

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelley, Susan V.	2. Court or Organization Bankruptcy Court ED Wis	3. Date of Report 05/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 517 East Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Part-Time Adjunct Faculty Member (Lecturer)	Marquette University Law School, Milwaukee Wisconsin
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Wolters Kluwer Law & Business, book royalties	\$30,046.00
2. 2014	Marquette University salary	\$3,200.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Procorp Enterprises LLC salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	6/12 - 6/15	Lake Geneva, WI	Bankruptcy Seminar	two nights hotel, mileage
2.	National Conference of Bankruptcy Judges	10/8 - 10/10	Chicago, IL	Bankruptcy Seminar	two nights hotel, trainfare, meals
3.	American Bankruptcy Institute	12/3 - 12/5	Palm Springs, CA	Bankruptcy Seminar	two nights hotel, airfare, meals
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account 1									
2. Wells Fargo Large Co Core-Inv Fund		None	J	T					
3. Wells Fargo Advantage Large Company Growth		None	J	T					
4. Wells Fargo Advantage Opportunity Inv.		None	K	T					
5. Wells Fargo Advantage Small Cap Disciplined Inv		None			Sold	6/14/14	J	A	
6. Wells Fargo Advantage Small Cap Value		None			Sold	6/17/14	J	A	
7. BMO Harris Bank Account	A	Interest	K	T					
8. Mutual of Omaha Whole Life Insurance Policies	A	Interest	K	T					
9. UBS Retirement Account									
10. iShares U.S. Technology ETF		None	K	T					
11. iShares Trust Russell 2000 Index Fund		None	K	T					
12. iShares Trust U.S. Fincl Sector Index Fund		None			Sold	9/1/14	K	A	
13. iShares Trust U.S. Basic Materials Sctr Index Fund		None	K	T					
14. iShares US Consumer Services Sector Index Fund		None	K	T					
15. iShares Trust US Energy Sector Index Fund		None	K	T					
16. iShares Inc MSCI EMU Index Fund		None	M	T					
17. iShares Trust Russell Midcap Index Fund		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IShares Trust S&P Latin America 40 Index Fund		None	J	T					
19. IShares MSCI Emerging Markets Index Fund		None	L	T					
20. IShares Tr MSCI All Ctry Asia Ex Japan Index		None	K	T					
21. SPDR S&P Emerging Europe ETF		None	J	T					
22. Guggenheim Solar ETF		None	J	T	Buy	9/1/14	J		
23. IShares MSCI Japan ETF		None	J	T	Buy	9/1/14	J		
24. IShares Cohen & Steers REIT ETF		None	J	T	Buy	6/1/14	J		
25. Nationwide Future Venue Annuity									
26. Drey Stk Indx SVC		None	J	T					
27. FnkIntvpt Tmp Forgn Vip 3		None	J	T					
28. FnkIntvptfnklnsmcp Val Vip 2		None	J	T					
29. Virent 401K Great West Retirement Services									
30. Dodge & Cox International Stock		None	K	T					
31. Artisan Mid Cap Inv		None	J	T					
32. T. Rowe Price Blue Chip Growth		None	K	T					
33. Vanguard Large Cap Index Signal		None	K	T					
34. William Blair Bond I		None	J	T					

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35. Wisconsin Retirement Employee Trust Fund		None	K	T					
36. Virent Energy Systems, Inc. Common Stock		None	J	T					
37. Procorp 401K									
38. PIMCO Core Plus Bond I		None	J	T					
39. Principal LifeTime 2020		None	K	T					
40. Goldman Sachs MidCap Value I		None	J	T					
41. Principal Global Advisors SmallCap Blend		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III - Wolters Kluwer Royalties paid March 31, 2014 and September 30, 2014. Amount given is total annual royalties.

III Marquette Salary paid monthly January through April

VII Line 10 - slight name change in Technology Fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan V. Kelley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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