

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bernthal, David G.	2. Court or Organization U.S. District Court, CD/IL	3. Date of Report 5/23/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Ret.)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 5/1/2016
7. Chambers or Office Address U.S. Courthouse 201 S. Vine Street Urbana, IL 61802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Champaign Country Club
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1/87	Deferred Compensation Plan, State of Illinois (former employee) (See Notes Part VIII)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

Self-Employed (Real Estate Broker)

2. 2016

Retail (Part-Time Sales)

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Marine Bank	A	Interest	J	T					
2. Angeles Partners XIV		None	J	W					
3. Busey Bank Account	A	Interest	J	T					
4. Busey Bank Account	A	Interest	J	T					
5. Busey Bank Account	A	Interest	J	T					
6. Busey Bank Account	A	Interest	J	T					
7. Busey Bank Account	A	Interest	J	T					
8. Busey Bank Account	A	Interest	J	T					
9. T. Rowe Price Retirement Active Trust D	B	Interest	J	T					
10. Busey Bank Account	A	Interest	J	T					
11. MFS Value Fund Class I (MEIIX (IRA)	A	Dividend	L	T					
12. Leader Short Term Fund Bond Institutional Fund LCCIX (IRA)	A	Dividend	K	T					
13. Parnassus Mid-Cap Fund PARMX (IRA)		None	L	T					
14. Principal Global Real Estate Securities Fnd POSPX (IRA)	A	Dividend	K	T					
15. Templeton Global Bond Fund Advisor Class TGBAX (IRA)	A	Dividend			Sold	01/27/16	J		
16. New World Fund Class F-2 NFFFX (IRA)		None			Sold	01/27/16	K		
17. Putnam Convertible Securities Fund Class Y PCGYX (IRA)		None			Sold	01/27/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Oppenheimer Senior Floating Rate Fund Class Y OOSYX (IRA)	A	Dividend	K	T					
19. The Oakmark International Fund Class I OAKIX (IRA)		None	K	T					
20. Eaton Vance Floating Rate Advantage FD Class I EIFAX (IRA)	A	Dividend	K	T					
21. TCW Relative Value TGIGX (IRA)	A	Dividend	L	T					
22. Ridgeworth High Income Fund Class I STHTX (IRA)	A	Dividend			Sold	01/27/16	K		
23. T. Rowe Price New Horizons PRNHX (IRA)		None	K	T					
24. Principal Midcap Fund Class P PMCPX (IRA)		None	L	T					
25. Pimco Income Fund Class Inst PIMIX (IRA)	A	Dividend	K	T					
26. Alliance Bernstein High Income Fund Advisor Class AGDYX (IRA)	A	Dividend			Sold	01/27/16	K		
27. Fidelity Advisor New Insights Fund Class I FINSX (IRA)	A	Distribution	L	T					
28. Hancock Horizon Family Burkenroad Fund Class D HYBUX (IRA)		None	K	T					
29. Lord Abbott Large Cap Core Stra. Sep. (IRA)	A	Interest	J	T					
30. Pershing Government Account (IRA)		None	J	T					
31. Invesco Equally Weighted S&P 500 Class Y VADDX (IRA)		None	K	T					
32. Ivy International Core Equity Fund Class I ICEIX (IRA)		None	K	T					
33. Putnam Multi-Cap Core Fund Class Y PMYYX (IRA)		None	L	T					
34. Eaton Vance Short Duration Strategic Income ESIX (IRA)	A	Dividend	K	T					

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35. PIMCO Foreign Bond Fund PFORX (IRA)	A	Dividend	K	T					
36. First Tr Exchange Traded FV (IRA)		None	K	T					
37. AC Alternative ACVVX (IRA)		None	K	T	Buy	01/28/16	K		
38. Lord Abbett Core LCRFX (IRA)	A	Dividend	K	T	Buy	01/28/16	K		
39. New Perspective ANWFX (IRA)		None	K	T	Buy	01/28/16	K		
40. Putnam Absolute Return 700 PDMYX (IRA)		None	K	T	Buy	01/27/16	K		
41. Ridgeworth Core Bond STIGX (IRA)	A	Dividend	K	T	Buy	01/27/16	K		
42. Hancock Horizon Family Burkenroad Fund HYBUX (Spouse IRA)		None	J	T					
43. MFS International Value Fund MINIX (Spouse IRA)		None	J	T					
44. T. Rowe Price Extended Equity Market Index PEXMX (Spouse IRA)		None	J	T					
45. Sun America Focused Dividend Strategy Fund FDSWX (Spouse IRA)	A	Dividend	J	T					
46. Leader Short Term Bond Fund LCCIX (Spouse IRA)	A	Dividend	J	T					
47. Lord Abbett Growth Leaders LGLFX (Spouse IRA)		None	J	T					
48. First TR Exchange Traded FV (Spouse IRA)		None	J	T					
49. Pershing Government Account (Spouse IRA)		None	J	T					
50. Sun America Focused Dividend Strategy Fund FDSWX (Spouse SEP)	A	Dividend	J	T					
51. Invesco Equally Weighted S&P 500 Fund VADDX (Spouse SEP)		None	J	T					

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52. Vanguard Index Trust Total Stock VTMX (Spouse SEP)	A	Dividend	K	T					
53. Ivy International Core Equity ICEIX (Spouse SEP)		None	J	T					
54. Pershing Government Account (Spouse SEP)		None	J	T					
55. AC Alternatives ACVVX (Spouse SEP)		None	K	T	Buy	02/04/16	J		
56. MFS Low Volatility MLVHX (Spouse SEP)	A	Dividend	K	T	Buy	02/04/16	J		
57. Federated Capital Reserves Money Fund (IND)		None	J	T					
58. Cozad Small Cap Value Fund Class I COZIX (IND)		None	K	T	Sold (part)	02/11/16	J		
59. Delaware Value Fund Class I DDVIX (IND)	A	Dividend	K	T	Sold (part)	02/11/16	J		
60. Federated Capital Reserves Money Fund (IND EI)		None	J	T					
61. American Electric Power Co AEP (IND EI)	A	Dividend	J	T					
62. American Software Inc CL A AMSWA (IND EI)	A	Dividend			Sold	02/12/16	J		
63. American STS Water Co AWR (IND EI)	A	Dividend			Sold	02/12/16	J		
64. Apollo Invst Corp AINV (IND EI)	A	Dividend			Sold	02/12/16	J		
65. ARES Cap Corp ARCC (IND EI)		None			Sold	02/24/16	J		
66. Bristol Myers Squibb BMY (IND EI)	A	Dividend			Sold	02/04/16	J		
67. CA Inc CA (IND EI)	A	Dividend	J	T					
68. Calamos Asset Mgmt Inc Cl A CLMS (IND EI)		None			Sold	02/05/16	J		

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69. Conagra Foods Inc CAG (IND EI)	A	Dividend			Sold	02/12/16	J		
70. Consolidated Communications Hldgs CNSL (IND EI)	A	Dividend			Sold	02/05/16	J		
71. Diebold Inc DBD (IND EI)		None			Sold	02/04/16	J		
72. Dupont Fabros Technology Inc DFT (IND EI)	A	Dividend			Sold	02/12/16	J		
73. Garmin Ltd Shs GRMN (IND EI)	A	Dividend	J	T					
74. Genuine Parts Co GPC (IND EI)	A	Dividend			Sold	02/12/16	J		
75. Kimberly Clark KMB (IND EI)	A	Dividend			Sold	02/04/16	J		
76. Kinder Morgan Inc Del KMI (IND EI)	A	Dividend			Sold	02/04/16	J		
77. Merck & Co MRK (IND EI)	A	Dividend	J	T					
78. MFA Finl Inc MFA (IND EI)	A	Dividend	J	T					
79. Microchip Technology MCHP (IND EI)	A	Dividend	J	T					
80. Northrop Grumman NOC (IND EI)		None			Sold	02/04/16	J		
81. Paychex Inc PAYX (IND EI)	A	Dividend			Sold	02/12/16	J		
82. Pfizer Inc PFE (IND EI)	A	Dividend			Sold	02/12/16	J		
83. Realty Income Corp O (IND EI)	A	Dividend			Sold	02/12/16	J		
84. Reynolds Amern Inc RAI (IND EI)	A	Dividend	J	T	Sold (part)	02/04/16	J		
85. RPM Intl Inc RPM (IND EI)	A	Dividend	J	T					

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86. Science Applications Intl Corp SAIC (IND EI)	A	Dividend			Sold	02/04/16	J		
87. Southern Co (IND EI)	A	Dividend	J	T					
88. Spectra Energy Corp SE (IND EI)	A	Dividend			Sold	02/12/16	J		
89. Universal Health Rlty Income UHT (IND EI)		None			Sold	02/05/16	J		
90. Williams Cos Inc WMB (IND EI)		None			Sold	02/04/16	J		
91. WP Carey Inc WPC (IND EI)	A	Dividend	J	T					
92. Crown Castle Intl CCI (IND EI)	A	Dividend	J	T					
93. Hospitality PPTYS TR HPT (IND EI)	A	Dividend			Sold	02/12/16	J		
94. RMR Group Inc (spinof of Hospitality PPTYS) RMR (IND EI)		None			Sold	02/05/16	J		
95. Kronos Worldwide KRO (IND EI)	A	Dividend	J	T	Buy (add'l)	02/05/16	J		
96. Leidos Hldgs LDOS (IND EI)	A	Dividend	J	T					
97. Old Republic Intl ORI (IND EI)		None			Sold	02/12/16	J		
98. Pacwest Bancorp PACW (IND EI)	A	Dividend			Sold	02/24/16	J		
99. Western Refining Inc WNR (IND EI)	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
100. Cal Maine Foods CALM (IND EI)		None	J	T	Buy	02/04/16	J		
101. Darden Restaurants DRI (IND EI)		None	J	T	Buy	02/04/16	J		
102. DTE Energy DTE (IND EI)	A	Dividend	J	T	Buy	02/04/16	J		

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103. Mosaic MOS (IND EI)	A	Dividend	J	T	Buy	02/04/16	J		
104. NuSkin NUS (IND EI)		None			Buy	02/04/16	J		
105. NuSkin NUS (IND EI)		None			Sold	02/12/16	J		
106. Senior Hsg PPTYS SNH (IND EI)		None	J	T	Buy	02/04/16	J		
107. Timkon TKR (IND EI)	A	Dividend	J	T	Buy	02/04/16	J		
108. Western Digital WDC (IND EI)	A	Dividend	J	T	Buy	02/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bernthal, David G.

Date of Report

5/23/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. AGREEMENTS -

I. Deferred Compensation Plan - I am no longer contributing or participating in the plan. Administrators will hold previous contributions pursuant to an irrevocable election.

VII. INVESTMENTS and TRUSTS --

Whenever Column B(1) is left blank and B(2) says "None," for an asset not disposed of, it means there was zero income of any kind attributable to that asset during the reporting period. (Example, Line 32)

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bernthal, David G.

Date of Report

5/23/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David G. Bernthal**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544