

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fallon, Sherry R.	2. Court or Organization U.S. District Court - Delaware	3. Date of Report 05/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address J. Caleb Boggs Federal Building 844 N. King Street Unit 14, Room 6100 Wilmington, DE 19801-3555		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Fallon, Sherry R.

Date of Report

05/07/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-Employed Attorney
2. 2017	Newark Properties, LLC (rental income from property owned by Law Firm)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYIPLA	March 31 - April 1, 2017	New York, New York	95th Annual Dinner honoring the Federal Judiciary	Hotel Accommodations, meals and Transportation
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Fallon, Sherry R.

Date of Report

05/07/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.		Tuition	K
3.			
4.		Tuition	K
5.	AAA Visa	Credit Card	J

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Fallon, Sherry R.

Date of Report

05/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Southern Company Common Stock	B	Dividend	K	T					
2. McDonalds Common Stock	A	Dividend	J	T					
3. Fidelity 529 Plan - Delaware Portfolio 2012	C	Dividend			Redeemed	11/28/17	J	B	Villanova University
4. Fidelity 529 Plan - Delaware Portfolio 2018	A	Dividend	M	T					
5. Empower Retirement Tybout Redfearn & Pell 401(K) Plan (formerly Emjay									
6. -American Funds Growth Fund of America A	E	Dividend	N	T					
7. -American Funds American Balanced Fund A	D	Dividend	M	T					
8. -American Funds Euro-Pacific Growth Fund A	D	Dividend	M	T					
9. - American Funds SMALLCAP World Fund A	D	Dividend	M	T					
10. Franklin Templeton - Templeton Growth Fund Class A	A	Dividend	J	T					
11. New York Life - Whole Life Insurance Policy	B	Dividend	L	T					
12. Lincoln National Life Insurance - Universal Life Policy	A	Interest	J	T					
13. PNC - Accounts	A	Interest	L	T					
14. U.S. Savings Bonds	A	Interest	J	T					
15.									
16.									
17. IRA -									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Fallon, Sherry R.

Date of Report

05/07/2018

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Vanguard International Growth	E	Dividend	L	T					
19. -Vanguard International Value	E	Dividend	L	T					
20. -Vanguard Explorer	C	Dividend	K	T					
21. -Vanguard U.S. Growth	D	Dividend	K	T					
22. -Vanguard Long Term Investment Growth	C	Interest	K	T					
23. -Vanguard Morgan Growth	E	Dividend	L	T					
24. -Vanguard Small Cap Value Index	C	Dividend	K	T					
25. -Vanguard Target Retirement 2035	E	Dividend	M	T					
26. -Vanguard Total Stock Market Index	E	Dividend	M	T					
27. -Vanguard Windsor	D	Dividend	K	T					
28. -Vanguard Windsor II	D	Dividend	L	T					
29. Mass Mutual 401(K)									
30. -Select Total Return Bond MetWest	D	Dividend	M	T					
31. -Washington Mutual Investments(American)formerly Comstock(Invesco)	E	Dividend	M	T					
32. -Comstock (Invesco)(Y) now known as Washington Mutual Invest(American)									
33. -Growth America(American)formerly Select Equity Opps (Wellington ITRP	B	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Fallon, Sherry R.

Date of Report

05/07/2018

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34. -Select Growth Opportunities (Sands/ JSP)	E	Dividend	M	T					
35. -American Balanced Fund (X)	D	Dividend	M	T					
36. -Special Mid - Cap Value Wells Fargo (formerly known as Invesco Mid C	D	Dividend	M	T					
37. -Invesco Mid-Cap Core Equity (Y) now known as Special Mid-Cap Value We									
38. -TRP/Frontier Select Mid - Cap Growth II	D	Dividend	L	T					
39. -William Blair Small Cap Growth	E	Dividend	M	T					
40. -American EuroPacific Growth	E	Dividend	M	T					
41. Wells Fargo - Accounts	A	Interest	J	T					
42. Capital One - Account	A	Interest	K	T					
43. WSFS - Account	A	Interest	K	T					
44. HSA Bank - Account	A	Interest	J	T					
45.									
46.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Fallon, Sherry R.

Date of Report

05/07/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II. Agreements: Tybout, Redfearn & Pell Stock Redemption Agreement dated 4/24/12 - Former Law Firm's redemption of equity interest over 3-year period for a fixed sum, no profit sharing, has ceased being reportable as of the last redemption installment payment in August of 2015.

Part VII. Investments - Item 3. Fidelity 529 Plan - Delaware Portfolio 2012 - The last tuition payments [REDACTED] on behalf of [REDACTED] from this college savings fund were made on 7/20/17 and 11/28/17, thus, completely exhausting the fund [REDACTED] graduates in May of 2018.

Part VII. Investments - Item 31 The fund formerly known as Comstock Invesco is now known as Washington Mutual Investments (American). Item 32 confirms the same.

Item 33 The fund formerly known as Select Equity Opps (Wellington ITRP) is now known as Growth America (American).

Item 36 The fund formerly known as Invesco Mid Cap Core Equity is now known as Special Mid-Cap Value Wells Fargo. Item 37 confirms the same.

These funds are part of my spouse's 401(k) plan managed by Mass Mutual. My spouse has no control over the exchanges and re-naming of these funds as investment decisions concerning the specific funds are in the exclusive control of Mass Mutual who manages this 401(k) plan for multiple plan participants. These exchanges made between funds by Mass Mutual, are non-taxable events for my spouse and me, as we have not received any distributions, nor withdrawn or borrowed against any of the funds managed by Mass Mutual in the 401(k) plan.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Fallon, Sherry R.

Date of Report

05/07/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sherry R. Fallon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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