

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, George H.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active-United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Edward R. Roybal Federal Building and Courthouse 255 East Temple Street Suite 660 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Broker # 1	short sale of HYG	K
2.	Broker #1	short sale of CSJ	J
3.	Broker #1	short sale NQH2	L
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. In Trust # 1 LA Financial Credit Union	A	Dividend	M	T					
2. In Trust #1 Real Estate Prescott, AZ (y)	D	Rent	M	S					
3. Wells Fargo Bank, N.A. Savings/Checking (x-checking)	A	Interest	J	T					
4. Universal Life Insurance (State Farm)	C	Dividend	L	T					
5. Adventrx Pharmaceuticals Inc. [REDACTED]		None	J	T					
6. Aeon Thana Sinsa	A	Dividend	J	T	Buy	02/08/12	J		
7. Agnico-Eagle Mines Ltd. (AEM)		None			Buy	02/01/12	J		
8. AEM					Buy	02/07/12	J		
9. AEM					Buy	02/08/12	J		including option
10. AEM					Buy	02/09/12	J		including option
11. AEM					Buy	02/10/12	J		
12. AEM					Buy	02/13/12	J		
13. AEM					Buy	02/16/12	J		
14. AEM					Buy	03/02/12	J		
15. AEM					Buy	03/06/12	J		
16. AEM					Sold	05/08/12	K	B	
17. Agricultural Bank of China [REDACTED]		None			Sold	03/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Andre Juice Co. Ltd. [REDACTED]	A	Dividend			Sold	03/30/12	J	A	
19. Anglogold Ashanti-spon ADR (AU)		None			Buy	03/14/12	J		
20. AU					Sold	04/16/12	J		
21. Anhui Expressway Co. Ltd. [REDACTED]		None			Sold	03/20/12	J		
22. Applied Energetics		None	J	T					
23. Argonaut Gold Inc. (AR)		None			Buy	04/05/12	J		
24. AR					Sold	09/10/12	J	A	
25. Ascott Residence Trust NPV (Real Estate Investment Trust)	A	Dividend	J	T					
26. Asian Environment Holdings Ltd.	B	Dividend	J	T					
27. Aurizon Mines Ltd. (ARZ)		None			Buy	03/15/12	J		
28. ARZ					Buy	03/16/12	J		
29. ARZ					Buy	03/22/12	J		
30. ARZ					Buy	04/03/12	J		
31. ARZ					Sold	09/10/12	J	A	
32. Banco Bilbao Vizcaya Argentaria, S.A. (BBVA) (Short Sale)		None			Closed	02/02/12	J		
33. BBVA (Short Sale)					Closed	02/03/12	J		
34. BBVA (Short Sale)					Closed	02/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. BBVA (Short Sale)					Closed	03/01/12	J		
36. Bangkok Bank Public Co. Ltd.	A	Dividend	K	T	Sold (part)	09/12/12	J	D	
37. Bank of Central Asia	A	Dividend	J	T	Buy	06/13/12	J		
38. Bank of China		None			Sold	03/30/12	J		
39. Barrick Gold Corp (ABX)	A	Dividend	J	T	Buy	02/08/12	J		
40. ABX					Buy	02/16/12	J		
41. ABX					Buy	03/06/12	J		
42. ABX					Buy	03/29/12	J		
43. ABX					Buy	06/07/12	J		
44. ABX					Sold (part)	09/21/12	J	A	
45. Bayfield Ventures Corp. (BYV)		None			Buy	02/09/12	J		
46. BYV					Buy	02/13/12	J		
47. BYV					Sold	02/13/12	J		
48. Belgium ETF (EWK) (Short Sale) (y)		None			Closed	03/19/12	J		
49. Belo Sun Mining Corp. (BSX)		None	J	T	Buy	05/18/12	J		
50. BSX					Buy	05/22/12	J		
51. BSX					Buy	09/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$100,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	F = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	G = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	H = \$1,000,001 - \$5,000,000 P = \$1,000,001 - \$5,000,000	I = \$5,000,001 - \$25,000,000 Q = \$25,000,001 - \$50,000,000	J = \$50,000,001 - \$100,000,000 R = \$100,000,001 - \$250,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Centamin Plc (CEE)		None	K	T	Buy	02/09/12	J		
53. CEE					Buy	02/13/12	J		
54. CEE					Buy	02/17/12	J		
55. CEE					Buy	02/21/12	J		
56. CEE					Buy	02/22/12	J		
57. CEE					Buy	03/06/12	J		
58. CEE					Buy	03/14/12	J		
59. CEE					Buy	03/22/12	J		
60. CEE					Buy	04/02/12	J		
61. CEE					Buy	04/04/12	J		
62. CEE					Buy	04/17/12	J		
63. CEE					Buy	05/10/12	J		
64. CEE					Buy	05/22/12	J		
65. Centerra Gold Inc. (CG)	A	Dividend	J	T	Buy	09/13/12	J		
66. CG					Buy	09/19/12	J		
67. Chaoda Modern Agriculture Holdings		None	J	T					
68. Chiang Mai Ram Medical Business Plc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. China Blue Chemical Ltd. [REDACTED]	A	Dividend			Sold	02/21/12	J	D	
70. China Construction Bank [REDACTED]	A	Dividend			Sold	03/20/12	J		
71. China Huiyuan Juice Group [REDACTED]		None			Sold	03/30/12	J		
72. Crude Oil Futures (CLH2) (y)		None			Sold	01/25/12	L	A	
73. Crude Oil Futures (CLN2)		None			Buy	03/19/12	J		option
74. CLN2		None			Buy	04/17/12	J		option
75. CLN2		None			Buy	06/07/12	L		
76. CLN2					Sold	06/07/12	L		
77. Crude Oil Futures (CLZ2)		None			Buy	09/21/12	J		option
78. CLZ2		None			Buy	09/26/12	J		option
79. CLZ2					Sold	11/13/12	J	C	option
80. Dalradian Resources Inc. (DNA)		None	J	T	Buy	05/08/12	J		
81. DNA					Buy	05/10/12	J		
82. DNA					Buy	05/22/12	J		
83. DNA					Buy	05/24/12	J		
84. DNA					Buy	08/07/12	J		
85. Detour Gold Corp. (DGC)		None	K	T	Buy	02/13/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. DGC					Buy	02/28/12	J		
87. DGC					Buy	03/14/12	J		
88. DGC					Buy	03/16/12	J		
89. DGC					Buy	04/04/12	J		
90. DGC					Buy	04/05/12	J		
91. DGC					Buy	05/08/12	J		
92. Esperanza Resources Corp. (EPZ)		None	J	T	Buy	04/10/12	J		
93. EPZ					Buy	04/11/12	J		
94. EPZ					Buy	04/12/12	J		
95. EPZ					Buy	04/16/12	J		
96. EPZ					Buy	05/08/12	J		
97. EPZ					Buy	05/09/12	J		
98. EPZ					Buy	05/22/12	J		
99. EPZ					Buy	05/23/12	J		
100. Euro futures		None			Sold	03/02/12	M	A	
101. Evolution Mining Ltd. NPV (EVN/AU)		None	J	T	Buy	09/12/12	J		
102. Exeter Resources Corp. (XRA)		None	J	T	Buy	02/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. XRA					Sold	02/13/12	J		
104. XRA					Buy	06/18/12	J		
105. XRA					Buy	06/19/12	J		
106. XRA					Buy	06/22/12	J		
107. XRA					Buy	09/07/12	J		
108. XRA					Buy	09/20/12	J		
109. Extorre Gold Mines Ltd. (XG)		None			Buy	02/13/12	J		
110. XG					Buy	02/16/12	J		
111. XG					Buy	02/17/12	J		
112. XG					Buy	02/21/12	J		
113. XG					Buy	02/23/12	J		
114. XG					Buy	02/27/12	J		
115. XG					Buy	03/06/12	J		
116. XG					Buy	03/13/12	J		
117. XG					Buy	03/14/12	J		
118. XG					Buy	03/16/12	J		
119. XG					Buy	03/29/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. XG					Buy	04/02/12	J		
121. XG					Buy	04/10/12	J		
122. XG					Buy	04/20/12	J		
123. XG					Buy	05/15/12	J		
124. XG					Buy	06/05/12	J		
125. XG					Sold (part)	06/18/12	J	A	
126. XG					Sold	08/08/12	K	D	
127. Broker #3 Cash Reserves (y)	A	Dividend	M	T	Sold (part)	04/18/12	K		check disbursement
128. First Reit NPV (real estate investment trust)	A	Dividend	J	T	Buy	02/08/12	J		
129. First Majestic Silver Corp. (AG)		None	J	T	Buy	03/06/12	J		
130. AG					Buy	03/13/12	J		
131. AG					Buy	05/17/12	J		
132. AG					Buy	06/07/12	J		
133. AG					Sold (part)	06/26/12	J	A	
134. Fortuna Silver Mines Inc. (FSM)		None	J	T	Buy	03/05/12	J		
135. FSM					Buy	03/12/12	J		
136. FSM					Buy	03/29/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. FSM					Buy	04/02/12	J		
138. FSM					Buy	04/09/12	J		
139. FSM					Buy	04/16/12	J		
140. FSM					Buy	04/20/12	J		
141. FSM					Buy	05/08/12	J		
142. FSM					Buy	05/23/12	J		
143. FSM					Buy	07/03/12	J		
144. FSM					Buy	08/20/12	J		
145. FSM					Buy	09/05/12	J		
146. FSM					Buy	09/20/12	J		
147. FSM					Buy	09/21/12	J		option
148. FSM					Sold (part)	09/26/12	J	A	
149. FSM					Sold	09/26/12	J		option
150. France ETF (EWQ) (Short Sale)		None			Closed	03/19/12	J		
151. Gabriel Resources Ltd. (GBU)		None			Buy	03/14/12	J		
152. GBU					Buy	03/30/12	J		
153. GBU					Sold	04/09/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Germany ETF (EWG) (Short Sale)		None			Closed	03/19/12	J		
155. Glass Earth Gold Ltd. Company NPV		None	J	T	Buy	05/04/12	J		
156. Gold Canyon Resources Inc. (GCU)		None	J	T	Buy	05/08/12	J		
157. GCU					Buy	05/10/12	J		
158. GCU					Buy	05/22/12	J		
159. Golden Agri Resources	A	Dividend	J	T	Buy	03/20/12	J		
160. Gold Futures (OGM2)		None			Buy	05/09/12	J		option
161. OGM2					Sold	05/14/12	J	A	option
162. Gold Futures (OGN2)		None			Buy	05/09/12	J		option
163. OGN2					Sold	05/17/12	J	A	option
164. Gold Standard Ventures Corp. (GSV)		None	J	T	Buy	08/14/12	J		
165. Great Basin Gold Ltd. (GBG)		None			Buy	02/10/12	J		
166. GBG					Sold	01/13/12	J		
167. Hess Corp. (HES)		None			Buy	03/19/12	J		
168. HES					Sold	06/04/12	J	B	
169. Hong Kong Dollars (HKD)	B	Dividend	M	T	Buy	03/30/12	L		
170. Humana	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Hyflux Ltd.	A	Dividend	J	T					
172. Iamgold Corporation (IAG)	A	Dividend	K	T	Buy	02/08/12	J		
173. IAG					Buy	02/24/12	J		
174. IAG					Buy	02/27/12	J		
175. IAG					Buy	02/28/12	J		
176. IAG					Buy	03/02/12	J		
177. IAG					Buy	03/05/12	J		
178. IAG					Buy	03/06/12	J		
179. IAG					Buy	03/14/12	J		
180. IAG					Buy	03/29/12	J		
181. IAG					Buy	04/02/12	J		
182. IAG					Buy	04/09/12	J		
183. IAG					Buy	04/10/12	J		
184. IAG					Buy	04/20/12	J		
185. IAG					Buy	05/08/12	J		
186. IAG					Buy	06/07/12	J		
187. IAG					Buy	08/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. IAG					Buy	09/21/12	J		option
189. IAG					Sold	09/26/12	J	A	option
190. IAG					Sold (part)	09/26/12	J	A	
191. Broker # 1 (IRA and Brokerage) account		None	M	T					
192. International Tower Hill Mines Ltd. (THM)		None			Buy	02/08/12	J		
193. THM					Buy	02/17/12	J		
194. THM					Buy	02/27/12	J		
195. THM					Buy	02/28/12	J		
196. THM					Buy	03/05/12	J		
197. THM					Buy	03/12/12	J		
198. THM					Buy	03/14/12	J		
199. THM					Buy	03/16/12	J		
200. THM					Buy	03/19/12	J		
201. THM					Buy	03/29/12	J		
202. THM					Buy	04/02/12	J		
203. THM					Buy	04/09/12	J		
204. THM					Buy	05/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. THM					Buy	05/24/12	J		
206. THM					Sold	06/28/12	J		
207. Ishares iBoxx ETF (HYG) (Short Sale)		None			Closed	03/19/12	K	B	
208. Ishares 1-3 year credit bonds (CSJ) (Short Sale)		None			Closed	03/19/12	J		
209. Ishares Silver Tr. Ishares (SLV)		None			Sold	01/25/12	K		
210. SLV					Buy	05/17/12	J		
211. SLV					Buy	05/21/12	J		
212. SLV					Sold	06/07/12	J	A	
213. Ivanhoe Mines Ltd. (IVN) (name change Turquoise Hill 8/8/12)		None			Buy	01/25/12	J		Sec Tourquoise Hill
214. IVN					Buy	02/28/12	J		
215. JEF (Jeffries & Co.) (Short Sale)		None			Closed	03/19/12	J	B	
216. Kalbe Farma IDR50		None	J	T	Buy	09/27/12	J		
217. Kerry Properties Ltd. (0683)	A	Dividend			Sold	02/21/12	J	E	
218. Khon Kaen Sugar Industry Plc.		None	J	T	Buy	09/27/12	J		
219. Kinross Gold Corp. (KGC)	A	Dividend	K	T	Buy	01/24/12	J		
220. KGC					Buy	02/08/12	J		
221. KGC					Buy	02/09/12	J		option

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. KGC					Buy	02/10/12	J		
223. KGC					Buy	02/13/12	J		
224. KGC					Buy	02/14/12	J		option
225. KGC					Buy	02/16/12	J		
226. KGC					Buy	02/22/12	J		
227. KGC					Buy	02/23/12	J		
228. KGC					Buy	03/02/12	J		
229. KGC					Buy	03/05/12	J		
230. KGC					Buy	03/13/12	J		
231. KGC					Buy	03/14/12	J		
232. Lydian International Ltd. (LYD)		None	J	T	Buy	04/09/12	J		
233. LYD					Buy	04/10/12	J		
234. LYD					Buy	05/08/12	J		
235. LYD					Buy	05/09/12	J		
236. Medusa Mining Limited NPV	A	Dividend	J	T	Buy	03/15/12	J		
237. Morgan Stanley (MS) (Short Sale)		None			Closed	03/19/12	J		
238. Nasdaq ETF (QQQ)		None			Buy	02/17/12	J		option

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. QQQ					Buy	02/24/12	J		option
240. QQQ					Buy	03/07/12	J		option
241. QQQ					Sold (part)	04/20/12	J	B	
242. QQQ					Sold	06/15/12	J		
243. Nasdaq Futures (NQH2) (Short Sale)		None			Closed	01/25/12	K	C	
244. NQH2 (Short Sale)					Closed	03/13/12	L	E	
245. New Crest Mining NPV	A	Dividend	J	T	Buy	03/15/12	J		
246. Newmont Mining Corp. (NEM)	A	Dividend	J	T	Buy	03/13/12	J		
247. NEM					Buy	03/14/12	J		
248. NEM					Buy	05/08/12	J		
249. New World Development (17) (NWD)	A	Dividend			Buy	02/02/12	J		
250. NWD					Sold	03/30/12	J		
251. Northern Dynasty Minerals (NAK)		None			Buy	02/07/12	J		
252. NAK					Buy	03/16/12	J		
253. NAK					Sold	04/09/12	J		
254. Novagold Resources Inc. (NG)		None			Buy	02/02/12	J		
255. NG					Buy	02/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. NG					Sold	04/09/12	J	B	
257. Osisko Mining Corp. (OSK)		None			Buy	02/07/12	J		
258. OSK					Buy	02/22/12	J		
259. OSK					Buy	02/23/12	J		
260. OSK					Buy	02/24/12	J		
261. OSK					Buy	03/02/12	J		
262. OSK					Buy	03/14/12	J		
263. OSK					Buy	03/19/12	J		
264. OSK					Buy	03/20/12	J		
265. OSK					Buy	03/22/12	J		
266. OSK					Buy	03/30/12	J		
267. OSK					Buy	05/10/12	J		
268. OSK					Sold	05/22/12	J		
269. Parkway Life Real Estate Invest TST NPV	A	Dividend	J	T					
270. Pretium Resources Inc. (PVG)		None	J	T	Buy	09/07/12	J		
271. PVG					Buy	09/13/12	J		
272. PVG					Buy	09/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. PVG					Buy	09/20/12	J		
274. Progen Pharmaceuticals Ltd. Ordinary Shares IAIN (DC)		None	J	T					
275. PT Indofood Sukses Makmur TBK	A	Dividend	J	T	Buy	06/13/12	J		
276. Rainy River Resources Ltd. (RR)		None	K	T	Buy	02/13/12	J		
277. RR					Sold (part)	02/13/12	J		
278. RR					Buy	02/16/12	J		
279. RR					Buy	02/23/12	J		
280. RR					Buy	02/28/12	J		
281. RR					Buy	03/02/12	J		
282. RR					Buy	03/14/12	J		
283. RR					Buy	03/15/12	J		
284. RR					Buy	03/16/12	J		
285. RR					Buy	03/19/12	J		
286. RR					Buy	03/20/12	J		
287. RR					Buy	04/02/12	J		
288. RR					Buy	04/04/12	J		
289. RR					Buy	05/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. RR					Buy	05/09/12	J		
291. Rojana Industrial Park		None	J	T	Buy	02/15/12	J		
292. Rye Patch Gold Corp. (RPM)		None	J	T	Buy	09/10/12	J		
293. Saracen Mineral Holdings NPV		None	J	T	Buy	09/12/12	J		
294. Shenzhen Expressway Co. ()		None			Sold	03/20/12	J		
295. Shui On Land Ltd. ()	A	Dividend	J	T	Sold (part)	02/21/12	J	D	
296. Sichuan Expressway Co. ()		None			Sold	03/20/12	J		
297. Silver Futures ()		None			Buy	02/22/12	M		
298. SIH2					Sold	02/22/12	M	A	
299. Silver Wheaton Corp. (SLW)	A	Dividend			Buy	03/13/12	J		
300. SLW					Buy	05/09/12	J		
301. SLW					Buy	05/16/12	J		
302. SLW					Sold	06/07/12	J	A	
303. Sinar Mas Multiartha	A	Dividend	J	T	Buy	03/21/12	J		
304. Sino Land Co. ()	A	Dividend	J	T	Sold (part)	02/21/12	J	C	
305. Spain ETF (EWP) (Short Sale)		None			Closed	03/19/12	J	A	
306. SPDR Gold Tr Gold Shs (GLD) (Short Sale)		None			Closed	01/12/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. GLD (Short Sale)					Closed	03/19/12	J	A	
308. SPY S&P 500 ETF (y)		None			Buy	02/17/12	J		option
309. SPY					Buy	03/07/12	J		option
310. SPY (Short Sale)					Closed	03/15/12	J		
311. SPY					Sold	03/16/12	J		option
312. SPY					Sold	03/30/12	J		option
313. SPY					Sold	04/20/12	J	B	option
314. Specie Assets (Spc)		None	M	T					
315. Standard & Poors 500 Futures (ESH2)		None			Sold	01/25/12	L	C	
316. ESH2					Buy	02/06/12	L		
317. ESH2					Sold	03/13/12	M	D	
318. Suntec Real Estate Investment Trust NPV	A	Dividend	J	T					
319. Sunward Resources Ltd. (SWD)		None	J	T	Buy	02/22/12	J		
320. SWD					Buy	02/23/12	J		
321. SWD					Buy	02/28/12	J		
322. SWD					Buy	04/03/12	J		
323. SWD					Buy	04/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. SWD					Buy	04/26/12	J		
325. SWD					Buy	05/08/12	J		
326. SWD					Buy	05/09/12	J		
327. SWD					Buy	09/14/12	J		
328. Telik Inc.		None	J	T					
329. Thai Beverage Public Co. Ltd.	A	Dividend	J	T					
330. Thai Reinsurance Co. THB1	A	Dividend	J	T					
331. Thai Vegetable Oil Co. THB1	A	Dividend	J	T					
332. Ticon Industrial Connection Public		None	J	T	Buy	09/27/12	J		
333. Treasury Fund-Capital reserves (Brkr # 2 reinvests monthly)	A	Dividend	K	T	Buy	01/31/12	J		bought/sold thru 12/31/12
334. Turquoise Hill Resources Ltd. (TRQ)		None	J	T		08/08/12			Name Change from Ivanhoe
335. Univanich Palm Oil Public Co. Ltd.	A	Dividend	J	T					
336. Vista Gold Corp. (VGZ)		None	J	T	Buy	06/18/12	J		
337. VGZ					Buy	06/19/12	J		
338. VGZ					Buy	06/25/12	J		
339. VGZ					Sold	06/28/12	J	A	
340. VGZ					Buy	08/31/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. VGZ					Buy	09/05/12	J		
342. VGZ					Buy	09/07/12	J		
343. VGZ					Buy	09/20/12	J		
344. Wilmar International LTD.	A	Dividend	J	T	Buy	03/20/12	J		
345. Zhejiang Expreessway Co. ()	A	Dividend			Sold	03/20/12	J		
346. Zijin Mining Group Co. Ltd. ()	A	Dividend	J	T	Buy	04/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

These explanations/information regard Part VII:

1. Line 2: Pursuant to Judicial Conference of the United States Committee on Financial Disclosure letter dated September 19, 2011, the full cash value of the property according to the Yavapai County Assessor is \$167,598. According to the same entity, the assessed full cash value is \$16,760.
2. Line 6: In preparation of this Financial Disclosure Report (FDR), the fact that a dividend was received and the range amount received during that year, if any, were both reported on the initial line that identifies the asset. Dividends distributed at intervals during the year were compiled in that single line item.
3. Line 48: Several ETFs reported on the 2011 disclosure form were reported out of alphabetical order. We have tried to correct the alphabetizing in 2012 and so some of those funds that were held at year end may be reported at a location on this form inconsistent with where they were reported in 2011. For example, the Belgium ETF was reported in 2011 in "E" alpha order but in 2012 is reported with the "B"s in alpha order.
4. Line 72: This was inadvertently misreported as completely sold in 2011. It was not disposed of until 2012.
5. Line 127: This broker holds several IRAs and a [REDACTED] account. A disbursement was made from the checking account in April 2012.
5. Line 237: Morgan Stanley was inadvertently described as "sold" in 2011 when in fact, it was a short sale that was completely covered in 2012.
6. Line 308: SPY was inadvertently described as sold in 2011 when in fact, it was still held as a short position at year end 2011 and completely covered in 2012.

FINANCIAL DISCLOSURE REPORT

Page 26 of 26

Name of Person Reporting

King, George H.

Date of Report

05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **George H. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544