

# FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Parker, Cathleen D.                                                                                                                  | <b>2. Court or Organization</b><br><br>USBC - District of Wyoming                                                                                                                                                                                                                                   | <b>3. Date of Report</b><br><br>03/03/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Bankruptcy Chief Judge                                                   | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2014<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>United States Bankruptcy Court<br>2120 Capitol Avenue, Room 8024<br>Cheyenne, WY 82001                                                                        |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                                                                                  |
|---------|----------------------------------------------------------------------------------------------------------------------------------|
| 1. 1999 | Commenced employment and State of Wyoming Retirement System-became vested in 2003-State of Wyoming Deferred Compensation Program |
| 2.      |                                                                                                                                  |
| 3.      |                                                                                                                                  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                             | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|----------------------------------------------------|----------------------------------------|
| 1. 2014     | Laramie County Community College-Adjunct professor | \$1,939.00                             |
| 2. 2014     | State of Wyoming-salary                            | \$84,501.00                            |
| 3. 2015     | Laramie County Community College-Adjunct professor | \$1,939.00                             |
| 4. 2015     | State of Wyoming-salary                            | \$41,924.00                            |
| 5. 2013     | Laramie County Community College-Adjunct professor | \$200.00                               |
| 6. 2013     | State of Wyoming-salary                            | \$84,500.00                            |
| 7.          |                                                    |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u>  | <u>SOURCE AND TYPE</u>                       |
|--------------|----------------------------------------------|
| 1. 2014-pres | Flooring Sales & Installation--self employed |
| 2. 2013-2014 | Flooring Sales & Installation--self employed |
| 3.           |                                              |
| 4.           |                                              |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>                    | <u>DESCRIPTION</u>                                   | <u>VALUE CODE</u> |
|----|------------------------------------|------------------------------------------------------|-------------------|
| 1. | Western Vista Federal Credit Union | Credit Card                                          | L                 |
| 2. | First Interstate Bank              | Mortgage-Phoenix, Arizona vacation home (non-income) | L                 |
| 3. |                                    |                                                      |                   |
| 4. |                                    |                                                      |                   |
| 5. |                                    |                                                      |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                  |   |           |   |   |  |  |  |  |  |
|--------------------------------------------------|---|-----------|---|---|--|--|--|--|--|
| 1. Wells Fargo (H)                               |   |           |   |   |  |  |  |  |  |
| 2. -Time Account (A)                             | A | Interest  | J | T |  |  |  |  |  |
| 3. -Time Account (B)                             | A | Interest  | J | T |  |  |  |  |  |
| 4. Wyoming Deferred Compensation (H)             |   |           |   |   |  |  |  |  |  |
| 5. -LifePath Index 2040 Fund M                   | A | Int./Div. | J | T |  |  |  |  |  |
| 6. -LifePath Index 2050 Fund M                   | A | Int./Div. | K | T |  |  |  |  |  |
| 7. Warren F.C.U.                                 | A | Int./Div. | K | T |  |  |  |  |  |
| 8. Phoenix, Arizona real estate (2013--\$58,000) |   | None      | L | R |  |  |  |  |  |
| 9. Edward Jones Investments A (H)                |   |           |   |   |  |  |  |  |  |
| 10. -Capital World G & I (B)                     | A | Dividend  | J | T |  |  |  |  |  |
| 11. -Capital World G&I (C)                       | A | Dividend  | K | T |  |  |  |  |  |
| 12. -Hartford Capital App                        | A | Dividend  | J | T |  |  |  |  |  |
| 13. -Income Fund of America                      | A | Dividend  | J | T |  |  |  |  |  |
| 14. -Protective Variable Life (H)                |   |           |   |   |  |  |  |  |  |
| 15. --Fidelity VIP                               | A | Dividend  | J | T |  |  |  |  |  |
| 16. --Franklin Inc. VIP                          | A | Dividend  | J | T |  |  |  |  |  |
| 17. --Goldman Sachs Lg Cap                       | A | Dividend  | J | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                           |   |          |   |   |  |  |  |  |  |
|---------------------------|---|----------|---|---|--|--|--|--|--|
| 18. --Lord Abbett Mid-Cap | A | Dividend | J | T |  |  |  |  |  |
| 19. --Templeton Growth    | A | Dividend | J | T |  |  |  |  |  |
| 20. Traditional IRA (H)   |   |          |   |   |  |  |  |  |  |
| 21. -BSIIX                | A | Dividend | J | T |  |  |  |  |  |
| 22. -CUT                  | A | Dividend | J | T |  |  |  |  |  |
| 23. -DHLRX                | A | Dividend | J | T |  |  |  |  |  |
| 24. -DHSIX                | A | Dividend | J | T |  |  |  |  |  |
| 25. -FDRXX                | A | Dividend | J | T |  |  |  |  |  |
| 26. -GTDYX                | A | Dividend | J | T |  |  |  |  |  |
| 27. -MEIIX                | A | Dividend | J | T |  |  |  |  |  |
| 28. -MWLIX                | A | Dividend | J | T |  |  |  |  |  |
| 29. -NERYX                | A | Dividend | J | T |  |  |  |  |  |
| 30. -OAKEX                | A | Dividend | J | T |  |  |  |  |  |
| 31. -OAKIX                | A | Dividend | J | T |  |  |  |  |  |
| 32. -PFBPX                | A | Dividend | J | T |  |  |  |  |  |
| 33. -PTTPX                | A | Dividend | J | T |  |  |  |  |  |
| 34. -RWO                  | A | Dividend | J | T |  |  |  |  |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|           |   |          |   |   |  |  |  |  |  |
|-----------|---|----------|---|---|--|--|--|--|--|
| 35. -STIP | A | Dividend | J | T |  |  |  |  |  |
| 36. -VTV  | A | Dividend | J | T |  |  |  |  |  |
| 37. -VUG  | A | Dividend | J | T |  |  |  |  |  |
| 38.       |   |          |   |   |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cathleen D. Parker**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544