

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilman, Ronald L.	2. Court or Organization U. S. Court of Appeals, Sixth Circuit	3. Date of Report 3/24/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 167 North Main Street, Suite 1176 Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Gulf Housing Ltd. Partnership (5 apt. projects in Ala. and Miss.)	A	Interest	J	W					
2.	C	Rent							
3. FedTrust (formerly Federal Building) Federal Credit Union	A	Interest	L	T					
4. Schwab Cash & Money Market Sweep (formerly Schwab Cash Reserves)	A	Interest	K	T					
5. AQR Managed Futures Strategy	D	Dividend	L	T					
6. ASG Global Alternatives	D	Dividend	L	T					
7. Blackrock Strategic Income Opportunities Portfolio	A	Dividend	K	T	Buy	10/21/14	K		
8.					Sold (part)	12/16/14	J	A	
9. DFA Emerging Markets Core Equity Portfolio	C	Dividend	M	T					
10. DFA International Core Equity	D	Dividend	N	T	Buy	12/16/14	J		
11. DFA U.S. Small Cap Value	C	Dividend	L	T					
12. DFA U.S. Small Cap Value (transfer in kind from IRA #1-see Part VIII)						12/03/14	K		
13. Fidelity Cash Reserves		None			Buy	04/21/14	N		
14.					Sold	04/22/14	N		
15. Fidelity Cash Reserves		None			Buy	06/24/14	M		
16.					Sold (part)	06/25/14	L		
17.					Sold	06/26/14	L		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity International Small Cap		None			Sold	01/27/14	L	D	
19. Fidelity Mid Cap Enhanced Index	A	Dividend	K	T	Buy	06/26/14	K		
20. Fidelity OTC Portfolio		None			Sold	04/21/14	K	D	
21. Fidelity Select Biotechnology		None			Sold	04/21/14	L	B	
22. Fidelity Select Materials Portfolio	A	Dividend			Sold	04/21/14	L	E	
23. Fidelity Small Cap Discovery		None			Sold	04/21/14	L	E	
24. Fidelity Worldwide		None			Buy	01/27/14	L		
25.					Sold	04/21/14	L	A	
26. Goldman Sachs N 11 Equity	A	Dividend	L	T	Buy	02/03/14	L		
27.					Buy	12/16/14	K		
28. Goldman Sachs Strategic Income	B	Dividend	L	T	Sold (part)	12/16/14	K		
29. iShares ETF U.S. Large Cap	B	Dividend	L	T					
30. iShares S&P Midcap 400 Value	C	Dividend	M	T	Sold (part)	11/13/14	K	D	
31. iShares Tr. Russell 3000 Index	D	Dividend	O	T	Sold (part)	03/13/14	L	E	
32.					Sold (part)	11/13/14	K	D	
33. JP Morgan Tax Aware Real Return	B	Dividend	K	T	Buy	11/03/14	J		
34. Pimco Enhanced Short Maturity Strategy	A	Dividend	K	T	Sold (part)	03/13/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Pimco Total Return	A	Dividend			Sold	09/26/14	K	A	
36. Pimco Unconstrained Bond	A	Dividend			Buy	03/13/14	K		
37.					Sold	09/26/14	K	A	
38. Powershares S&P 500 High Quality Portfolio	B	Dividend	L	T	Sold (part)	12/16/14	J	C	
39. Powershares S&P 500 Low Volatility Portfolio	B	Dividend	L	T					
40. Stone Ridge Reinsurance Risk Premium	D	Dividend	M	T					
41. T Rowe Price Summit Int. Term Bond	C	Dividend	M	T	Buy	03/13/14	K		
42.					Buy	11/03/14	K		
43. Thornburg Ltd. Term Muni	B	Dividend	M	T	Buy	03/13/14	J		
44.					Buy	11/03/14	J		
45. Vanguard FTSE Emerging Markets		None			Sold	02/03/14	L		
46. Vanguard Ltd. Term Tax Exempt (Admiral Share)	A	Dividend	L	T	Buy	06/05/14	L		
47. Vanguard Meg Cap 300	B	Dividend	L	T					
48. Vanguard Short Term Tax Exempt (Investor Share)	A	Dividend			Sold	06/05/14	K	A	
49. Vanguard Short Term Tax Exempt (Admiral Share)	A	Dividend			Buy	03/14/14	J		
50.					Sold	06/05/14	J		
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. IRA #1	E	Dividend	N	T					
53. - Schwab Cash Reserves									
54. - Blackrock Strategic Income Opportunities Portfolio					Buy	10/21/14	K		
55.					Buy	11/03/14	J		
56. - DFA Int'l Core Equity									
57. - DFA Int'l Small Company Port.									
58. - DFA U.S. Small Cap Value					Sold (part)	11/03/14	K	D	
59. - DFA U.S. Small Cap Value (partial transfer in kind to reg. acct.)						12/03/14	K		
60. - Goldman Sachs Strategic Income					Buy	11/03/14	J		
61. - JP Morgan Strategic Income Oppty.					Buy	11/03/14	J		
62. - Pimco Total Return					Sold	09/26/14	J		
63. - Pimco Unconstrained Bond					Sold	09/26/14	K		
64.									
65. IRA #2	C	Dividend	M	T					
66. - Schwab Cash Reserves									
67. - DFA Int'l Small Company Portfolio					Buy	12/16/14	J		
68. - DFA U.S. Small Cap Value									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. - Goldman Sachs Strategic Income									
70. - iShares MSCI U.S. Minimum Volatility Index									
71. -Vanguard Meg Cap 300					Sold (part)	12/16/14	J	C	
72.									
73.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The required distribution from IRA #1 was handled by the transfer in kind of shares from DFA U.S. Small Cap Value from IRA #1 (see line 59 on Part VII) to my general brokerage account (see line 12 on Part VII).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ronald L. Gilman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544