

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Garza, Carmen E.	2. Court or Organization U.S. District Court, District of NM	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 100 N. Church St., Las Cruces, New Mexico 88001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	Carmen E. Garza, Single Investment Accounts (2)
2. 2016	Carmen E. Garza, Traditional Individual Retirement Accounts (2)
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Quicken Loans	mortgage on real property	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fort Bliss Federal Credit Union accounts	A	Interest	L	T					
2. First American Bank accounts		None	M	T					
3. Rental property #1, Las Cruces, NM purchase 2000-\$125,000	E	Rent	M	W					
4. Rental property #2, Las Cruces purchase 2008-\$240,000	D	Rent	M	W					
5. Time share property, San Diego, Ca, purchase 2005-\$5,0000		None	J	W					
6. Franklin Growth		None			Sold	01/19/16	K		
7. Franklin Mutual Global Discovery		None	J	T	Sold (part)	01/19/16	K		
8.					Sold (part)	02/03/16	J		
9.					Buy (add'l)	07/29/16	J		
10. Franklin Rising Dividends		None			Sold	01/19/16	J		
11. Franklin Strategic Inc.		None			Sold	01/19/16	J		
12. Franklin Total Return	A	Dividend			Sold	01/19/16	L		
13. Franklin US Gov. Sec.	A	Dividend			Sold	01/19/16	J		
14. Templeton Foreign Funds		None			Sold	01/19/16	J		
15. Templeton Global Smaller Co.		None			Sold	01/19/16	J		
16. Templeton Growth		None			Sold	01/19/16	K		
17. First Trust Capital Strength Series 31		None			Sold	07/28/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. First Division Strength Series 23	A	Dividend			Redeemed	06/16/16	J		
19. First Trust Div. Strength Series 26	B	Dividend			Sold	07/28/16	K		
20. First Trust Div. Strength Series 29	D	Dividend			Sold	07/28/16	L		
21. First Trust Global Dividend Series 44	B	Dividend			Sold	07/28/16	K		
22. First Trust Intl Cap Strength #25	A	Dividend			Sold	07/28/16	J		
23. First Trust Intl Cap Strength #22	A	Dividend			Sold	07/28/16	J		
24. First Trust Intl Cap Strength #27	B	Dividend			Sold	07/28/16	K		
25. First Trust Strength Alloc Slet#18	C	Dividend			Sold	07/28/16	L		
26. First Trust Select DSip#3Q15	A	Dividend			Sold	07/28/16	K		
27. Blackrock Equity Dividend	B	Dividend	J	T	Buy	01/20/16	J		
28.					Buy (add'l)	02/03/16	J		
29.					Buy (add'l)	07/29/16	J		
30. Blackrock National Municipal	B	Dividend	J	T	Buy	01/20/16	J		
31.					Buy (add'l)	02/03/16	J		
32.					Sold (part)	06/06/16	J		
33.					Buy (add'l)	07/29/16	J		
34. AB Intermediate Diversified Municipal	A	Dividend	J	T	Buy	01/20/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	02/03/16	J		
36.					Buy (add'l)	07/29/16	J		
37. American High-Incm		None	J	T	Buy	06/06/16	J		
38.					Buy (add'l)	07/29/16	J		
39. Blackrock Equity	B	Dividend	J	T	Buy	01/20/16	J		
40.					Buy (add'l)	02/03/16	J		
41.					Buy (add'l)	07/29/16	J		
42. Blackrock National Municipal	A	Dividend	J	T	Buy	01/20/16	J		
43.					Buy (add'l)	02/03/16	J		
44.					Sold (part)	01/06/16	J		
45.					Buy (add'l)	07/29/16	J		
46. Bridge Builder Core Bond	A	Dividend			Buy	01/20/16	K		
47.					Buy (add'l)	07/08/16	J		
48.					Buy (add'l)	07/29/16	K		
49.					Sold	12/23/16	L		
50. Bridge Builder Core Plus Bond		None			Buy	06/16/16	J		
51.					Buy (add'l)	07/29/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold	12/23/16	K		
53. Bridge Builder Large Growth	D	Dividend			Buy	01/20/16	J		
54.					Buy (add'l)	07/29/16	J		
55.					Sold (part)	12/23/16	J		
56.					Buy (add'l)	01/20/16	J		
57.					Buy (add'l)	06/16/16	J		
58.					Buy (add'l)	07/29/16	J		
59.					Sold	12/23/16	K		
60. Bridge Builder Muni Bond		None			Buy	01/20/16	J		
61.					Buy (add'l)	02/03/16	J		
62.					Buy (add'l)	07/29/16	J		
63.					Sold	12/29/16	J		
64. Bridge Builder Smallmid Growth	A	Dividend			Buy	06/16/16	J		
65.					Buy (add'l)	07/29/16	J		
66.					Sold	12/23/16	J		
67. Bridge Builder Smallmid Value	B	Dividend			Buy	04/27/16	J		
68.					Buy (add'l)	06/16/16	J		

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69.					Buy (add'l)	07/29/16	J		
70.					Buy (add'l)	09/08/16	J		
71.					Sold	12/23/16	J		
72. Capital World Bond (converted to Capital World) Bond Fund CL F2	A	Dividend	J	T	Buy	01/20/16	J		
73.					Sold (part)	06/16/16	J		
74.					Buy (add'l)	07/29/16	J		
75. Cash-Edward Jones		None	M	T					
76. CBA Aggressive Growth I	B	Dividend	J	T	Buy	01/20/16	J		
77.					Buy (add'l)	01/20/16	J		
78.					Buy (add'l)	02/03/16	J		
79.					Sold (part)	06/16/16	J		
80.					Buy (add'l)	07/09/16	J		
81. Columbia Acorn International	A	Dividend	J	T	Buy	01/20/16	J		
82.					Buy (add'l)	02/03/16	J		
83.					Buy (add'l)	06/06/16	J		
84.					Sold (part)	06/16/16	J		
85. Dimensional DFA Intl Value Fd	A	Dividend	K	T	Buy	01/20/16	J		

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86. Dodge & Cox Stock	C	Dividend	K	T	Buy	01/20/16	J		
87.					Buy (add'l)	06/16/16	J		
88.					Buy (add'l)	07/29/16	J		
89. Europacific Growth (converted to Europacific Growth Fund C1 F2)		None	K	T	Buy	01/20/16	J		
90.					Buy (add'l)	07/08/16	J		
91.					Buy (add'l)	07/29/16	J		
92. Franklin Fed Int-Term T/F Inc		None	J	T	Buy	01/20/16	J		
93.					Buy (add'l)	02/03/16	J		
94.					Buy (add'l)	07/29/16	J		
95. Franklin Mutual Shares C1 Z	B	Dividend	K	T	Buy	01/20/16	J		
96.					Buy (add'l)	06/16/16	J		
97.					Buy (add'l)	07/29/16	J		
98. Fundamental Investors (converted to Fundamental Investors Fund F2)	A	Dividend	J	T	Buy	01/20/16	J		
99.					Buy (add'l)	02/03/16	J		
100.					Buy (add'l)	07/29/16	J		
101. Harbor Capital Appreciation	A	Dividend	J	T	Buy	01/20/16	J		
102.					Buy (add'l)	02/03/16	J		

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103.					Sold (part)	06/06/16	J		
104.					Buy (add'l)	07/20/16	J		
105. Harbor International	B	Dividend			Buy	01/20/16	J		
106.					Buy (add'l)	07/29/16	J		
107.					Sold	09/08/16	K		
108. Hartford Dividend and Growth	B	Dividend	J	T	Buy	01/20/16	J		
109.					Buy (add'l)	02/03/16	J		
110.					Buy (add'l)	07/29/16	J		
111. Invesco Comstock	A	Dividend	J	T	Buy	01/20/16	J		
112.					Buy (add'l)	02/03/16	J		
113.					Buy (add'l)	07/29/16	J		
114. Invesco International Growth		None	J	T	Buy	01/20/16	J		
115.					Buy (add'l)	02/03/16	J		
116.					Sold (part)	06/06/16	J		
117.					Buy (add'l)	07/29/16	J		
118. Ironbridge Smid Cap	A	Dividend			Buy	01/20/16	J		
119.					Sold (part)	04/27/16	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	07/29/16	J		
121.					Sold	09/08/16	J		
122. Ishares MSCI Eafe Value	A	Dividend	J	T	Buy	06/06/16	J		
123.					Buy (add'l)	07/29/16	J		
124. JH Disciplined Value Mid Cap	B	Dividend	J	T	Buy	01/20/16	J		
125.					Sold (part)	06/15/16	J		
126.					Buy (add'l)	07/29/16	J		
127. JP Morgan Fed Money Mkt		None			Buy	01/20/16	J		
128.					Sold (part)	05/12/16	J		
129.					Buy (add'l)	05/13/16	J		
130.					Sold (part)	06/06/16	J		
131.					Buy (add'l)	06/06/16	J		
132.					Sold (part)	06/08/16	J		
133.					Buy (add'l)	06/30/16	J		
134.					Sold (part)	07/29/16	J		
135.					Buy (add'l)	07/29/16	J		
136.					Buy (add'l)	09/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Garza, Carmen E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	10/06/16	J		
138.					Buy (add'l)	11/01/16	J		
139.					Sold	12/29/16	J		
140. JP Morgan International Val L	A	Dividend			Buy	01/20/16	J		
141.					Sold	06/06/16	J		
142. JP Morgan US Govt MMF Capital	C	Dividend			Buy	05/12/16	J		
143.					Buy (add'l)	07/08/16	J		
144.					Buy (add'l)	07/29/16	J		
145.					Sold	12/27/16	J		
146. Mainstay Icap Select Equity	B	Dividend	K	T	Buy	01/20/16	J		
147.					Sold (part)	06/16/16	J		
148.					Buy (add'l)	07/29/16	J		
149. Manning & Napier World Opp	A	Dividend			Buy	01/20/16	J		
150.					Buy (add'l)	02/03/16	J		
151.					Sold	06/06/16	J		
152. Metropolitan West Ttl Ret Bd.		None	K	T	Buy	01/20/16	J		
153.					Sold (part)	06/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	07/29/16	J		
155. MFS Growth Fund	A	Dividend	J	T	Buy	01/20/16	J		
156.					Buy (add'l)	02/03/16	J		
157.				T	Buy (add'l)	07/29/16	J		
158. MFS International Value	B	Dividend	K	T	Buy	01/20/16	J		
159.					Buy (add'l)	07/29/16	J		
160. Oak Ridge Small Cap Grw i	A	Dividend			Buy	01/20/16	J		
161.					Buy (add'l)	02/03/16	J		
162.					Buy (add'l)	07/29/16	J		
163.					Sold	10/06/16	J		
164. Perkins Mid Cap Value		None	J	T	Buy	01/20/16	J		
165.					Buy (add'l)	02/03/16	J		
166.					Buy (add'l)	06/06/16	J		
167.					Buy (add'l)	07/29/16	J		
168.					Sold (part)	10/06/16	J		
169. Pimco Total Return IV		None	K	T	Buy	01/20/16	J		
170.					Buy (add'l)	07/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 P3 = \$25,000,001 - \$50,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	07/29/16	J		
172. Prudential High-Yield	B	Dividend	K	T	Buy	01/20/16	J		
173.					Buy (add'l)	07/29/16	J		
174. Prudential Jenn Mid Cag GR		None	J	T	Buy	01/20/16	J		
175.					Buy (add'l)	02/03/16	J		
176.					Sold (part)	06/16/16	J		
177.					Buy (add'l)	07/29/16	J		
178. Ridgeworth Ceredex Sm Cap Eq I	A	Dividend			Buy	01/20/16	J		
179.					Buy (add'l)	02/03/16	J		
180.					Sold	06/06/16	J		
181. T Rowe Instl Lrge Cp Gr	B	Dividend	K	T	Buy	01/20/16	J		
182.					Buy (add'l)	07/29/16	J		
183. T Rowe Price Intl Discovery I	A	Dividend	J	T	Buy	01/20/16	J		
184.					Buy (add'l)	07/29/16	J		
185. T Rowe Intl Stock (converted to T Rowe Intl Stock Fd I)	A	Dividend	K	T	Buy	01/20/16	J		
186.					Buy (add'l)	07/29/16	J		
187. T. Rowe Price Tax-Ex Mon Mkt		None			Buy	01/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	02/03/16	J		
189.					Sold	05/13/16	J		
190. Tax Exempt Bd (converted to Tax Exempt Bd Fd of America F2)		None	J	T	Buy	01/20/16	J		
191.					Buy (add'l)	02/03/16	J		
192.					Buy (add'l)	07/29/16	J		
193. Thornburg Limited Term Muni		None	J	T	Buy	01/20/16	J		
194.					Buy (add'l)	02/03/16	J		
195.					Buy (add'l)	07/29/16	J		
196. Vanguard FTSE Dev. Mkts		None	J	T	Buy	06/06/16	J		
197.					Buy (add'l)	07/29/16	J		
198. Vanguard Small Cap Growth ETF	A	Dividend	J	T	Buy	10/06/16	J		
199. Vanguard Smal Cap Value	A	Dividend	J	T	Buy	06/06/16	J		
200.					Buy (add'l)	07/29/16	J		
201. Victory Sycamore Small Co C1 I	C	Dividend	J	T	Buy	01/20/16	J		
202.					Buy (add'l)	07/29/16	J		
203. Wells Fargo SH Term Mun Bd I converted to Wells Fargo S/T Mun Bond CIA)		None	J	T	Buy	01/20/16	J		
204.					Buy (add'l)	02/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Garza, Carmen E.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	07/28/16	J		
206.					Buy (add'l)	07/29/16	J		
207.									
208.									
209.									
210.									
211.									
212.									
213.									
214.									
215.									
216.									
217.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carmen E. Garza**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544