

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vratil, Kathryn H.	2. Court or Organization District of Kansas	3. Date of Report 05/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United State District Court 500 State Avenue, Suite 529 Kansas City, Kansas 66101-2448		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Director	The Rehabilitation Institute Foundation
4.	Director	Federal Judges Association
5.	Trustee	Trust #3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	AT&T pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	April 13-15	Washington, DC	Board of Directors meeting	Lodging and Subsistence
2. Duke University Law School	November 9-10	Washington, DC	MDL Conference	Lodging and Subsistence
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	National Education	Student Loans	K
2.	Citibank NY State	Student Loans	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Ins. Policies	B	Dividend	J	T					
2. Massachusetts Mutual Life Ins. Policy	C	Dividend	L	T					
3. GNMA PL	A	Interest			Matured (part)	01/15/15	J		
4.					Matured	02/15/15	J		
5. Orthologic Corp. (IRA)		None	J	T					
6. California Amplifier (IRA)		None	J	T					
7. iShares MSCI Emrg Mkt Fd Emerging	A	Dividend	J	T	Buy	07/02/15	J		
8.					Buy	12/29/15	J		
9. Schwab Cash Reserves	A	Dividend	J	T					
10. Schwab Cash Reserves (IRA)	A	Interest	J	T					
11. Schwab 1 Cash Acct.	A	Interest	J	T					
12. Schwab Cash Reserves Sweep Shares (Trust #1)	A	Interest	M	T					
13. Technology Select Sector SPDS a/k/a Sector	A	Dividend	K	T					
14. Vanguard Total Stock Mkt	A	Dividend	K	T					
15. Schwab Bank	A	Interest	J	T					
16. Schwab Bank Deposit	A	Interest	J	T					
17. Commerce Bank (Trust #2)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Intrust (X) (IRA)	A	Interest			Buy	11/24/15	J		
19.					Sold	12/08/15	J	A	
20. Cash-Edward Jones Account (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
21. Wyandotte County Kansas City Rev. Bonds 4.125% (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
22. Kansas St. Dev. Fin. Auth. Rev. Bonds (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
23. Olathe KS Ser 218 GO Bonds (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
24. Wyandotte Cnty KS City KS Rev. Bonds (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
25. Invesco Gib 45 Div Stgy 2015-1 unit Trust	A	Dividend	J	T	Buy	11/17/15	J		
26. AT&T Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
27.					Sold (part)	12/23/15	J	A	
28. Berkshire Hathaway Inc. C1 B (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
29. Caterpillar Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
30.					Sold (part)	12/23/15	J		
31. Chevron Corp. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
32.					Sold (part)	12/23/15	J		
33. Coca Cola Co. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
34.					Sold (part)	12/23/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ConocoPhillips (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
36.					Sold (part)	12/23/15	J		
37. Express Scripts Hldg Co (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
38. Exxon Mobil Corp (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
39.					Sold (part)	12/23/15	J		
40. General Mills Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
41.					Sold (part)	12/23/15	J	A	
42. Home Depot Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
43.					Sold (part)	12/23/15	J	A	
44. IBM (X) (Trust #3)	A	Dividend	K	T	Buy	11/17/15	K		
45. Illinois Tool Works Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
46.					Sold (part)	12/23/15	J	A	
47. JP Morgan Chase & Co (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
48.					Sold (part)	12/23/15	J	A	
49. McDonalds Corp (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
50.					Sold (part)	12/23/15	J	A	
51. Medtronic PLC (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold (part)	12/23/15	J	A	
53. Merck & Co Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
54.					Sold (part)	12/23/15	J	A	
55. Microsoft Corp (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
56.					Sold (part)	12/23/15	J	A	
57. Pfizer Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
58.					Sold (part)	12/23/15	J		
59. Phillip Morris INTL Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
60.					Sold (part)	12/23/15	J		
61. Phillips 66 (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
62.					Sold (part)	12/23/15	J		
63. Potash Corp Sask (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
64.					Sold (part)	12/23/15	J		
65. Principal Financial Group Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
66. Proctor & Gamble Co (X) (Trust #3)	A	Dividend	K	T	Buy	11/17/15	K		
67.					Sold (part)	12/23/15	J	A	
68. US Bancorp (X) (Trust #3)		None	J	T	Buy	11/17/15	J		

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69.					Sold (part)	12/23/15	J	A	
70. Walt Disney Co (X) (Trust #3)		None	J	T	Buy	11/17/15	J		
71. Waste Management Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
72.					Sold (part)	12/23/15	J	A	
73. Westar Energy (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
74.					Sold (part)	12/23/15	J	A	
75. Zoetis Inc. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
76.					Sold (part)	12/23/15	J	A	
77. 3M Co (X) (Trust #3)	A	Dividend	K	T	Buy	11/17/15	K		
78.					Sold (part)	12/23/15	J		
79. Franklin Equity Income Fund A (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
80. Franklin Income Fund C1 A (X) (Trust #3)	A	Dividend	K	T	Buy	11/17/15	K		
81. Franklin Mut Gbl Dscv Fd C1 A (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
82. Nuveen Ks Muni Bond Fund C1 A (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
83. Templeton Global Bond Fund A (X) Trust #3)	A	Dividend	K	T	Buy	11/17/15	K		
84. Energy Transfer Partners L.P. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
85.					Sold (part)	12/23/15	J		

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86. Ferrellgas Partners L.P. (X) (Trust #3)	A	Dividend	J	T	Buy	11/17/15	J		
87.					Sold (part)	12/23/15	J		
88. Bond Fund of America C1 C (X) (IRA)		None	J	T	Buy	12/18/15	J		
89.					Sold (part)	12/28/15	J		
90. Capital World Grth & Incm C1 C (X) (IRA)	A	Dividend	J	T	Buy	12/18/15	J		
91. Europacific Growth Fund C1 C (X) (IRA)	A	Dividend	J	T	Buy	12/18/15	J		
92. Franklin Founding Fd Alloc C (X) (IRA)	A	Dividend	J	T	Buy	12/18/15	J		
93. Growth Fund of America C1 C (X) (IRA)	A	Dividend	J	T	Buy	12/18/15	J		
94. Investment Co of America C1 C (X) (IRA)		None	J	T	Buy	12/18/15	J		
95. Lord Abbett Developing Grwth A (X) (IRA)		None	J	T	Buy	12/18/15	J		
96. Washington Mutual Invs FD F1 (X) (IRA)		None	J	T	Buy	12/18/15	J		
97.					Sold (part)	12/28/15	J		
98. Cash account-Edward Jones (X) (IRA)		None	J	T	Buy	12/18/15	J		
99. Bank account-Charles Schwab (X) (Trust #3)		None	J	T	Buy	12/28/15	J		
100.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #3 is part of family inheritance; I am trustee and one of many beneficiaries.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathryn H. Vratil

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544