

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Melgren, Eric F.	2. Court or Organization U.S. District Court, D. Kansas	3. Date of Report 07/30/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Hon. Eric F. Melgren United States Courthouse #414 401 N. Market Wichita, Kansas 67202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|-------------------|--|
| 1. Board Chairman | Advisory Board, Cohen Honors College, Wichita State University |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Melgren, Eric F.

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07/30/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Southwest Kansas Bar
Association

09/07/17

Dodge City, Kansas

Presenter at Bar
Association meeting

mileage

2. American Conference
Institute

01/25/17 - 01/26/17

Miami, FL

Panelist at Consumer
Finance Litigatio
n conferenc

Transportation, Lodging

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Department of Education	Student Loan (for son)	L
2.	Rose Hill Bank	HELOC Guaranty	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America IRA (cash eqv)	A	Interest	J	T					
2. Axa Equitable Variable Life Insurance EQ Money Market		None	J	T					
3. Northwestern Mutual General Mny Mkt	A	Interest			Sold	05/08/17	J		
4. Northwestern Mutual Invesco Balanced - Risk Commodity	A	Dividend			Sold	05/08/17	J		
5. Northwestern Mutual Brown Capital Management Small	A	Dividend			Sold	05/08/17	J		
6. Northwestern Mutual Dodge & Cox Income Fund	A	Dividend			Sold	05/08/17	J		
7. Northwestern Mutual EuroPacific Growth Fund	A	Dividend			Sold	05/08/17	K		
8. Northwestern Mutual Fidelity Advisor Mid Cap II Fund	A	Dividend			Sold	05/08/17	J		
9. Northwestern Mutual Fidelity Advisor New Insights Fund Class	A	Dividend			Sold	05/08/17	K		
10. Northwestern Mutual The Oakmark International Fund	A	Dividend			Sold	05/08/17	K		
11. Northwestern Mutual International Growth and Income	A	Dividend			Sold	05/08/17	J		
12. Northwestern Mutual John Hancock Disciplined Value	A	Dividend			Sold	05/08/17	J		
13. Northwestern Mutual MFS Value Fund Class I	A	Dividend			Sold	05/08/17	K		
14. Northwestern Mutual Metropolitan West Total Return Bond	A	Distribution			Sold	05/08/17	J		
15. Northwestern New World Fund Class F-2	A	Dividend			Sold	05/08/17	J		
16. Northwestern Mutual Northern Small Cap Value Fund	A	Dividend			Sold	05/08/17	J		
17. Northwestern Mutual T Rowe Price Emerging Markets	A	Dividend			Sold	05/08/17	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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18. Northwestern Mutual T Rowe Price Real Estate	A	Dividend			Sold	05/08/17	J		
19.									
20. Elara interval vacation property, Las Vegas, NV		None	L	W					
21. Breakers of Ft. Lauderdale, FL interval vacation property		None	J	W					
22.									
23. SEI Government Fund (SEOXX)	A	Int./Div.	J	T	Buy	05/08/17	N		
24.					Sold (part)	05/12/17	N		
25.					Sold (part)	08/30/17	J		
26.					Sold (part)	10/06/17	J		
27.					Buy (add'l)	11/21/17	K		
28.					Sold (part)	12/04/17	K		
29. SEI Vanguard FTSE Developed Markets ETF	B	Int./Div.	L	T	Buy	05/09/17	L		
30.					Sold (part)	08/25/17	J		
31.					Sold (part)	11/17/17	J		
32. SEI iShares Currency Hedged MSCI Eurozone	A	Int./Div.	J	T	Buy	05/09/17	J		
33. SEI SPDR Barclays High Yield Bond	A	Int./Div.	J	T	Buy	05/09/17	J		
34.					Sold (part)	11/17/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. SEI SPDR S&P 500 ETF trust	B	Int./Div.	L	T	Buy	05/09/17	L		
36.					Sold (part)	05/26/17	J		
37.					Sold (part)	10/10/17	J		
38.					Sold (part)	11/17/17	J		
39. SEI Vanguard Total Bond Market ETF	A	Int./Div.	K	T	Buy	05/09/17	K		
40.					Buy (add'l)	10/04/17	J		
41.					Sold (part)	11/17/17	J		
42. SEI Schwab US Small Cap ETF	A	Int./Div.	K	T	Buy	05/09/17	K		
43.					Sold (part)	10/04/17	J		
44.					Sold (part)	11/17/17	J		
45. SEI Wisdom Tree Japan Hedged Equity Fund	A	Int./Div.	J	T	Buy	05/09/17	J		
46.					Buy (add'l)	05/26/17	J		
47. SEI iShares JP Morgan USD Emerging Markets Bond	A	Int./Div.	J	T	Buy	05/09/17	J		
48. SEI VanEck Vectors JP Morgan EM Local Currency Bond	A	Int./Div.	J	T	Buy	05/09/17	J		
49. SEI Vanguard Emerging MSCI Markets ETF	A	Int./Div.	K	T	Buy	05/09/17	K		
50.					Sold (part)	11/17/17	J		
51. SEI iShares S&P 500 Value ETF	A	Int./Div.	J	T	Buy	10/10/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52.					Sold (part)	11/17/17	J		
53. SEI SPDR S&P Aerospace & Defense ETF	A	Int./Div.	J	T	Buy	11/30/17	J		
54. SEI Oppenheimer Global Opportunities		None	J	T	Buy	12/01/17	J		
55.									
56.									
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68.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Melgren, Eric F.

Date of Report

07/30/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Melgren, Eric F.

Date of Report

07/30/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eric F. Melgren

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544