

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KING, CAROLYN D.	2. Court or Organization U.S. COURT OF APPEALS 5TH CIR.	3. Date of Report 05/06/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. COURTHOUSE, ROOM 11020 515 RUSK AVENUE HOUSTON, TX 77002-2694	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. MEMBER OF BOARD OF DIRECTORS AND OF THE BOARD'S EXECUTIVE COMMITTEE	SOUTH TEXAS COLLEGE OF LAW
2. RESEARCH FELLOW	THE CENTER FOR AMERICAN AND INTERNATIONAL LAW
3. MEMBER OF COUNCIL AND OF COUNCIL'S EXECUTIVE COMMITTEE	AMERICAN LAW INSTITUTE
4. MEMBER OF ADVISORY BOARD	THE CENTER FOR THOMISTIC STUDIES, UNIVERSITY OF SAINT THOMAS
5. MEMBER OF PRESIDENT'S BOARD OF ADVISORS	UNIVERSITY OF SAINT THOMAS
6. MEMBER OF ADVISORY BOARD OF THE JUDICIAL OUTREACH PROGRAM	AMERICAN SOCIETY OF INTERNATIONAL LAW
7. MEMBER OF BOARD OF TRUSTEES AND OF THE BOARD'S EXECUTIVE COMMITTEE	BAYLOR COLLEGE OF MEDICINE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

RECEIVED
2010 MAY -7 A 11:16
FINANCIAL
DISCLOSURE OFFICE

King, Carolyn D.

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

05/06/2010

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	See attached report of Thomas M. Reavley
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	UNIVERSITY OF TEXAS LAW SCHOOL	01/23/2009	AUSTIN, TX	SPEECH AT UNIVERSITY OF TEXAS LAW SCHOOL	REIMBURSEMENT OF AIRFARE.
2.	AMERICAN SOCIETY OF INTERNATIONAL LAW	03/19/2009 - 03/20/2009	WASHINGTON, DC	ATTEND ASIL MEETING	REIMBURSEMENT OF AIRFARE, LODGING AND MEALS.
3.	AMERICAN LAW INSTITUTE	03/26/2009 - 03/28/2009	SANTA FE, NM	ATTEND ALI EXECUTIVE COMMITTEE RETREAT	REIMBURSEMENT OF AIRFARE, LODGING, MEALS AND GROUND TRANSPORTATION.
4.	UNIVERSITY OF TEXAS LAW SCHOOL	04/29/2009 - 05/01/2009	AUSTIN, TX	ATTEND WOMEN'S POWER SUMMIT ON LAW AND LEADERSHIP	REIMBURSEMENT OF AIRFARE, LODGING AND MEALS.

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

05/06/2010

5.	AMERICAN LAW INSTITUTE	10/21/2009 - 10/25/2009	NEW YORK, NY	ATTEND ALI COUNCIL MEETING	REIMBURSEMENT OF AIRFARE, LODGING, MEALS AND GROUND TRANSPORTATION.
6.	AMERICAN LAW INSTITUTE	12/02/2009 - 12/04/2009	PHILADELPHIA, PA	ATTEND ALI COUNCIL MEETING	REIMBURSEMENT OF AIRFARE, LODGING, MEALS AND GROUND TRANSPORTATION.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

05/06/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. 2009	See attached report of Thomas M. Reavley	
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank Houston, TX (Money Market)	A	Interest	K	T					
2. Citigroup Common Stock (formerly Citicorp)	A	Dividend			Sold	2/11/09	J		
3.					Sold	5/8/09	J		
4. J.P. Morgan Chase Common Stock (formerly J.P. Morgan & Co.)	A	Dividend	K	T					
5. General Electric Co. Common Stock	C	Dividend	L	T	Buy	2/10/09	J		
6. 4.85% University of North Carolina-Chapel Hill Rev. RFDG	B	Interest	K	T					
7. Bonds Due 11/1/2010									
8. IBM Common Stock	A	Dividend	K	T					
9. Exxon Mobil Corp. Common Stock	B	Dividend	L	T	Buy	6/16/09	K		
10. Compass Bank Checking Account in the Name of		None	J	T					
11. Carolyn D. King or Thomas M. Reavley									
12. T. Rowe Price Small Cap Value Fund	B	Distribution	M	T					
13.		Dividend							
14. T. Rowe Price New Era Fund	B	Distribution	M	T					
15.		Dividend							
16. Northwestern Mutual Whole Life Policies - See Part VIII	E	Dividend	N	V					
17. Abbott Labs Common Stock	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Cisco Systems Common Stock		None			Sold	5/8/09	K	A	
19. Illinois Tool Works Inc Common Stock	D	Dividend	N	T					
20. 5.25% Indiana St. Office Bldg. Comm. Bonds Due 7/1/10	C	Interest	L	T					
21. BP Amoco PLC	D	Dividend			Sold	6/16/09	M	E	
22. Microsoft	A	Dividend			Sold	5/8/09	K		
23. 3.25% Danbury, Connecticut Bonds Due 8/1/2010	C	Interest	M	T					
24. 5% California Economic Recovery Bonds Due 7/1/2015	C	Interest	M	T					
25. Procter & Gamble Common Stock	B	Dividend	L	T	Buy	2/11/09	K		
26. 3.8% NY State Thruway Aut. Gen. Rev. Bonds Due 1/1/2015	C	Interest	M	T					
27. 5% Denver Colo City & Cnty Rev. Bonds Due 9/1/2015	C	Interest	M	T					
28. Akron, OH CTFS PARTN 5% Bonds Due 12/01/2017	D	Interest	M	T					
29. Corpus Christi, TX Util Sys Rev 4% Bonds Due 7/15/2012	C	Interest	M	T					
30. Northwestern Mutual Variable Annuity Policy		None	L	T					
31.  annuitant)									
32. Tarrant Cnty Tex Hea B LTH FACS Dev Corp 5% Bonds	C	Interest	M	T					
33. Due 12/1/2019									
34. Texas Transn Commn ST Hwy FD Rev 5% Bonds Due 4/1/2019	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Northwestern Mutual Insurance Service Account	A	Interest	J	T					
36. General Municipal Mny Mkt Fund	A	Interest			Redeemed	5/4/09	M		Issuer
37. Glaxosmithkline PLC	A	Dividend	K	T					
38. Nestle SA		None			Sold	2/11/09	K		
39. Novartis AG	A	Dividend			Sold	5/8/09	J		
40. Novo Nordisk A.S.	B	Dividend			Buy	2/11/09	K		
41.					Sold	6/16/09	K		
42. Goldman Sachs Bank	A	Interest	J	T	Open	5/29/09	J		
43. King CNTY WA SCH GO 5% Bonds Due 12/1/2013	B	Interest	M	T	Buy	6/16/09	M		
44. Texas GO 5% Bonds Due 4/1/2019		None	M	T	Buy	12/14/09	M		
45. Phoenix AZ Rev. 5% Bonds Due 7/1/2018		None	M	T	Buy	6/23/09	M		
46. Chevron Corp.	A	Dividend	L	T	Buy	6/16/09	K		
47. Royal Dutch Shell	B	Dividend	L	T	Buy	6/16/09	K		
48.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT
Page 8 of 9

Name of Person Reporting
KING, CAROLYN D.

Date of Report
05/06/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In response to Part VII C, I have reported the aggregate cash surrender value for the whole life policies. In response to Part VII B, I have shown the aggregate amount of the dividends on those policies, which are used to pay the premiums and increase the cash surrender value.

I am married to Circuit Judge Thomas M. Reavley. I have attached a copy of his Financial Disclosure Report for 2009 for the information about my spouse required by the Instructions.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

05/06/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Reavley, Thomas M.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 03/24/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Bob Casey Federal Courthouse 515 Rusk Street, Room 11009 Houston, Texas 77002	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/24/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	State of Texas, Judicial Retirement	\$68,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	See attached report of Carolyn D. King
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/24/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Prudential Insurance Co.	Policy Loan	K
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/24/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank (Houston)	A	Interest	M	T					
2. Royalty (Hendrix) Pecos County, Texas	A	Royalty	J	W					
3. Minerals (Samson) Nacogdoches County, Texas	F	Royalty	●	W					
4. Minerals (Apache) Nacogdoches County, Texas	B	Royalty	K	W					
5. Minerals (Basa) Nacogdoches County, Texas	A	Royalty	J	W					
6. Chase Bank (Houston)	A	Interest	L	T					
7.									
8. Minerals (Chesapeake) Nacogdoches County, Texas	B	Royalty	J	W					
9. Fee Land, Lano County, Texas		None	K	W					
10.									
11. Prudential Insurance Company	A	Int./Div.	J	T					
12.									
13.									
14. Indigo	E	Royalty	N	W					
15. Minerals (BP) Panola County, Texas	C	Royalty	K	W					
16. Minerals (EOG) Nacogdoches County, Texas	A	Royalty	J	W					
17. Minerals (AC Exp) Shelby County, Texas	D	Royalty	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/24/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Minerals (Noble) Nacogdoches County, Texas	D	Royalty	L	W					
19.									
20. Minerals (XTO) Nacogdoches County, Texas	B	Royalty	K	W					
21.									
22.									
23.									
24.									
25. Minerals (Delta Petroleum) Newton County, Texas		None	J	W					
26. General Municipal Money Market Fund	A	Interest	L	T					
27. Colorado Springs Hospital Rev Bond	B	Interest			Redeemed	7/18/09	L		Issuer
28. Texas State Bond	B	Interest	M	T					
29. University of Texas Permanent Bond 5% Due 7/01/13	C	Interest	M	T					
30. Corpus Christi Bond 4% Due 7/15/11	B	Interest	M	T					
31. Greater Clark County, Colorado Bond 5% Due 7/15/11	B	Interest	M	T					
32. Akron, Ohio Bond 5% Due 1/12/17	A	Interest	M	T					
33. Houston, Texas Bond (SER, E)		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 6 of 7

Name of Person Reporting Reavley, Thomas M.	Date of Report 03/24/2010
---	-------------------------------------

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

My spouse is Carolyn D. King. I attach a copy of her financial disclosure report for my report of her finances.

VII Items 19 and 20 - XTO owned this lease 2009 (explained 2008 report).

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/24/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544