

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Favro, Gary L.	2. Court or Organization U.S. District Court, Northern District of New York	3. Date of Report 10/27/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Part Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 14 Durkee Street, Suite 360 Plattsburgh, New York 12901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Law Offices of Gary L. Favro PLLC	Sole Member
2. CVPH Medical Center	Advisory Board Member
3. Glens Falls National Bank and Trust Company	Advisory Board Member
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Law Offices of Gary L. Favro PLLC	\$311,573.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Plattsburgh City School District - wages - teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Office Building Plattsburgh NY, Clinton County	E	Rent	M	W					
2. Trust 1 GFNB Money Purchase Retirement Plan									
3. - Black Rock	A	Dividend	L	T					
4. - Fidelity High Income Fund	A	Dividend	J	T					
5. - Fidelity Capital & Income Fund	A	Dividend	K	T					
6. - North Country Intermediate Bond Fund	A	Dividend	L	T					
7. - Dodge & Cox Stock Fund	A	Dividend	K	T					
8. - North Country Equity Growth Fund	A	Dividend	M	T					
9. - Nuveen Preferred Securities -I	A	Dividend	K	T					
10. - Vanguard Mid Cap Index - Adm.	A	Dividend	K	T					
11. - Vanguard Small Cap Index - Adm.	A	Dividend	J	T					
12. - Vanguard 500 Index Fund - Adm.	A	Dividend	K	T					
13. - Fidelity Overseas Fund	A	Dividend	J	T					
14. - Vanguard Total - International Stock Index - Adm.	A	Dividend	J	T					
15. Blackrock Liquid Fund Tempfund	A	Dividend	K	T	Sold	01/20/15	K	B	Trust 1 Retirement Plan
16. Vanguard 500 Index Fund	A	Dividend	K	T	Buy	01/20/15	K		Trust 1 Retirement Plan
17. Blackrock Liquid Fund Tempfund	A	Dividend	K	T	Buy	04/13/15	K		Trust 1 Retirement Plan

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Blackrock Liquid Fund Tempfund	A	Dividend			Sold	04/16/15	K	B	Trust 1 Retirement Plan
19. North Country Intm Bond Fund	A	Dividend	J	T	Buy	04/16/15	K		Trust 1 Retirement Plan
20. Nuveen Preferred Security	A	Dividend	J	T	Buy	04/16/15	J		Trust 1 Retirement Plan
21. Vanguard Mid Cap Index	A	Dividend	J	T	Buy	04/16/15	J		Trust 1 Retirement Plan
22. T Rowe Price Growth Stock	A	Dividend	J	T	Buy	04/16/15	J		Trust 1 Retirement Plan
23. North Country Equity Growth Fund	A	Dividend	K	T	Buy	04/16/15	K		Trust 1 Retirement Plan
24. Dodge & Cox Stock Fund	A	Dividend	J	T	Buy	04/16/15	J		Trust 1 Retirement Plan
25. Dodge & Cox Stock Fund	A	Dividend			Sold	05/19/15	K	B	Trust 1 Retirement Plan
26. Fidelity Overseas Fund	A	Dividend	K	T	Buy	05/19/15	K		Trust 1 Retirement Plan
27. Fidelity Capital & Income Fund	A	Dividend			Sold	08/03/15	K	A	Trust 1 Retirement Plan
28. Vanguard High Yield Corp.	A	Dividend		T	Buy	08/03/15	J		Trust 1 Retirement Plan
29. Vanguard High Yield Corp.	A	Dividend	J	T	Buy	08/03/15	K		Trust 1 Retirement Plan
30. Blackrock Liquid Fund Tempfund	A	Dividend			Sold	11/04/15	J	A	Trust 1 Retirement Plan
31. Nuveen Preferred Security	A	Dividend			Sold	11/04/15	K	B	Trust 1 Retirement Plan
32. Vanguard 500 Index Fund	A	Dividend			Sold	11/04/15	K	A	Trust 1 Retirement Plan
33. T Rowe Price Growth Stock	A	Dividend			Sold	11/04/15	J	A	Trust 1 Retirement Plan
34. Vanguard High Yield Corp.	A	Dividend	J	T	Buy	11/04/15	J		Trust 1 Retirement Plan

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. North Country Intm Bond Fund	A	Dividend	K	T	Buy	11/04/15	K		Trust 1 Retirement Plan
36. Vanguard Mid Cap Index	A	Dividend	J	T	Buy	11/04/15	J		Trust 1 Retirement Plan
37. North Country Equity Growth Fund	A	Dividend	J	T	Buy	11/04/15	J		Trust 1 Retirement Plan
38. Fidelity Overseas Fund	A	Dividend	J	T	Buy	11/04/15	J		Trust 1 Retirement Plan
39. Blackrock Liquid Fund Tempfund	A	Dividend	K	T	Buy	12/16/15	K		Trust 1 Retirement Plan
40. Vanguard High Yield Corp.	A	Dividend			Sold	12/16/15	K	B	Trust 1 Retirement Plan
41. Pimco Low Duration Fund	A	Dividend	K	T	Buy	12/16/15	J		Trust 1 Retirement Plan
42. Blackrock Liquid Fund Tempfund	A	Dividend			Sold	12/23/15	K	B	Trust 1 Retirement Plan
43. North Country Intm Bond Fund	A	Dividend			Sold	12/23/15	J	A	Trust 1 Retirement Plan
44. Pimco Low Duration Fund	A	Dividend	J	T	Buy	12/23/15	J		Trust 1 Retirement Plan
45. North Country Equity Growth Fund	A	Dividend	K	T	Buy	12/23/15	K		Trust 1 Retirement Plan
46. MetLife Universal Life Ins. Policy	B	Dividend	L	T					
47. GFNB Bank Accounts	B	Interest	N	T					
48. DFCU IRA & Share accounts	A	Interest	J	T					
49. Trust 2 HR-10 Keogh Retirement Plan Lincoln Trust									
50. - American Funds: Growth Fund of America	C	Dividend	K	T					
51. - American Funds: New Perspective	C	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - American Funds: Investment Company of America	C	Dividend	K	T					
53. - American Funds: Washington Mutual	C	Dividend	L	T					
54. - Eaton Vance Worldwide	A	Dividend	K	T					
55. - Franklin Templeton Global Bond	B	Dividend	K	T					
56. Abbott Labs	A	Dividend	J	T					
57. Arbuie	A	Dividend	J	T					
58. AT&T	A	Dividend	J	T					
59. Colgate	A	Dividend	J	T					
60. Facebook	A	Dividend	J	T					
61. General Electric	A	Dividend	J	T					
62. Kellogg	A	Dividend	J	T					
63. Microsoft	A	Dividend	J	T					
64. Proctor & Gamble	A	Dividend	J	T					
65. Southern Co.	A	Dividend	L	T					
66. United Health	A	Dividend	K	T					
67. Verizon	A	Dividend	K	T					
68. Broker Cash: Lincoln Trust	A	Interest			Sold	01/14/15	K	A	Trust 2 Retirement Plan

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69. Prudential Premier Retirement variable annuity series B	E	Dividend	N	T					
70. Pacific Life Pacific Voyages Annuity	D	Dividend	M	T					
71. Columbia Property Trust Inc.	A	Dividend	K	T					
72. Inland America American Real Estate Inc	A	Dividend	L	T					
73. Community Bank	A	Interest	K	T					
74. NBT Bank	A	Interest	K	T					
75. Google A	A	Dividend	K	T					
76. Google C	A	Dividend	K	T					
77. Google	A	Dividend	J	T	Buy	01/14/15	J		Retirement Plan
78. Apple	A	Dividend	J	T	Buy	01/14/15	J		Retirement Plan
79. Eaton Vance Worldwide Health	A	Dividend	J	T	Buy	01/14/15	J		Retirement Plan

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A: Compensation from law practice for legal services rendered to various clients before payment of taxes and pension contribution.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gary L. Favro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544