

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Humrickhouse, Stephani W.	2. Court or Organization Bankruptcy Court - Eastern District of North Carolina	3. Date of Report 6/12/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Court (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Second Floor 300 Fayetteville Street Raleigh, NC 27601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Campbell University School of Law, Board of Visitors
2. Board Member	North Carolina Museum of History Associates
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	FMI Corp W-2
2. 2017	FMI Corp 1120S K-1
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judicial Center	3/12/2017 - 3/18/2017	Charleston, SC	FJC Bankruptcy Judges Workshop and Retirement Seminar	Lodging, Meals, Travel
2. Eastern Bankruptcy Institute	6/1/2017 - 6/3/2017	N. Myrtle Beach, SC	Eastern Bankruptcy Institute	Lodging
3. Federal Judicial Center	8/27/2017 - 8/30/2017	Washington, DC	FJC Faculty Development Seminar	Lodging, Meals, Travel
4. National Conference of Bankruptcy Judges	10/8/2017 - 10/11/2017	Las Vegas, NV	National Conference of Bankruptcy Judges	Lodging, Meals, Travel
5. North Carolina Bar Association	12/1/2017- 12/2/2017	Ashville, NC	Annual North Carolina Bar Bankruptcy Institute	Lodging, Meals

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6.	Turnaround Management Association	12/4/2017 - 12/5/2017	Charlotte, NC	Annual Bankruptcy Judges' Panel	Lodging, Meals, Travel
7.					
8.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Am. Equity Inv. Life Ins. Co. Flex. Prem. Deferred Ann	D	Interest	M	T					
2. Fidelity Capital Appreciation Fund	A	Int./Div.	K	T					
3. FMI 401K									
4. --R6 Eagle Small Cap Growth (Carillon) HSRUX	A	Dividend	K	T	Buy	03/01/17	J		
5.					Sold (part)	09/01/17	J		
6.					Sold (part)	12/01/17	K		
7. --Harbor Capital Appreciation Inst Div HACAX		None	M	T	Sold (part)	03/01/17	J		
8.					Sold (part)	09/01/17	J		
9.					Sold (part)	12/01/17	K		
10. --Hartford Int'l Opp R5 IHOTX	A	Dividend	K	T	Sold (part)	03/01/17	J		
11.					Sold (part)	09/01/17	J		
12.					Sold (part)	12/01/17	K		
13. --John Hancock Disc. Value JDVWX		None	M	T	Buy	03/01/17	J		
14.		None			Sold (part)	09/01/17	K		
15.					Sold (part)	12/01/17	K		
16. --MFS International Value R4 MINHX	A	Dividend	K	T	Sold (part)	03/01/17	J		
17.					Sold (part)	09/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	12/01/17	J		
19. --Metropolitan West Total Return Bond MWTRX	C	Int./Div.	K	T	Buy	03/01/17	J		
20.					Buy	09/01/17	J		
21.					Sold (part)	12/01/17	K		
22. --Principal Real Estate Securities Inst. PIREX	C	Dividend	L	T	Sold (part)	03/01/17	J		
23.					Sold (part)	09/01/17	K		
24.					Sold (part)	12/01/17	J		
25. --Prudential Jennison Health Sciences PHSZX	B	Dividend	L	T	Sold (part)	03/01/17	K		
26.					Sold (part)	09/01/17	J		
27.					Sold (part)	12/01/17	J		
28. --T Rowe Price Science and Tech/Adv PRSCX	A	Dividend	L	T	Sold (part)	03/01/17	K		
29.					Sold (part)	09/01/17	J		
30.					Sold (part)	09/01/17	J		
31. -- Vanguard Mid Cap Index Admiral VIMAX	A	Dividend	K		Buy	03/01/17	J		
32.					Buy	09/01/17	J		
33.					Sold (part)	12/01/17	J		
34. --Wells Fargo Stable Return Fund N	C	Interest	O	T	Buy	03/01/17	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy	09/01/17	L		
36.					Buy	12/01/17	L		
37. Etrade									
38. --1 Share S&P Midcap ETF (IJH)	A	Dividend	K	T					
39. --1 Share S&P Small Cap ETF (IJR)	A	Dividend	K	T					
40. --BTCS (Bitcoin)		None	J	T	Buy	12/20/17	J		
41.					Sold (part)	12/26/17	J	A	
42. --SPDR S&P Small Capital Growth ETF (SLYG)	A	Dividend	J	T					
43. Vanguard Brokerage Acct									
44. --Vanguard S&P 500 Index ETF (VOO)	A	Dividend	K	T					
45. --Vanguard Short Term Tax Exempt VWSTX	A	Dividend	J	T					
46. --Vanguard Prime Money Mkt Fund VMMXX (checking)	A	Interest	M	T					
47. --Vanguard Large Capital ETF VV	A	Dividend	L	T					
48. ---Capital One Bank CD	B	Interest	M	T					
49. Fisher/Morgan Stanley Man. Inv. Acct.									
50. --i Shares MSCI Euro-zone ETF (EZU)	A	Dividend			Sold	03/08/17	K	A	
51. --i Shares Global Consumer STP ETF (KXI)	A	Dividend			Sold	08/01/17	J	B	

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52. --SPDR High Yield ETF (JNK)	A	Dividend	K	T					
53. --EUFN	A	Dividend	K	T	Buy	04/28/17	K		
54.					Buy (add'l)	06/08/17	J		
55. --EWJ	A	Dividend	J	T	Buy	04/28/17	J		
56.					Buy (add'l)	06/08/17	J		
57. --FBGX	A	Dividend	K	T					
58. --FIEE UBS AG London BRIT		None	K	T					
59. --IEV 1 Shares Europe ETF	B	Dividend	L	T	Buy	06/08/17	J		
60. --FIGY	A	Dividend	J	T					
61. --IXN (ETF)	A	Dividend	K	T					
62. --IXP	A	Dividend	J	T	Buy	06/08/17	J		
63. --JP Morgan Municipal Fund (now called Morgan Stanley Money Market)	A	Interest	J	T					
64. --LQD (ETF)	C	Dividend	M	T	Buy	01/17/17	K		
65.					Buy (add'l)	08/01/17	J		
66. --MBB (ETF)	A	Dividend	K	T	Buy	11/21/17	J		
67. --QQQ (ETF)	A	Dividend	L	T					
68. --SCPB (ETF)	A	Dividend			Sold	01/17/17	K		

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69. --TFI (ETF)	A	Dividend	K	T	Buy	02/08/17	J		
70. --XLG (ETF)	A	Dividend	K	T	Sold (part)	06/05/17	J	C	
71.					Sold (part)	10/13/17	J	C	
72. --XLF (ETF)	B	Dividend	J	T	Sold (part)	04/25/17	K	D	
73.					Sold (part)	06/05/17	J	B	
74.					Sold (part)	10/13/17	J	B	
75. --XLP	A	Dividend	J	T	Buy	10/01/17	J		
76. --XLV (ETF)	A	Dividend	K	T					
77. --XLY (ETF)	A	Dividend			Sold (part)	04/25/17	J	D	
78.					Sold	06/05/17	J	C	
79. --VIS	A	Dividend	J	T	Buy	04/28/17	J		
80. --VWO	A	Dividend	J	T	Buy	04/28/17	J		
81. Janney, Montgomery, Scott (fka Scott&Stringfellow IRA -Managed Account)									
82. --BP Capital Twin MLP Fund (BPMAX)	A	Dividend	K	T					
83. --BAEIX (mutual fund)	D	Dividend	N	T					
84. --Cullen High Div. Equity Classic (CHVCX)	D	Dividend	N	T					
85. --Federated Treasury Fund (cash acct)	A	Interest	J	T					

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86. Merrill Lynch Wealth Management - CMA									
87. --ALLSTATE CORP. Preferred Stock ALLPRA	A	Dividend	K	T					
88. --Cohen and Steers (RQI)	A	Dividend			Sold	01/18/17	J	B	
89. --Conoco Phillips (COP)	A	Dividend			Sold	01/12/17	J	A	
90. --Eli Lilly (LLY)	A	Dividend	K	T					
91. --Exxon Mobile (XOM)	A	Dividend			Sold	01/12/17	J	A	
92. --Ford (F)	A	Dividend			Sold	01/08/17	J	A	
93. --Intel (INTC)	A	Dividend	K	T					
94. --Merck (MRK)	A	Dividend			Sold	01/23/17	J		
95. --Merrill Lynch (FIA Card Services) Bank Deposit Program (cash)	A	Int./Div.	J	T					
96. --Nuveen Float Rate (JFR)	A	Dividend	J	T	Sold (part)	01/12/17	K	A	
97.					Buy	05/09/17	J		
98. --Nuveen Ins. (NEA)	A	Dividend			Sold	01/12/17	J	A	
99. --Powershares Variable Rate (VRP)	A	Dividend	K	T					
100. --RDSA (Royal Dutch)	A	Dividend			Sold	01/12/17	J	A	
101. --RDSB Royal Dutch Shell Preferred	A	Dividend			Sold	01/12/17	K	B	
102. Merrill Lynch Wealth Management - IRA									

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103. -Alger Spectra (ASPZX)	A	Dividend	K	T					
104. -Bank of America, NA, RAST (FIA Card Svc Cash (11AXX))	A	Int./Div.	J	T					
105. -BLACK ROCK CREDIT (BCX)	A	Dividend	J	T					
106. -BLACK ROCK CREDIT (BTZ)	A	Dividend	J	T					
107. -Clearbridge Energy (CEM)	A	Dividend	J	T					
108. -Cohen and Steers (RQI)	A	Dividend	J	T	Sold (part)	02/06/17	J	A	
109.					Sold (part)	03/02/17	J	A	
110. -Conoco Phillips	A	Dividend			Sold	05/09/17	J		
111. --Credit Suisse	A	Dividend	K	T	Buy	11/27/17	K		
112. -First Eagle (SG11X)	A	Dividend	K	T					
113. -First Solar FSLR	A	Dividend			Sold	09/19/17	J		
114. -Highland Global (HCOYX)	A	Dividend	J	T					
115. -Intel INTC	A	Dividend	J	T					
116. --INVESCO (VVR)	A	Dividend	J	T					
117. --Johnson & Johnson	A	Dividend	J	T	Buy	02/06/17	J		
118. --JFR-Nuveen Float	A	Interest	J	T	Buy	05/19/17	J		
119. --KKR	A	Dividend			Sold	05/12/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

Date of Report

6/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Morgan Stanley Emerging -(EDD)	B	Dividend	J	T					
121. --Oppenheimer Dev (ODVYX)	A	Dividend	J	T					
122. --Oppenheimer Int'l (OIGYX)	A	Dividend	K	T					
123. --Powershares Preferred Portfolio (PGX)	A	Dividend	K	T					
124. --Proctor & Gamble (PG)	A	Dividend			Sold	03/02/17	J	A	
125. --Royal Dutch Shell RDSB	A	Dividend	J	T	Buy	03/27/17	J		
126.					Buy (add'l)	06/27/17	J		
127.					Buy (add'l)	09/18/17	J		
128. --Royal Dutch Shell RDSA	A	Dividend	J		Buy	03/27/17	J		
129.					Buy (add'l)	06/27/17	J		
130.					Buy (add'l)	09/18/17	J		
131. --Whirlpool WHR	A	Dividend	J	T	Buy	03/02/17	J		
132. Edward Jones IRA #1									
133. --AT&T	A	Dividend	J	T					
134. --Cash Account	A	Interest	J	T					
135. --Conoco Phillips	A	Dividend	J	T					
136. --Johnson & Johnson	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Humrickhouse, Stephani W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Tiffany & Co.	A	Dividend	J	T					
138. Edwards Jones IRA #2									
139. --Cash	A	Interest	J	T					
140. --VanGuard GNMA Inv. Share	A	Dividend	J	T					
141. Edward Jones Single One									
142. --Cash Account	A	Interest	J	T					
143. --American Funds TAIAX	B	Dividend	K	T					
144. --Goldman Sachs CD	A	Interest	K	T					
145. --Lincoln Life Ins.	A	Dividend	L	T					
146. --Prudential Prem. Ret. Contract Annuity	C	Dividend	L	T					
147. --Raleigh Durham Airport Bond	B	Interest	K	T					
148. Edward Jones Single Two									
149. --Amazon (AMZN)	A	Dividend	J	T	Buy	02/06/17	J		
150. --AT&T (T)	A	Dividend	J	T	Buy	02/06/17	J		
151. --DollarGen (DG)	A	Dividend	J	T	Buy	02/06/17	J		
152. --Dover Corp (DOV)	A	Dividend	J	T	Buy	02/06/17	J		
153. --Emerson Elec (EMR)	A	Dividend	J	T	Buy	02/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Exxon Mobile (XOM)	A	Dividend	J	T	Buy	02/06/17	J		
155. --John Hancock Bond Fund (JHBIX)	A	Dividend	K	T					
156. --Johnson & Johnson (JNJ)	A	Dividend	J	T	Buy	02/06/17	J		
157. --Lord Abbott Short Duration (LDLFX)	A	Dividend	J	T	Buy	02/06/17	J		
158. --Microsoft (MSFT)	A	Dividend	J	T					
159. --Praxair (PX)	A	Dividend	J	T	Buy	02/06/17	J		
160. --Proctor & Gamble (PG)	A	Dividend	J	T	Buy	02/06/17	J		
161. --Scana (SCG)	A	Dividend			Buy	02/06/17	J		
162.					Sold	09/25/17	J		
163. --Target (TGT)	A	Dividend	J	T	Buy	02/06/17	J		
164. --United Tech (UTX)	A	Dividend	J	T	Buy	02/06/17	J		
165. --Ventas (VTR)	A	Dividend	J	T	Buy	02/06/17	J		
166. --Verizon (VZ)	A	Dividend	J	T	Buy	02/06/17	J		
167. Edward Jones Single Three									
168. --Cash Account	A	Interest	L	T					
169. --BellSouth Corp Bond	A	Dividend	J	T					
170. --Dallas Co. Tex Hosp Bond	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

Date of Report

6/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Dallas Tex Transit Bid American Bonds	A	Dividend	J	T					
172. --GE Cap Bond	A	Dividend	J	T					
173. --McDonald's Corp. Bond	A	Dividend	J	T					
174. --Metro Transit Auth Rev Bond	A	Dividend	J	T					
175. Durham Arvin Partnership		None	K	W					
176. FMI Corp. Stock	G	Distribution	N	U					
177. Nationwide Whole Life Insurance		None	J	T					
178. North State Bank Acct	A	Interest	J	T					
179. Bank of America Bank Account	A	Interest	J	T					
180. Equitable Life Insurance (cash value)	A	Dividend	L	T					
181. Alpine 4 Technologies Ltd	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Humrickhouse, Stephani W.

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6/12/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephani W. Humrickhouse

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544