

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Karlin, Janice M.	2. Court or Organization District of Kansas	3. Date of Report 5/6/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 215 U.S. Courthouse 444 S.E. Quincy Topeka, Kansas 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Self-employed Attorney

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank, N.A.	Guaranty of portion of mtg. debt of BESCO, L.L.C. (entity that owns law office real estate)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank, N.A.	A	Interest	K	T					
2. University National Bank	A	Interest	J	T					
3. Edward D. Jones Money Market Fund	A	Dividend			Closed	11/04/13	J	A	
4. Barber Emerson Profit Sharing		None	P1	T					
5. --Principal Bond & Mtg Separate Account									
6. --Principal Large Cap S & P 500 Index Separate Account									
7. --Principal Mid Cap Value Separate Account									
8. --Principal U.S. Property Separate Account									
9. --Principal Large Cap Value Separate Account									
10. --Principal Mid Cap Separate Account									
11. --Principal Small Cap Blend Separate Account									
12. --Principal Diversified International Stock Account									
13. Vanguard IRA -- Value Index Fund	C	Dividend	M	T					
14. MFS Total Return Fund IRA	B	Dividend	M	T					
15. Ameriprise IRA Managed Portfolio (also itemized below)	B	Dividend	L	T					
16. --Capital World Bond, Class A, CWBFX	A	Dividend	J	T					
17. --Columbia Multi Advisor Small Cap Value, Class C APVCX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Hartford Capital Appreciation, Class C, HCACX	A	Dividend	J	T					
19. -- Ivy Asset Strategy, Class C, WASCX	A	Dividend	J	T					
20. --Perkins Mid Cap Value, Class C, JMVX	A	Dividend	J	T					
21. --John Hancock Strategic Income Opportunities, Class C, JIPCX	A	Dividend	J	T					
22. --Templeton Global Bond, Class C, TGBX	A	Dividend	J	T					
23. --Thornburg Int'l Value, Class C, THGCX	A	Dividend	J	T					
24. 1/5 interest Park Rental L.C., Lawrence, Douglas County, KS	A	Rent	J	W					
25. 1/5 interest in BESCO, L.L.C., Lawrence, Douglas County, KS	E	Rent	M	W					
26. Vanguard Total Int'l Stock Index	A	Dividend	K	T					
27. Vanguard 500 Index Fund	B	Dividend	L	T					
28. American Funds Capital Income Builder Fund	B	Dividend			Sold	10/30/13	K	D	
29. Vanguard Int'l Explorer	B	Dividend	K	T					
30. Vanguard Extended Market Index	B	Dividend	M	T					
31. Emprise Bank	A	Interest	L	T					
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.									
36.									
37.									
38.									
39.									
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41.									
42.									
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46.									
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts: Item # 4 - This entry includes interest, dividends and earnings that are not separated on available reports. Cumulative combined earnings for reporting are shown.

Part VII. Investments and Trusts: Item #10 from last year (2012 Report) the word "Blend" was dropped from the name on 03/31/2013.

Part VII. Investments and Trusts: Item # 16 - Issue converted Class B to Class A on 10/10/2013.

Part VII. Investments and Trusts: Item # 18 - 2012 reported HCACK and it should have been HCACX. The letter K was a typo on the symbol on the 2012 report and is corrected on the 2013 report to the letter X.

Part VII. Investments and Trusts: Items # 20 and # 21 - slight name changes

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Janice M. Karlin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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