
125. Modelez Intl, Inc		None			Buy	10/04/13	K		
126.					Sold	10/30/13	K	A	
127. CISCO Systems Inc	A	Dividend	K	T	Buy	10/30/13	K		
128. Microsoft Corp	A	Dividend	K	T	Buy	10/30/13	K		
129. Procter & Gamble Co.	A	Dividend	K	T	Buy	10/30/13	K		
130. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #5									
131. Bank Deposit Sweep	A	Int./Div.	K	T					
132. American Strategies Capital, LTD		None	J	T	Sold (part)	12/20/13	J		
133. Monmouth Real Estate	B	Dividend	K	T					
134. Proshares Short S&P 500		None			Sold	12/13/13	J		
135. Prospect Capital Corp	B	Dividend			Sold	06/07/13	J	A	
136. Transocean, LTD		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. UMH Properties, Inc	A	Dividend	J	T					
138. Williams Companies, Inc.	A	Dividend	K	T					
139. Cano WIT (formerly known as Envest Diversified Income Trust)	B	Dividend	K	T	Sold (part)	12/13/13	J		
140. ING Global Equity Div and Premium Opp. Fund	B	Dividend			Sold	06/07/13	J	A	
141. ING Global REal Estae Income Fund	B	Dividend			Sold	12/13/13	J		
142. WPX Energy, Inc.		None	J	T					
143. First Trust Capital Strength Port 14	A	Dividend			Redeemed	12/12/13	L	E	
144. General Electric	A	Dividend	K	T					
145. Teva pharmaceutical	A	Dividend			Sold	12/20/13	K		
146. Vodafone Group	B	Dividend	K	T					
147. First Trust Portfolios Divrsfd Strat. 2q12	B	Dividend			Redeemed	07/12/13	L	A	
148. First Trust Portfolios Diversfd Equity Strat	B	Dividend	L	T	Buy	07/10/13	L		
149. Entergy LA LLC	A	Dividend	K	T					
150. First Trust Portfolios Int. Rate Hedge Fund	A	Dividend	L	T	Buy	06/07/13	L		
151. First Trust Portfolios Nasdaq TGT	A	Dividend	K	T	Buy	10/04/13	K		
152. First Trust Portfolios Capital Strength series 22	A	Dividend	L	T	Buy	12/10/13	L		
153. Wells Fargo(formerly Wachovia Securities) Brokerage Acct # 6									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Bank Sweep Deposit	A	Interest	J	T					
155. Mutual Series FD, Inc.	A	Dividend	K	T					
156. First Trust Value llne target 2012	A	Dividend			Redeemed	04/03/13	K	A	
157. First Trust Value Target 25 13	A	Dividend	K	T	Buy	04/01/13	K		
158. Pro Cash Plus #1									
159. Federated Capital Reserves		None	L	T					
160. Target Corp	A	Dividend	K	T					
161. MFS Municipal Income Fund	A	Dividend	K	T					
162. Vanguard Whitehall FDS High Divident	A	Dividend	K	T					
163. Wisdom Tree TR Div. Ex Financials	B	Dividend	K	T					
164. Washington Mutual Investors Fund	B	Dividend	M	T					
165. Altria Group Inc Com	A	Dividend	K	T					
166. AT&T Inc.	A	Dividend	K	T					
167. Firstenergy Corp	A	Dividend	J	T					
168. Procter & Gambe Co.	A	Dividend	J	T					
169. Verizon Communications	B	Dividend	K	T					
170. Tax Exempt Bond Fund of America Class A	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Bristol Myers Squibb	A	Dividend	J	T					
172. Pro Cash #2									
173. Pershing Govt. Acct	A	Interest	J	T					
174. American Funds MM Acct	C	Dividend	M	T					
175. The Investment Company of America	B	Dividend	J	T					
176. FT Unit 2936 Tactical Port		None	K	T					
177. American Mutual Fund Class A	C	Dividend	M	T					
178. The Income Fund Fo America Class A	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas H. Fulton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544