

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Jr., Charles S.	2. Court or Organization District of North Dakota	3. Date of Report 05/12/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/01/2012 to 12/31/2012
7. Chambers or Office Address 220 East Rosser Ave P.O. Box 670 Bismarck, ND 58502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director and Vice President	Missouri Valley YMCA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Accounts	A	Interest	J	T					
2.									
3.									
4. Brokerage #1									
5. --RTK--Common Stock	A	Int./Div.	J	T					
6. --Fidelity Brokerage Services LLC Cash Account	A	Interest	J	T					
7.									
8.									
9. Brokerage #2									
10. --Schwab Money Market Fund			J	T					
11.									
12.									
13. IRA #1	B	Dividend	L	T					
14. --Fidelity Contrafund									
15. --Fidelity Puritan Fund									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #2	A	Int./Div.	L	T					
19. --Schwab Money Market Fund									
20. --Schwab Cash Account									
21. --ASTON/Fairponte Mid. Cap Fd					Buy	01/12/12	J		
22.					Sold	04/13/12	J	A	
23. --Janus Global Technology Fd					Sold	02/09/12	J	A	
24. --Marsico Flexible Capital Fd					Buy	06/06/12	J		
25.					Sold	12/13/12	J	A	
26. --Parnassus Mid Cap Fd					Buy	03/16/12	J		
27.					Sold	08/08/12	J	A	
28. --PIMCO StocksPLUS Total Return Fd					Buy	05/24/12	J		
29. ProFunds Ultra Bear Fd					Buy	02/24/12	J		
30.					Sold	03/13/12	J		
31. --Rydex Inverse S&P 500 2x Strategy					Buy	04/5/12	J		
32.					Sold (part)	05/08/12	J	A	
33.					Sold	05/11/12	J	A	
34. --Rydex S&P Mid Cap 400 Pure Growth					Buy	12/06/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Miller, Jr., Charles S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Rydex Nova Fd					Buy	06/12/12	J		
36.					Buy (add'l)	11/01/12	J		
37.					Sold	12/27/12	K	A	
38. --Rydex OTC Fund					Buy	08/09/12	J		
39.					Sold	10/18/12	J	A	
40. --Rydex S&P 500 Index Fd									
41. --Rydex S&P 500 Pure Growth Fd					Sold	03/07/12	J	A	
42. --SunAmerica Focused Dividend Strategy Fd					Buy	01/06/12	J		
43. --Tocqueville Gold Fd					Buy	05/01/12	J		
44.					Buy (add'l)	05/24/12	J		
45.					Sold (part)	10/05/12	J	A	
46.					Sold	11/30/12	J	A	
47. --Wasatch World Innovators FD					Sold	03/22/12	J	B	
48. --Weitz Partners Value Fd									
49.									
50.									
51. SEP [REDACTED]	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --(Same funds and transactions as IRA #2)									
53.									
54.									
55. Wells Fargo Rollover C/F - Rollover IRA	E	Int./Div.	O	T					
56. --Wells Fargo Cash Sweep Account									
57. --Vanguard Index Tr 500 (VFIX)					Sold	03/19/12	K	D	
58. --Vanguard REIT ETF (VNQ)					Sold	12/18/12	J	B	
59. --Vanguard Energy ETF (VDE)									
60. --AA					Buy (add'l)	01/03/12	J		
61. --ABT									
62. --ANW									
63. --BAMM					Sold	03/19/12	J	A	
64. --BMO									
65. --BNS									
66. --CAG									
67. --CSCO									
68. --EMR									

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69. --ESRX					Sold	09/20/12	J	A	
70. --ETN					Buy (add'l)	07/19/12	J		
71. --FCX					Buy (add'l)	08/17/12	J		
72. --GIS									
73. --GLW					Buy (add'l)	01/25/12	J		
74. --HCBK					Sold (part)	01/10/12	J		
75.					Sold (part)	09/20/12	J		
76.					Sold (part)	12/18/12	J	B	
77. --HPQ					Buy	03/02/12	J		
78.					Buy (add'l)	10/15/12	J		
79.					Buy (add'l)	11/20/12	J		
80. --INTC					Sold (part)	02/08/12	J	B	
81. --JNJ									
82. --KO									
83. --LEG									
84. --MCD									
85. --MMM									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. --MSFT									
87. --NAT					Buy (add'l)	08/10/12	J		
88. --PG									
89. --PNC					Sold	09/20/12	J	B	
90. --PRGN					Buy (add'l)	04/12/12	J		
91.					Buy (add'l)	06/29/12	J		
92.					Buy (add'l)	12/26/12	J		
93. --RY									
94. --SJM									
95. --SORL					Buy (add'l)	03/31/12	J		
96.					Buy (add'l)	07/19/12	J		
97. --SYK					Sold	11/26/12	J	B	
98. --YYY									
99. --TEVA									
100. --TRGT									
101. --TRST									
102. --UNP					Buy (add'l)	03/06/12	J		

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103. --UTX									
104. --XLNX					Buy	07/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles S. Miller, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544