

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bohm, Carlota M.	2. Court or Organization U.S. Bankruptcy Court for the Western District of PA	3. Date of Report 05/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 54th Floor, US Steet Tower, 600 Grant Street, Pittsburgh PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee of two insurance trusts	Trust #1 and Trust #2 (see Part VIII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Income from Houston Harbaugh PC	\$188,752.00
2. 2012	Income from Misc. Bankruptcy /Trustee Related	\$1,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Westinghouse Electric Corporation - salary & pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 24 - 27, 2012	San Diego, CA	Bankruptcy Judges' Conference	Transportation, meals, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Jackson National Annuity	A	Dividend	N	T	Buy	08/03/12	N		
2. Jackson National Annuity	A	Dividend	M	T	Buy	06/25/12	M		
3. Jackson National Annuity	A	Dividend	M	T	Buy	08/30/12	M		
4. ATIAX Mutual Fund	A	Dividend	L	T	Buy	06/14/12	L		
5. HFOAX Mutual Fund	A	Dividend	L	T	Buy	06/14/12	L		
6. LDFVX Mutual Fund	A	Dividend	L	T	Buy	06/14/12	L		
7. LVOAX Mutual Fund	A	Dividend	L	T	Buy	06/14/12	L		
8. ODMAX Mutual Fund	A	Dividend	K	T	Buy	06/14/12	K		
9. CVFCX Mutual Fund	A	Dividend	L	T	Buy	06/14/12	L		
10. TSNAX Mutual Fund	A	Dividend	L	T	Buy	06/14/12	L		
11. NEFZX Mutual Fund	A	Dividend	L	T	Buy	06/14/12	L		
12. NHIAX Mutual Fund	A	Dividend	K	T	Buy	06/14/12	K		
13. CVTRX Mutual Fund	A	Dividend	K	T	Buy	06/14/12	K		
14. Roam North shore, LP(1)	A	Interest	K	T					
15. Roam Bally IV, LP	A	Interest	K	T					
16. Rental Property-Pgh PA (2011 - pd. \$150,000.00)		Rent	M	R					
17. Dollar Bank	D	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. PNC Bank	G	Interest	M	T					
19. -Federated Capital Prsv. Isp					Sold	06/12/12	L		
20. - Vanguard Health Care Fund					Sold	06/12/12	L		
21. RBC wealth Management									
22. -JT TW/WROS									
23. *NEWFX	A	Dividend			Sold	06/12/12	J		
24. *TUIXX		None			Sold	06/12/12	J		
25. *CBS	A	Dividend			Sold	06/12/12	J		
26. *AGTHX	A	Dividend			Sold	06/12/12	J		
27. *VIAB	A	Dividend			Sold	06/12/12	J		
28. *AEPGX	A	Dividend			Sold	06/12/12	J		
29. *GF	A	Dividend			Sold	06/12/12	J		
30. *TUIXX		None			Sold	06/12/12	K		
31. *AWSHX	A	Dividend			Sold	06/12/12	K		
32. *AEPGX	A	Dividend			Sold	06/12/12	K		
33. *IGAAX	A	Dividend			Sold	06/12/12	K		
34. *SMCWX	A	Dividend			Sold	06/12/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. *TUIXX		None			Sold	06/12/12	K		
36. *CBS	A	Dividend			Sold	06/12/12	K		
37. *AWSHX	A	Dividend			Sold	06/12/12	K		
38. *AGTHX	A	Dividend			Sold	06/12/12	K		
39. *VIAB	A	Dividend			Sold	06/12/12	K		
40. *AEPGX	A	Dividend			Sold	06/12/12	K		
41. *TUIXX		None			Sold	06/12/12	K		
42. *CBS	A	Dividend			Sold	06/12/12	K		
43. *AWSHX	A	Dividend			Sold	06/12/12	K		
44. *AGTHX	A	Dividend			Sold	06/12/12	K		
45. *VIAB	A	Dividend			Sold	06/12/12	K		
46. *AEPGX	A	Dividend			Sold	06/12/12	K		
47. Fidelity									
48. *Enron		None			Sold	06/12/12	L		
49. *Fidelity Cash Reserves		None			Sold	06/12/12	L		
50. *Lint Computers Inc.		None			Sold	06/12/12	L		
51. *Transocean		None			Sold	06/12/12	L		

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52. *Fidelity Select Medical Equipment & Systems		None			Sold	06/12/12	L		
53. *Uonlive Corp		None			Sold	06/12/12	L		
54. *Fidelity PA Muni Mkt		None			Sold	06/12/12	J		
55. *Baron Partners Fund		None			Sold	06/12/12	J		
56. *Fidelity Capital & Income	A	Dividend			Sold	06/12/12	J		
57. *Fidelity PA Muni Mkt		None			Sold	06/12/12	J		
58. *Baron Partners Fund		None			Sold	06/12/12	J		
59. *Fidelity Capital & Income	A	Dividend			Sold	06/12/12	J		
60. *Fidelity Cash Reserve		None			Sold	06/12/12	L		
61. -Deferred Annuity		None			Sold	06/25/12	M		
62. *Fidelity VIP Money Market		None			Sold	06/25/12	J		
63.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I - Trustee of two insurance trusts. Monies available only upon their death.

Part VII - No. 14 & 15 - (1) received \$8,500.00 income from this investment in 2012

Partt VII - No. 57 - on the initial report [REDACTED] is deleted since is it not held for investment.

Part VII - Nos. 17 and 18 were inadvertently left off of my initial report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carlota M. Bohm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544