

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whitworth, Matt J.	2. Court or Organization United States District Court - Western District of Missouri	3. Date of Report 06/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Magistrate Judge full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Hon. Matt J. Whitworth, Chief United States District Judge, Western District of Missouri Charles Evans Whittaker United States Courthouse 400 East 9th Street, Chambers No. 6652 Kansas City, Missouri 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MOHela	College Student Loans [REDACTED]	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank Personal Savings Account	E	Interest	K	T					
2. U.S. Bank Personal Checking Account	C	Interest	J	T					
3. (H) Morgan Stanley Traditional IRA									
4. Cons. Discret Sel Sect - SPDR FD	A	Dividend	J	T					
5.					Buy (add'l)	03/15/17	J		
6.					Buy (add'l)	05/11/17	J		
7.					Buy (add'l)	11/08/17	J		
8. Morgan Stanley Traditional IRA - Cash	A	Dividend	J	T	Buy	12/31/17	J		
9. Health Care Sel Sect - SPDR FD	A	Dividend	J	T					
10.					Buy (add'l)	03/15/17	J		
11.					Buy (add'l)	05/11/17	J		
12. Industrial Sel Sec	A	Dividend	J	T					
13.					Sold (part)	02/22/17	J	A	
14.					Buy (add'l)	03/15/17	J		
15.					Buy (add'l)	05/11/17	J		
16. SPDR DJ Wilshire REIT EFT	A	Dividend			Sold	02/22/17	J	A	
17. SPDR Morgan Stanley Technology	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	03/15/17	J		
19.					Sold (part)	02/22/17	J	A	
20. SPDR Aerospace & Def EFT	A	Dividend	J	T					
21.					Sold (part)	02/22/17	J	A	
22.					Buy (add'l)	03/15/17	J		
23. SPDR S&P EFT (KBE)	A	Dividend	J	T					
24.					Sold (part)	02/22/17	J	A	
25.					Buy (add'l)	03/15/17	J		
26.					Buy (add'l)	05/11/17	J		
27. SPDR S&P Biotech (XBI)	A	Dividend	J	T					
28.					Buy (add'l)	03/15/17	J		
29.					Buy (add'l)	05/11/17	J		
30.					Sold (part)	11/08/17	J	A	
31. SPDR S&P Health Care Equip	A	Dividend	J	T					
32.					Sold (part)	02/22/17	J	A	
33.					Buy (add'l)	03/15/17	J		
34. SPDR Insurance EFT (KIE)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	02/22/17	J	A	
36.					Buy (add'l)	03/15/17	J		
37.					Buy (add'l)	05/11/17	J		
38. SPDR S&P Metals & Mining	A	Dividend			Sold	05/11/17	J	A	
39.					Sold (part)	02/22/17	J	A	
40.					Buy (add'l)	03/15/17	J		
41.					Sold	05/11/17	J	A	
42. SPDR S&P Oil & Gas Equip SVC (XES)	A	Dividend	J	T					
43.					Buy (add'l)	02/22/17	J		
44.					Sold (part)	03/15/17	J	A	
45.					Buy (add'l)	09/27/17	J		
46.					Buy (add'l)	11/08/17	J		
47. SPDR S&P Oil & Gas Expo & Pro	A	Dividend			Sold	03/15/17	J	A	
48. SPDR S & P Regional Banking EFT	A	Dividend	J	T					
49.					Buy (add'l)	03/15/17	J		
50.					Buy (add'l)	05/11/17	J		
51. SPDR S& P Semiconductor (XSD)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	02/22/17	J	A	
53.					Buy (add'l)	03/15/17	J		
54.					Buy (add'l)	05/11/17	J		
55.					Sold (part)	11/08/17	J	A	
56. SPDR S & P Software and Ser EFT (XSW)	A	Dividend	J	T					
57.					Buy (add'l)	03/15/17	J		
58. SPDR S & P Transn EFT (XIN)	A	Dividend	J	T					
59.					Buy (add'l)	03/15/17	J		
60.					Buy (add'l)	05/11/17	J		
61.					Sold (part)	11/08/17	J	A	
62. The Financial Sel Sect SPDR FD (XLF)	A	Dividend	J	T					
63.					Sold (part)	02/22/17	J	A	
64.					Buy (add'l)	03/15/17	J		
65.					Buy (add'l)	05/11/17	J		
66.					Sold (part)	11/08/17	J	A	
67. The Technology Sel Sec SPDR FD (XLK)	A	Dividend	J	T					
68.					Sold (part)	02/22/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	03/15/17	J		
70.					Sold (part)	11/08/17	J	A	
71. Utilities Sel Sect SPDR Fund	A	Dividend	J	T					
72.					Sold (part)	02/22/17	J	A	
73.					Buy (add'l)	03/15/17	J		
74.					Buy (add'l)	05/11/17	J		
75.					Sold (part)	12/27/17	J	A	
76. SPDR S Telecom EFT	A	Dividend			Sold	11/08/17	J	A	
77.					Buy	02/22/17	J		
78.					Buy (add'l)	03/15/17	J		
79.					Buy (add'l)	05/11/17	J		
80.					Sold	11/08/17	J	A	
81. SPDR S&P Capital Mkts EFT (KCE)	A	Dividend	J	T	Buy	12/06/17	J		
82.									
83.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following assets in Morgan-Stanley Traditional IRA were sold in full:

- SPDR DJ Wilshire REIT EFT
- SPDR S & P Metals and Mining
- SPDR S & P Oil and Gas EXPO and Pro
- Utilities Sel Sect SPDR Fund
- SPDR S Telecom EFT

The following assets were added in Morgan Stanley Traditional IRA:

- SPDR S Telecom EFT (This was also in full in 2017)
- SPDR S & P Capital MKTS EFT (KCE)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Matt J. Whitworth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544