

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BERGER, ROBERT D.	2. Court or Organization U.S. BANKRUPTCY COURT DISTRICT OF KANSAS	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 125 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	HOBBY LOBBY - Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Association of Consumer Bankruptcy Attorneys	04/26/2012-04/29/2012	San Antonio, TX	Annual convention CLE speaker	Airfare, cabfare and tips, airport parking and mileage, hotel, meals
2. Kansas Bar Association	05/03/2012-05/04/2012	Wichita, KS	Bankruptcy CLE speaker	Mileage, tolls, hotel
3. George Mason University Law and Economics Center	05/06/2012-05/11/2012	Arlington, VA	Judicial Education Program Economics Institute	Airfare, cabfare and tips, airport parking and mileage, hotel, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. A.G. EDWARDS/WELLS FARGO/ WACHOVIA SEC. MONEY MARKET ACCOUNTS	A	Interest	J	T					
2. CAPITOL FEDERAL	A	Interest	J	T					
3. IRON COUNTY, MICHIGAN (LAND, [REDACTED])		None	J	W					
4. VALLEY VIEW BANK ACCOUNT	A	Interest			Closed	12/31/12	J	A	
5. OPPENHEIMER GOLD & SPL A OPGSX**	A	Dividend	J	T					
6. OPPENHEIMER DEVELOPING MARKETS A OADMX**	A	Dividend	J	T					
7. OPPENHEIMER EQUITY INCOME FUND A OAEIX**	A	Dividend	J	T					
8. GABELLI UTILITIES FD CL AAA SHS GABUX	A	Dividend	J	T					
9. FIRST EAGLE GOLD FUND FEGIX	A	Dividend			Sold	08/10/12	J	A	
10. GABELI UTILITIES FUND GABUX	A	Dividend	J	T					
11. INTEGRITY FUNDS WILLISTON ICAPX	A	Dividend			Sold	02/10/12	J	A	
12. OPPENHEIMER SMALL MIDCAP OEGYX	A	Dividend			Sold	08/10/12	J	A	
13. VIRTUS SMALL CAP FUND PXSGX	A	Dividend			Sold	08/10/12	J	A	
14. T ROWE GLOBAL TECH FUND PRGTX	A	Dividend			Sold	12/19/12	J	A	
15. R S INVT GLOBAL RESOURCES RSNYX	A	Dividend			Sold	08/10/12	J	A	
16. RYDEX MID-CAP FUND RYBHX	A	Dividend			Sold	08/10/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. YACKTMAN FOCUSED FUND YAFFX	A	Dividend			Sold	08/10/12	J	A	
18. RYDEX MID-CAP 400 RYBHX	A	Dividend			Sold	08/10/12	J	A	
19. SODASTREAM INT'L SODA		None			Buy	08/03/12	J		
20. SODA STREAM INT'L SODA		None			Sold	12/18/12	J	B	
21. DOUBLE LINE TOTAL RETURN BOND I DBLTX	A	Dividend	J	T	Buy	03/14/12	J		
22. DOUBLE LINE TOTAL RETURN BOND I DBLTX	A	Dividend			Sold (part)	08/10/12	J	A	
23. NATIXIS ASG MANAGED FUTURE STRATEGY ASFYX	A	Dividend	J	T	Buy	03/02/12	J		
24. NATIXIS ASG MANAGED FUTURE STRATEGY ASFYX	A	Dividend			Sold (part)	08/10/12	J	A	
25. ORGANOVO HOLDINGS ONVO		None	J	T	Buy	08/21/12	J		
26. VELTI PLC VELT		None	J	T	Buy	08/12/12	J		
27. 3D SYSTEMS CORP DDD		None			Buy	08/12/12	J		
28. 3D SYSTEMS CORP DDD		None			Sold	11/13/12	J	A	
29. STRATASYS SSYS		None			Buy	08/10/12	J		
30. STRATASYS SSYS		None			Sold	11/13/12	J	A	
31.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ASSETS MARKED WITH TWO ASTERISKS (**), PART VII, INVESTMENTS AND TRUSTS, LINES 5-7, ARE OWNED

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT D. BERGER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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