

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carlson, Thomas E.	2. Court or Organization U.S. Bankruptcy Court, N.D. CA	3. Date of Report 03/22/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 11/30/2016
7. Chambers or Office Address Hon. Thomas E. Carlson Phillip Burton Federal Building, 450 Golden Gate Avenue, 18th Floor San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Carlson, Thomas E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Deloitte & Touche

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Savings Account	A	Interest	M	T					
2. Wells Fargo Bank IRA Stage Coach Equity Index Fund A	A	Dividend	J	T					
3. Wells Fargo Bank IRA Stage Coach Equity Index Fund A	B	Dividend	L	T					
4. Wells Fargo Bank - Savings Account	A	Interest	J	T					
5. Wells Fargo Bank - Money Market Account	A	Interest	M	T					
6. Wells Fargo Bank - Checking Account	A	Interest	J	T					
7. Wells Fargo Bank - Asset Allocation Fund B	A	Dividend	J	T					
8. Fidelity - Equity Growth Fund B	A	Dividend	J	T					
9. Wells Fargo Bank - Large Company Growth Fund B	B	Dividend	K	T					
10. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	01/08/16	J		Deloitte
11. Vanguard Money Market Fund									
12. Vanguard Institutional Index Fund									
13. Vanguard Target Retirement 2015									
14. Vanguard Wellesley Income Fund									
15. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	01/22/16	J		Deloitte
16. Vanguard Money Market Fund									
17. Vanguard Institutional Index Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Vanguard Target Retirement 2015									
19. Vanguard Wellesley Income Fund									
20. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	02/05/16	J		Deloitte
21. Vanguard Money Market Fund									
22. Vanguard Institutional Index Fund									
23. Vanguard Target Retirement 2015									
24. Vanguard Wellesley Income Fund									
25. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	02/19/16	J		Deloitte
26. Vanguard Money Market Fund									
27. Vanguard Institutional Index Fund									
28. Vanguard Target Retirement 2015									
29. Vanguard Wellesley Income Fund									
30. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	03/04/16	J		Deloitte
31. Vanguard Money Market Fund									
32. Vanguard Institutional Index Fund									
33. Vanguard Target Retirement 2015									
34. Vanguard Wellesley Income Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	3/18/16	J		Deloitte
36. Vanguard Money Market Fund									
37. Vanguard Institutional Index Fund									
38. Vanguard Target Retirement 2015									
39. Vanguard Wellesley Income Fund									
40. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	04/01/16	J		Deloitte
41. Vanguard Money Market Fund									
42. Vanguard Institutional Index Fund									
43. Vanguard Target Retirement 2015									
44. Vanguard Wellesley Income Fund									
45. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	04/15/16	J		Deloitte
46. Vanguard Money Market Fund									
47. Vanguard Institutional Index Fund									
48. Vanguard Target Retirement 2015									
49. Vanguard Wellesley Income Fund									
50. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	04/29/16	J		Deloitte
51. Vanguard Money Market Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Vanguard Institutional Index Fund									
53. Vanguard Target Retirement 2015									
54. Vanguard Wellesley Income Fund									
55. Deloitte & Touche - 401(k): mix of funds listed below	B	Dividend	O	T	Buy	05/13/16	J		Deloitte
56. Vanguard Money Market Fund									
57. Vanguard Institutional Index Fund									
58. Vanguard Target Retirement 2015									
59. Vanguard Wellesley Income Fund									
60.									
61.									
62.									
63. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	05/27/16	J		Deloitte
64. Vanguard Money Market Fund									
65. Vanguard Institutional Index Fund									
66. Vanguard Target Retirement 2015									
67. Vanguard Wellesley Income Fund									
68. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	06/10/16	J		Deloitte

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Vanguard Money Market Fund									
70. Vanguard Institutional Index Fund									
71. Vanguard Target Retirement 2015									
72. Vanguard Wellesley Income Fund									
73. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	06/24/16	J		Deloitte
74. Vanguard Money Market Fund									
75. Vanguard Institutional Index Fund									
76. Vanguard Target Retirement 2015									
77. Vanguard Wellesley Income Fund									
78. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	07/08/16	J		Deloitte
79. Vanguard Money Market Fund									
80. Vanguard Institutional Index Fund									
81. Vanguard Target Retirement 2015									
82. Vanguard Wellesley Income Fund									
83. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	07/22/16	J		Deloitte
84. Vanguard Money Market Fund									
85. Vanguard Institutional Index Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Vanguard Target Retirement 2015									
87. Vanguard Wellesley Income Fund									
88. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	08/05/16	J		Deloitte
89. Vanguard Money Market Fund									
90. Vanguard Institutional Index Fund									
91. Vanguard Target Retirement 2015									
92. Vanguard Wellesley Income Fund									
93. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	08/19/16	J		Deloitte
94. Vanguard Money Market Fund									
95. Vanguard Institutional Index Fund									
96. Vanguard Target Retirement 2015									
97. Vanguard Wellesley Income Fund									
98. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	09/02/16	J		Deloitte
99. Vanguard Money Market Fund									
100. Vanguard Institutional Index Fund									
101. Vanguard Target Retirement 2015									
102. Vanguard Wellesley Income Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	09/16/16	J		Deloitte
104. Vanguard Money Market Fund									
105. Vanguard Institutional Index Fund									
106. Vanguard Target Retirement 2015									
107. Vanguard Wellesley Income Fund									
108. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	09/30/16	J		Deloitte
109. Vanguard Money Market Fund									
110. Vanguard Institutional Index Fund									
111. Vanguard Target Retirement 2015									
112. Vanguard Wellesley Income Fund									
113. Deloitte & Touche - Profit Sharing- mix of funds below	B	Distribution	O	T	Buy	10/14/16	J		Deloitte
114. Vanguard Money Market Fund									
115. Vanguard Institutional Index Fund									
116. Vanguard Target Retirement 2015									
117. Vanguard Wellesley Income Fund									
118.									
119.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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120.									

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas E. Carlson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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