

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pratter, Gene E.	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 04/08/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 7614 United States Courthouse Sixth & Market Streets Philadelphia, PA 19106-1752		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	University of Pennsylvania Law School (Adjunct Professor)	\$11,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Duane Morris LLC (Attorney)
2. 2014	Cohen, Placitella & Roth PC (Attorney)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke University	February 23-25, 2014	Durham, NC	Duke University Law Program	Transportation, lodging, meals
2. Duke University	November 12-14, 2014	Durham, NC	Duke University Law Program	Transportation, lodging, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Adams Harkness Small Growth - Retirement #5	A	Dividend	J	T					
2. DFA US Small Cap Value - Retirement #5	A	Dividend	J	T					
3. DFA US Large Cap Value - Retirement #5	A	Dividend	K	T					
4. Federated US Gov't Ultrashort - Retirement #5	A	Dividend	K	T					
5. First Eagle Overseas - Retirement #5	A	Dividend	K	T					
6. Janus Fund - Retirement #5	B	Dividend	J	T					
7. Janus Overseas - Retirement #5	A	Dividend	J	T					
8. Schwab Money Market - Retirement #5	A	Dividend	J	T					
9. Westcore MIDCO Growth - Retirement #5	B	Dividend	J	T					
10. William Blair Int'l Small Growth - Retirement #5	B	Dividend	J	T					
11. Federated Gov't Ultrashort - Retirement #6	A	Dividend	K	T					
12. Baron Growth - Retirement #6	A	Dividend	J	T					
13. First Eagle Overseas - Retirement #6	A	Dividend	J	T					
14. Royce Opportunity - Retirement #6	B	Dividend	J	T					
15. Schwab Money Market - Retirement #6	A	Dividend	J	T					
16. Janus Overseas - Retirement #6	A	Dividend	J	T	Buy (add'l)	07/30/14	J		
17. Janus Fund - Retirement #6		None			Sold	07/30/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Third Avenue Int'l Value - Retirement #6	A	Dividend	J	T					
19. Baron Growth - Retirement #7	B	Dividend	L	T					
20. DFA US Micro Cap - Retirement #7	C	Dividend	L	T					
21. DFA Emerging Markets Core Equity - Retirement #7	B	Dividend	L	T					
22. DFA International Small Value - Retirement #7	D	Dividend	M	T	Buy (add'l)	07/30/14	M		
23. DFA International Value - Retirement #7	D	Dividend	M	T					
24. DFA One Year Fixed - Retirement #7	B	Dividend	N	T	Buy (add'l)	01/30/14	K		
25. DFA US Small Cap Value - Retirement #7	C	Dividend	L	T					
26. DFA US Large Cap Value - Retirement #7	C	Dividend	M	T					
27. Dodge & Cox Stock - Retirement #7	C	Dividend	L	T	Sold (part)	01/30/14	J	D	
28. Federated Gov't Ultrashort - Retirement #7	A	Dividend	N	T	Buy (add'l)	01/30/14	K		
29. First Eagle Overseas - Retirement #7	C	Dividend	L	T					
30. Hotchkis & Wiley Mid Cap Value - Retirement #7	E	Dividend	M	T					
31. Morgan Stanley International Equity - Retirement #7	C	Dividend	M	T	Sold (part)	01/30/14	K	A	
32. Morgan Stanley Emerging Markets - Retirement #7	C	Dividend	L	T					
33. Royce Opportunity - Retirement #7	D	Dividend	L	T					
34. Schwab Money Market - Retirement #7	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Westcore Intl Small Cap - Retirement #7	B	Dividend	L	T	Sold (part)	01/30/14	J	D	
36. Westcore Mid Cap Value - Retirement #7	D	Dividend	M	T	Sold (part)	01/30/14	J	C	
37. Westcore MIDCO Growth - Retirement #7	E	Dividend	L	T	Sold (part)	01/30/14	J	C	
38. William Blair International Growth - Retirement #7	B	Dividend	L	T	Sold (part)	01/30/14	J	A	
39. Janus Fund - Retirement #7	E	Dividend	M	T	Sold (part)	01/30/14	J	A	
40. Janus Overseas - Retirement #7	A	Dividend	L	T					
41. Hotchkis & Wiley Large Cap Value - Retirement #7	B	Dividend	L	T					
42. Third Avenue International Value - Retirement #7		None			Sold	07/30/14	M	D	
43. William Blair Large Growth - Retirement #7	D	Dividend	M	T					
44. Adams Harkness Small Growth - Retirement #7	B	Dividend	K	T					
45. William Blair Int'l Small Growth - Retirement #7	D	Dividend	L	T					
46. Metropolitan West Total Return - Retirement #7	D	Dividend	N	T					
47. BlackRock Low Duration - Retirement #7	D	Dividend	N	T	Buy (add'l)	01/30/14	K		
48. Thornburg International Value - Retirement #7	D	Dividend	L	T					
49. Ivy Mid Cap Growth - Retirement #7	D	Dividend	L	T	Sold (part)	01/30/14	J	B	
50. William Blair Mid Cap Growth - Retirement #7	D	Dividend	L	T	Sold (part)	01/30/14	J	A	
51. DFA Global Real Estate - Retirement #7	C	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. RS Global Natural Resources - Retirement #7	C	Dividend	K	T					
53. EII Global Property - Retirement #7	E	Dividend	L	T					
54. MainGate MLP - Retirement #7	D	Dividend	M	T					
55. MainStay Marketfield - Retirement #7		None	L	T					
56. William Blair Small Growth - Retirement #7	D	Dividend	K	T					
57. Morgan Stanley AIP - Retirement #7	D	Dividend	M	T					
58. Schwab Money Market - Trust #1	A	Dividend	J	T					
59. Dodge & Cox Stock - Trust #1	A	Dividend	K	T	Sold (part)	03/25/14	J	B	
60. Baron Growth - Trust #1	B	Dividend	K	T					
61. Federated Short Term Muni - Trust #1	A	Dividend	K	T					
62. First Eagle Overseas - Trust #1	B	Dividend	K	T					
63. Hotchkis & Wiley Large Cap Value - Trust #1	A	Dividend	K	T					
64. Hotchkis & Wiley Mid Cap Value - Trust #1	C	Dividend	K	T					
65. Janus Fund - Trust #1	D	Dividend	K	T	Sold (part)	07/30/14	J	B	
66. Janus Overseas - Trust #1	A	Dividend	K	T					
67. Morgan Stanley International Equity - Trust #1	A	Dividend	K	T					
68. Morgan Stanley Emerging Markets - Trust #1	B	Dividend	K	T					

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69. Royce Opportunity - Trust #1	C	Dividend	K	T	Sold (part)	03/25/14	J	B	
70. Westcore Mid Cap Value - Trust #1	B	Dividend	K	T					
71. Westcore MIDCO Growth - Trust #1		None			Sold	12/15/14	K	B	
72. Westcore MIDCO Growth - Trust #1		None	K	T	Buy	12/22/14	K		
73. DFA Tax Managed Marketwide Value - Trust #1	A	Dividend	K	T					
74. DFA US Micro Cap - Trust #1	A	Dividend	J	T					
75. Third Avenue International Value - Trust #1		None			Sold	07/30/14	K	C	
76. DFA Intl Small Cap Value - Trust #1	A	Dividend	K	T	Buy	07/30/14	K		
77. Westcore Intl Small Cap - Trust #1	A	Dividend	K	T					
78. DFA Int'l Value - Trust #1	A	Dividend	K	T					
79. DFA US Small Value - Trust #1	B	Dividend	K	T					
80. William Blair Mid Growth - Trust #1	C	Dividend	K	T					
81. DFA Short Term Muni - Trust #1	A	Dividend	K	T					
82. Metropolitan West Total Return - Trust #1	A	Dividend	K	T					
83. Thornburg International Value - Trust #1	C	Dividend	K	T	Buy (add'l)	03/25/14	J		
84. William Blair Small Cap Growth - Trust #1	B	Dividend	J	T	Sold (part)	03/25/14	J	A	
85. DFA Global Real Estate - Trust #1	A	Dividend	J	T					

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86. EII Global Property - Trust #1		None			Sold	12/16/14	K	C	
87. EII Global Property - Trust #1		None	K	T	Buy	12/19/14	K		
88. MainGate MLP - Trust #1	B	Dividend	K	T					
89. RS Global Resources - Trust #1	A	Dividend	J	T	Sold (part)	12/05/14	J	A	
90. MainStay Marketfield - Trust #1		None	K	T	Buy (add'l)	07/30/14	J		
91. Baron Growth - Brokerage #1	A	Dividend	J	T					
92. DFA Tax Mgd US Marketwide Value - Brokerage #1	A	Dividend	K	T					
93. DFA US Small Value - Brokerage #1	A	Dividend	J	T	Sold (part)	01/15/14	J	B	
94. DFA Emerging Markets Core Equity - Brokerage #1	A	Dividend	K	T					
95. DFA Tax Mgd International Value - Brokerage #1	A	Dividend	K	T					
96. East River Bank Stock - Brokerage #1		None	K	U					
97. Federated Short Term Muni - Brokerage #1	A	Dividend	K	T	Sold (part)	06/12/14	J	A	
98. Federated Short Term Muni - Brokerage #1		None			Sold (part)	12/03/14	J	A	
99. First Eagle Overseas - Brokerage #1	A	Dividend	J	T	Sold (part)	01/15/14	J	A	
100. Janus Fund - Brokerage #1	C	Dividend	K	T					
101. Janus Overseas - Brokerage #1	A	Dividend	J	T					
102. Morgan Stanley International Equity - Brokerage #1	A	Dividend	J	T					

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103. Schwab Money Market - Brokerage #1	A	Dividend	J	T					
104. Westcore MIDCO Growth - Brokerage #1	C	Dividend	J	T	Sold (part)	01/15/14	J	A	
105. Hotchkis & Wiley Large Cap Value - Brokerage #1	A	Dividend	J	T					
106. Hotchkis & Wiley Mid Cap Value - Brokerage #1	B	Dividend	K	T	Sold (part)	06/12/14	J	B	
107. Third Avenue International Value - Brokerage #1		None			Sold	07/30/14	J	B	
108. Thornburg Int'l Value - Brokerage #1	A	Dividend	J	T					
109. William Blair Int'l Small Growth - Brokerage #1	B	Dividend	J	T					
110. William Blair Large Growth - Brokerage #1	B	Dividend	J	T	Sold (part)	06/12/14	J	B	
111. William Blair Mid Cap Growth - Brokerage #1	B	Dividend	J	T	Sold (part)	12/03/14	J	B	
112. William Blair Small Cap Growth - Brokerage #1	B	Dividend	J	T					
113. Westcore Mid Cap Value - Brokerage #1	B	Dividend	J	T	Sold (part)	01/15/14	J	A	
114. DFA Int'l Small Value - Brokerage #1	A	Dividend	J	T					
115. DFA Short Term Muni - Brokerage #1	A	Dividend	K	T	Sold (part)	03/10/14	J	A	
116. Metropolitan West Total Return - Brokerage #1	A	Dividend	K	T	Sold (part)	01/15/14	J	A	
117. William Blair Int'l Growth - Brokerage #1	A	Dividend	J	T					
118. Thornburg Limited Term Municipal - Brokerage #1	B	Dividend	L	T					
119. DFA Global Real Estate - Brokerage #1	A	Dividend	K	T	Sold (part)	06/12/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Pratter, Gene E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Dodge & Cox Stock - Brokerage #1	A	Dividend	J	T	Sold (part)	06/12/14	J	B	
121. MainGate MLP - Brokerage #1	A	Dividend	J	T	Sold (part)	06/12/14	J	B	
122. RS Global Resources - Brokerage #1	A	Dividend	J	T	Sold (part)	06/12/14	J	A	
123. RS Global Resources - Brokerage #1		None			Sold (part)	12/05/14	J	A	
124. Royce Opportunity - Brokerage #1	B	Dividend	J	T					
125. DFA US Micro Cap - Brokerage #1	A	Dividend	J	T					
126. Baron Growth - Brokerage #2	A	Dividend	K	T	Sold (part)	01/30/14	J	A	
127. DFA US Micro Cap - Brokerage #2	A	Dividend	J	T					
128. DFA Tax Mgd Marketwide Value - Brokerage #2	A	Dividend	K	T					
129. DFA US Small Value - Brokerage #2	A	Dividend	J	T	Sold (part)	01/30/14	J	B	
130. DFA Tax Mgd Int'l Value - Brokerage #2	A	Dividend	K	T					
131. Dodge & Cox Stock - Brokerage #2	A	Dividend	J	T	Sold (part)	01/30/14	J	B	
132. Federated Short Term Muni - Brokerage #2	B	Dividend	L	T	Buy (add'l)	01/30/14	J		
133. First Eagle Overseas - Brokerage #2	A	Dividend	J	T					
134. Hotchkis & Wiley Large Cap Value - Brokerage #2	A	Dividend	J	T					
135. Hotchkis & Wiley Mid Cap Value - Brokerage #2	B	Dividend	K	T	Sold (part)	01/30/14	J	B	
136. Janus Fund - Brokerage #2	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pratter, Gene E.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Janus Overseas - Brokerage #2	A	Dividend	J	T					
138. Morgan Stanley International Equity - Brokerage #2	A	Dividend	J	T					
139. Morgan Stanley Emerging Markets - Brokerage #2	A	Dividend	K	T					
140. Royce Opportunity - Brokerage #2	B	Dividend	J	T					
141. Schwab Money Market - Brokerage #2	A	Dividend	J	T					
142. Third Avenue International Value - Brokerage #2		None			Sold	07/30/14	K	A	
143. Westcore Mid Cap Value - Brokerage #2	B	Dividend	J	T					
144. Westcore MIDCO Growth - Brokerage #2		None			Sold	12/15/14	K	C	
145. Westcore MIDCO Growth - Brokerage #2		None	K	T	Buy	12/27/14	K		
146. Westcore International Small Cap - Brokerage #2	A	Dividend	J	T	Sold (part)	01/30/14	J	A	
147. Metropolitan West Total Return - Brokerage #2	A	Dividend	K	T					
148. Thornburg Int'l Value - Brokerage #2	B	Dividend	J	T					
149. DFA Short Term Muni - Brokerage #2	A	Dividend	L	T	Buy (add'l)	01/30/14	K		
150. DFA Global Real Estate - Brokerage #2	A	Dividend	K	T					
151. MainGate MLP - Brokerage #2	A	Dividend	K	T					
152. RS Global Resources - Brokerage #2	A	Dividend	J	T	Sold (part)	12/05/14	J	A	
153. MainStay Marketfield - Brokerage #2		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pratter, Gene E.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. DFA Intl Small Cap Value - Brokerage #2	A	Dividend	K	T	Buy	07/30/14	K		
155. DFA One Year Fixed - Retirement #8	A	Dividend	N	T	Buy (add'l)	01/30/14	K		
156. Federated Gov't Ultrashort - Retirement #8	A	Dividend	M	T	Buy (add'l)	01/30/14	K		
157. Adams Harkness Small Growth - Retirement #8	B	Dividend	K	T	Sold (part)	01/30/14	J	C	
158. Baron Growth - Retirement #8	B	Dividend	K	T					
159. DFA Emerging Markets Core Equity - Retirement #8	B	Dividend	L	T					
160. DFA International Value - Retirement #8	D	Dividend	M	T					
161. DFA US Large Value - Retirement #8	B	Dividend	L	T					
162. DFA US Small Value - Retirement #8	B	Dividend	L	T	Sold (part)	01/30/14	J	B	
163. DFA US Micro Cap - Retirement #8	C	Dividend	K	T	Sold (part)	01/30/14	J	B	
164. Dodge & Cox Stock - Retirement #8	B	Dividend	L	T	Sold (part)	01/30/14	J	C	
165. DFA Int'l Small Cap Value - Retirement #8	C	Dividend	M	T	Buy (add'l)	07/30/14	L		
166. First Eagle Overseas - Retirement #8	B	Dividend	K	T					
167. Hotchkis & Wiley Large Cap Value - Retirement #8	B	Dividend	L	T	Sold (part)	01/30/14	J	A	
168. Hotchkis & Wiley Mid Cap Value - Retirement #8	D	Dividend	M	T	Sold (part)	01/30/14	J	C	
169. Janus Fund - Retirement #8	D	Dividend	L	T	Sold (part)	01/30/14	J	B	
170. Janus Overseas - Retirement #8	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pratter, Gene E.

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04/08/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Morgan Stanley Emerging Markets - Retirement #8	C	Dividend	K	T					
172. Morgan Stanley International Equity - Retirement #8	C	Dividend	L	T	Sold (part)	01/30/14	J	A	
173. Royce Opportunity - Retirement #8	D	Dividend	L	T	Sold (part)	01/30/14	J	B	
174. Schwab Money Market - Retirement #8	A	Dividend	K	T					
175. Third Avenue International Value - Retirement #8		None			Sold	07/30/14	L	C	
176. Westcore Intl Small Cap - Retirement #8	B	Dividend	K	T					
177. Westcore Mid Cap Value - Retirement #8	D	Dividend	L	T					
178. Westcore MIDCO Growth - Retirement #8	D	Dividend	L	T	Sold (part)	01/30/14	J	A	
179. William Blair International Growth - Retirement #8	B	Dividend	L	T					
180. William Blair Large Cap Growth - Retirement #8	D	Dividend	L	T					
181. William Blair Intl Small Growth - Retirement #8	C	Dividend	K	T					
182. BlackRock Low Duration - Retirement #8	D	Dividend	N	T	Buy (add'l)	01/30/14	K		
183. Metropolitan West Total Return - Retirement #8	D	Dividend	M	T					
184. Thornburg Int'l Value - Retirement #8	C	Dividend	K	T					
185. William Blair Small Growth - Retirement #8	D	Dividend	K	T	Sold (part)	01/30/14	J	B	
186. William Blair Mid Growth - Retirement #8	D	Dividend	L	T	Sold (part)	01/30/14	J	A	
187. Ivy Mid Growth - Retirement #8	D	Dividend	L	T	Sold (part)	01/30/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pratter, Gene E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. DFA Global Real Estate - Retirement #8	B	Dividend	L	T					
189. EII Global Property - Retirement #8	E	Dividend	L	T					
190. MainGate MLP - Retirement #8	D	Dividend	M	T					
191. MainStay Marketfield - Retirement #8		None	K	T					
192. RS Global Resources - Retirement #8	B	Dividend	K	T					
193. Morgan Stanley AIP - Retirement #8	D	Dividend	M	T					
194. Equitable Variable Life - Alliance Common Stock		None	M	T					
195. Equitable Variable Life - Alliance International		None	K	T					
196. PNC Bank Accounts	A	Dividend	J	T					
197. Nantucket Bank Account	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Pratter, Gene E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gene E. Pratter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544