

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Netburn, Sarah	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 07/17/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 40 Foley Square Room 430 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Cuti Hecker Wang -- salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Alps ETF	A	Dividend	J	T	Buy	10/8/12	J		
2.					Buy (add'l)	10/10/12	J		
3.					Buy (add'l)	10/17/12	J		
4.					Buy (add'l)	10/23/12	J		
5.					Buy (add'l)	11/7/12	J		
6.					Buy (add'l)	11/14/12	J		
7.					Buy (add'l)	12/4/12	J		
8.					Buy (add'l)	12/17/12	J		
9. American Intl Group	A	Dividend			Buy	9/11/12	J		
10.					Sold	9/20/12	J	A	
11. Anadarko Petroleum	A	Dividend			Buy	9/12/12	J		
12.					Sold	9/20/12	J	A	
13. Apple Inc.	A	Dividend			Sold	5/16/12	K	E	
14. AT&T Inc.	A	Dividend			Sold	9/20/12	J	B	
15. Bank of Amer. Corp.	A	Dividend			Sold	9/20/12	J	A	
16. Berkshire Hathaway Inc New CL B	A	Dividend			Sold	9/20/12	J	B	
17. Blackrock Inc.	A	Dividend			Sold	5/22/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Broadcom Corp.	A	Dividend			Buy	9/17/12	J		
19.	A	Dividend			Sold	9/20/12	J	A	
20. CSX Corporation	A	Dividend			Sold	09/20/12	J	A	
21. Cabelvision Systems Corp N Y Group CL A	A	Dividend			Sold	9/20/12	J	A	
22. CenturyLink Inc.	A	Dividend			Buy	2/6/12	J		
23.					Sold	9/20/12	J	A	
24. Chesapeake Energy	A	Dividend			Buy	04/18/12	J		
25.					Sold	04/16/12	J	A	
26.					Sold	5/11/12	J	A	
27. Citigroup Inc.	A	Dividend			Sold	9/20/12	J	A	
28. Colgate Palmolive Co.	A	Dividend			Sold	9/20/12	J	A	
29. Cons Edison Co. (Holding Co.)	A	Dividend			Sold	9/20/12	J	A	
30. Deere and Co.	A	Dividend			Buy	8/15/12	J		
31.					Sold	9/20/12	J	A	
32. Dunkin Brands	A	Dividend			Buy	8/1/12	J		
33.					Sold	9/20/12	J	A	
34. Eshares Emerging Markets Consumer ETF	A	Dividend	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Energy Transfer Partners	A	Dividend			Buy	6/21/12	J		
36.					Sold	9/20/12	J	A	
37. Exxon Mobil Corp.	A	Dividend			Sold	9/20/12	J	C	
38. Facebook	A	Dividend			Buy	8/16/12	J		
39.					Sold	9/20/12	J	A	
40. First Trust ISE-REV NAT GAS	A	Dividend	J	T	Buy	10/8/12	J		
41.					Buy (add'l)	10/10/12	J		
42.					Buy (add'l)	10/17/12	J		
43.					Buy (add'l)	10/23/12	J		
44.					Buy (add'l)	11/7/12	J		
45.					Buy (add'l)	12/4/12	J		
46.					Buy (add'l)	12/17/12	J		
47. Genl Electric Co.	A	Dividend			Sold	9/20/12	J	A	
48. Google Inc. CL A	A	Dividend			Buy	1/30/12	J		
49.					Sold	9/20/12	J	B	
50. Greenhaven Continuous Commodity Index Fund DE	A	Dividend	J	T	Buy	10/8/12	J		
51.					Buy (add'l)	10/10/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	10/17/12	J		
53.					Buy (add'l)	10/23/12	J		
54.					Buy (add'l)	11/7/12	J		
55.					Buy (add'l)	12/4/12	J		
56. Home Depot Inc.	A	Dividend			Sold	9/20/12	J	C	
57. Intel Corp.	A	Dividend			Sold	9/20/12	J	A	
58. Intl Business Mach	A	Dividend			Sold	9/20/12	J	D	
59. iShares DJ Select Divid Index Fund	A	Dividend	J	T					
60. iShares NASDAQ Biotechnology Index Fund	A	Dividend	J	T					
61. Johnson & Johnson Com.	A	Dividend			Sold	9/20/12	J	A	
62. Kraft Food Inc. CL A	A	Dividend			Sold	9/20/12	J	B	
63. Market Vectors Gold Miners ETF	A	Dividend	J	T	Buy	12/17/12	J		
64. Metlife Inc.	A	Dividend			Buy	2/6/12	J		
65.					Sold	5/16/12	J	A	
66. Microsoft Corp.	A	Dividend			Buy	2/9/12	J		
67.					Sold	9/20/12	J	A	
68. Nextera Energy Inc. Com.	A	Dividend			Sold	9/20/12	J	B	

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69. Oaktree Cap Group	A	Dividend			Buy	6/18/12	J		
70.					Sold	9/20/12	J	A	
71. Oracle Corp.	A	Dividend			Sold	9/20/12	J	A	
72. Pepsico Inc.	A	Dividend			Sold	9/20/12	J	A	
73. Pimco 1-5 Yr US Tips Index ETF	A	Interest	J	T	Buy	12/17/12	J		
74. Potash Corp	A	Dividend			Buy	2/2/12	J		
75.					Sold	5/15/12	J	A	
76. Pfizer Inc.	A	Dividend			Sold	9/20/12	J	B	
77. Procter & Gamble Co.	A	Dividend			Sold	9/20/12	J	A	
78. Qualcomm Inc.	A	Dividend			Buy	4/19/12	J		
79.					Sold (part)	6/25/12	J	A	
80.					Sold	9/20/12	J	A	
81. Royal Dutch Shell PLC CL A SPON ADR DE	A	Dividend			Sold	9/20/12	J	A	
82. Seadrill	A	Dividend			Buy	4/3/12	J		
83.					Sold	9/20/12	J	A	
84. Senior HSG Properties Trust SBI DE	A	Dividend			Sold	9/20/12	J	A	
85. Starbucks Corp.	A	Dividend			Sold	7/23/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Vanguard Index Funds Vanguard Small Cap ETF	A	Dividend	J	T	Buy	10/8/12	J		
87.					Buy (add'l)	10/17/12	J		
88.					Buy (add'l)	10/23/12	J		
89.					Buy (add'l)	11/7/12	J		
90.					Buy (add'l)	12/4/12	J		
91. Wal Mart Stores Inc.	A	Dividend			Sold	9/20/12	J	B	
92. Walt Disney Co. (Holding Co.) Disney Com	A	Dividend			Sold	9/20/12	J	D	
93. Deutsche Telekom AG DE SPON ADR	A	Dividend			Sold	9/20/12	J	A	
94. iShares FTSE/XINHUA China 25 Index Fund	A	Dividend	J	T	Sold (part)	09/21/12	J	A	
95. Vodafone Group PLC New SPON ADR	A	Dividend			Sold	9/20/12	J	A	
96. iShares Barclays 1-3 Yr Credit Bond Fund	A	Dividend	J	T					
97. iShares Barclays 1-3 Yr Treas. Bond FD	A	Interest	J	T	Buy	10/8/12	J		
98.					Buy (add'l)	10/10/12	J		
99.					Buy (add'l)	10/10/12	J		
100.					Buy (add'l)	10/23/12	J		
101.					Buy (add'l)	11/7/12	J		
102. iShares Barclays TIPS Bond Fund	A	Interest	J	T					

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103. iShares IBOXX High Yield Corp Bond	A	Interest	J	T					
104. iShares S&P 500 Value	A	Interest	J	T	Buy	10/8/12	J		
105.					Buy (add'l)	10/10/12	J		
106.					Buy (add'l)	10/17/12	J		
107.					Buy (add'l)	10/23/12	J		
108.					Buy (add'l)	11/7/12	J		
109.					Buy (add'l)	12/4/12	J		
110. iShares Trust S&P 500 Growth Index Fund DE	A	Interest	J	T	Buy	10/8/12	J		
111.					Buy (add'l)	10/10/12	J		
112.					Buy (add'l)	10/17/12	J		
113.					Buy (add'l)	10/23/12	J		
114.					Buy (add'l)	11/7/12	J		
115.					Buy (add'l)	12/4/12	J		
116. iShares S&P 100 Index Fund DE	A	Interest	J	T	Buy	10/8/12	J		
117.					Buy (add'l)	10/10/12	J		
118.					Buy (add'l)	10/17/12	J		
119.					Buy (add'l)	10/23/12	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	11/7/12	J		
121.					Buy (add'l)	12/4/12	J		
122. iShares Gold Trust ETF	A	Interest	J	T	Buy	12/13/12	J		
123.					Buy (add'l)	12/17/12	J		
124. iShares Barclays 1-3 YR CD BD FD	A	Int./Div.	J	T	Buy	12/4/12	J		
125.					Sold	4/24/12	J	A	
126. JPM Chase Capital XXVII 7.200% Due 12/22/39 Callable	A	Interest			Redeemed	7/12/12	J	A	
127. Powershares Exchange Traded FD TR FINL PFD Portfolio	A	Dividend	J	T					
128. Vanguard Short Term Corporate BD ETF	A	Interest	J	T	Buy	10/8/12	J		
129.					Buy (add'l)	10/17/12	J		
130.					Buy (add'l)	10/23/12	J		
131.					Buy (add'l)	11/7/12	J		
132.					Buy (add'l)	12/4/12	J		
133. Powershares DB Commodity Index Tracking Fund		None	J	T					
134. Powershares DB Multi Sector Commodity TR DB Agriculture Fund		None	J	T					
135. SPDR Gold Trust		None	J	T					
136. SPDR S&P Emerging Markets ETF DE	A	Dividend	J	T	Buy	10/8/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	10/10/12	J		
138.					Buy (add'l)	10/17/12	J		
139.					Buy (add'l)	10/23/12	J		
140.					Buy (add'l)	11/7/12	J		
141.					Buy (add'l)	12/4/12	J		
142. SPDR SER TR Barclays Cap Conv ETF	A	Dividend	J	T	Buy	10/8/12	J		
143.					Buy (add'l)	10/10/12	J		
144.					Buy (add'l)	10/17/12	J		
145.					Buy (add'l)	10/23/12	J		
146. SPDR Barclays Convertible SEC ETF	A	Dividend	J	T	Buy	11/7/12	J		
147.					Buy (add'l)	12/4/12	J		
148. Vanguard Index Funds MFD INC FSTE ALL	A	Dividend	J	T	Buy	10/8/12	J		
149.					Buy (add'l)	10/10/12	J		
150.					Buy (add'l)	10/23/12	J		
151. Vanguard Index Funds Mid Cap	A	Dividend	J	T	Buy	10/8/12	J		
152.					Buy (add'l)	10/10/12	J		
153.					Buy (add'l)	10/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	10/23/12	J		
155.					Buy (add'l)	11/7/12	J		
156.					Buy (add'l)	12/4/12	J		
157. Vanguard Intel Equity Index FD INC FSTE ALL-WORLD EX-U	A	Dividend	J	T	Buy	10/17/12	J		
158.					Buy (add'l)	11/7/12	J		
159.					Buy (add'l)	12/4/12	J		
160. Vanguard Short Term Corporate BD ETF	A	Dividend	J	T					
161. Vanguard Index Funds Small Cap	A	Dividend	J	T	Buy	10/8/12	J		
162.					Buy (add'l)	10/17/12	J		
163.					Buy (add'l)	10/23/12	J		
164.					Buy (add'l)	11/7/12	J		
165.					Buy (add'l)	12/4/12	J		
166. Columbia Aggressive Growth NY 529 Portfolio Class A		None	M	T					
167. IRA #1	D	Dividend	M	T					
168. - UBS Pace Money Market Investment Fund Class P									
169. - Calamos Convertible Fund Class A									
170. - DWS Dreman Small Cap Value Fund Class A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Eaton Vance Richard Bernstein Equity									
172. - Federated Kaufman Fund Class A									
173. - Goldman Sachs Mid Cap Value Fund Class A									
174. - Olstein All Cap Value Fund Advisor Class									
175. - Henderson Global Investors Intl Opportunities Fund A									
176. - Oppenheimer Developing Markets Fund CL A									
177. -- Oppenheimer Equity Income Fund Inc. Cl A									
178. - Blackrock Inflation Protected Bond A									
179. - Calvert Short Duration Income Fund Class A									
180.									
181.									
182. - JP Morgan Short Duration Bond Fund Class A									
183. - Pimco Real Return Fund Class A									
184. - Pimco Total Return Fund Class A									
185. - Putnam Voyager Fund Inc. Cl A									
186. UBS Bank USA Deposit Account	A	Interest	J	T					
187. UBS Select Prime Investor Fund	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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188. RMA Money Mkt Portfolio		None	J	T					
189. IRA # 2	A	Interest	J	T					
190. - UBS Bank USA Deposit Account	A	Interest	J	T					
191. - Eaton Vance Richard Bernstein Equity	A	Dividend	J	T					
192. IRA #3	C	Dividend	M	T					
193. - Alps ETF	A	Dividend	J	T	Buy	11/14/12	J		
194. - First Trust ISE-REV Nat Gas	A	Dividend	J	T	Buy	9/20/12	J		
195. - Egshares Emerging Markets Consumer ETF	A	Dividend	J	T					
196. - ETF Guggenheim Bullet Shares 2012 Corp B	A	Dividend			Buy	2/23/12	J		
197.					Sold	12/31/12	J	A	
198. - iShares S&P 100 Index Fund DE	A	Dividend	J	T					
199. - iShares Trust S&P 500 Growth Index Fund DE	A	Dividend			Sold	9/20/12	J	A	
200. - iShares Trust S&P 500 Value Index FD DE	A	Dividend			Sold	9/20/12	J	A	
201. - SPDR S&P Emerging Markets ETF DE	A	Dividend	J	T					
202. - Vanguard Intl Equity Index FD Inc FSTE ALL	A	Dividend	J	T					
203. - Vanguard Index Funds Vanguard Mid Cap ETF DE	A	Dividend	J	T					
204. - Vanguard Index Funds Vanguard Small Cap ETF DE	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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205. - iShares Barclays 1-3 Treas Bond FD DE	A	Dividend			Sold	11/14/12	J	A	
206. - iShares Barclays Tips Bond Fund	A	Dividend	J	T					
207. - Vanguard Short Term Corporate BD ETF DE	A	Dividend	J	T					
208. - Greenhaven Continuous Commodity Index Fund DE	A	Dividend	J	T					
209. Buckeye Delta Fund LP (no control)	E	Dividend	O	T					DONE
210.									
211.									
212.									
213.									
214.									
215.									
216.									
217.									
218.									
219.									
220.									
221.									

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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222.									
223.									
224.									
225.									
226.									
227.									
228.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to Buckeye Delta Fund LP (listed in Part VII), in addition to dividend income, this fund generated income through capital gains, expenses and unrealized appreciation. "Vanguard Short Term Corporate BD ETF" and "iShares Barclays Tips Bond Fund" are listed twice because these assets were each held in different accounts (these are not duplicate entries). In my 2011 Report on line 102, I listed "Charles Schwab" as an asset within IRA #2 but did not indicate that it was sold. It was, in fact, sold on March 28, 2011, at the same time that the Ameristock Mutual Fund was sold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah Netburn

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544