

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pannell, Charles A.	2. Court or Organization District Court-N.D.of Georgia	3. Date of Report 3/26/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 2367 U. S. Courthouse 75 Spring Street, S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Executor	Estate No. 1
2.	Operator	Farm #1
3.	Power of Attorney	Trust No. 1
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Association of County Commissioners of Georgia Pension Trust	\$1,347.72
2. 2013	Georgia Judicial Retirement System	\$89,392.32
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Teachers Retirement System of Georgia
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Timberland, [REDACTED], 1/3 Interest		None	N	W	Sold (part)	08/05/13	K	E	Plains Logging Co.- Timber
2. Rental Property #1, [REDACTED]		None	J	W					
3. Estate #1									
4. -Farm #1 [REDACTED]	B	Rent	O	W					
5. - First National Bank (Savings Accounts and CDs)	B	Interest	M	T					
6. - Cohutta Bank Account	A	Interest	J	T					
7. Brokerage Account #1									
8. - Synovus, Common Stock (SNV)	A	Dividend	J	T					
9. -Total Sys Sves (TSS)	A	Dividend	K	T					
10. -Federated MOISS (QFMOQ)	A	Dividend	J	T					
11. Brokerage Account #2									
12. - American Funds - Investment Company of America (AIVSX)	A	Dividend	K	T					
13. - American Funds - Growth Fund of America (AGTHX)	A	Dividend	K	T					
14. - American Funds - Small Cap World Fund (SMCWX)	A	Dividend	J	T					
15. - American Funds - New World Fund (NEWEX)	A	Dividend	J	T					
16. - American Funds - EUPAC (AEPGX)	A	Dividend	J	T					
17. -American Funds Bond Fund of America (ABNDX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -American Funds High Income (AHITX)	A	Dividend	J	T					
19. Brokerage Account #3									
20. -FDRXX	A	Dividend			Sold	03/04/13	J		
21. -Money Market, Fidelity Investments	A	Interest	K	T					
22. -Tyson Foods (TSN)	A	Dividend	K	T	Buy (add'l)	03/25/13	J		
23. -Synovus Common Stock (SNV)	A	Dividend	J	T					
24. -Apple, Inc (AAPL)	A	Dividend	J	T	Buy	02/14/13	J		
25. -Bank of Internet (BOFI)		None	J	T	Buy	07/14/13	J		
26. -Chart Inds, Inc.. (GTLS)		None	J	T	Buy	07/05/13	J		
27. -Costco Wholesale Corp. (COST)	A	Dividend	J	T	Buy	03/04/13	J		
28. -Catamaran Corp. (CTRX)		None			Buy	06/19/13	J		
29.					Sold	07/18/13	J		Loss
30. -Core Laboratories (CLB)	A	Dividend	J	T	Buy	05/13/13	J		
31. -Ford Motor Co. (F)	A	Dividend	J	T	Buy	07/29/13	J		
32. -Mastec (MTZ)		None	J	T	Buy	08/19/13	J		
33. -Middleby Corp. (MIDD)		None	J	T	Buy	05/20/13	J		
34. -Priceline Com Inc. (PCLN)		None	J	T	Buy	05/13/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Suntrust Bank (STI)	A	Dividend	J	T	Buy	03/18/13	J		
36. -Tile Shop HLD Inc. (TTS)		None	J	T	Buy	02/14/13	J		
37.					Sold (part)	07/15/13	J	A	
38. -Tractor Supply co. (TSCO)		None	J	T	Buy	12/06/13	J		
39. -Western REFNG Inc. (WNR)	A	Dividend	J	T	Buy	02/14/13	J		
40. -Whole Foods Mkt Inc. (WFM)	A	Dividend	J	T	Buy	03/04/13	J		
41. Roth IRA #1 Fidelity Investments		None			Closed	02/14/13	J	A	
42. - LSI		None			Sold	02/14/13	J	A	
43. Roth IRA #2 Fidelity Investments Cash Reserves	A	Interest	J	T					
44. Farm #2, [REDACTED], limited interest		None	L	W					
45. Trust #1									
46. -First National Bank (CDs)	B	Interest	M	T					
47. -Suntrust Bank (money market and checking)	A	Interest	K	T					
48. -House, Fulton County, Georgia		None	O	W					
49. -Employee's Retirement of Georgia		None							See Note
50. -Farm #2, [REDACTED] partial ownership	B	Rent	K	W					
51. -Timberland, [REDACTED]		None	K	W					

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52. -Cohutta Checking Acct	A	Interest	J	T					
53. Rollover IRA									
54. -JPMorgan US Gov. MMKT Fds	A	Dividend	J	T					
55. -Mainstay Funds High Yield Bond (MHYIX)	A	Dividend	J	T					
56. -AMCAP CL F2 (AMCFX)	B	Dividend	K	T					
57. -ARTISAN MID CAP FD (ARTMX)	A	Dividend	J	T					
58. -ARTISAN Small CAP FD (ARTVX)	A	Dividend	J	T					
59. -Columbia Dividend Income (GSFTX)	A	Dividend	K	T					
60. -Janus Investment Triton (JATTX)	A	Dividend	J	T					
61. -Janus Investment Perkins Mid Cap (JMCVX)	A	Dividend	J	T					
62. -Oppenheimer Dev Markets (ODVYX)	A	Dividend	J	T					
63. -JPMorgan Trst II (OMBIX)	A	Dividend	J	T					
64. -Principal Inc. PFD (PPSPX)	A	Dividend	J	T					
65. -Templeton Global Invt (TGBAX)	A	Dividend			Sold	10/17/13	J		
66. -Templeton Intl Bond (FIBZX)					Buy	10/17/13	J		
67. -Thornburg Invt TR INTL Value (TGVIX)	A	Dividend	J	T					
68. -DWS Alternative Asset (AAASX)	A	Dividend	J	T					

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69. -Loomis Sayles FDS II INVEST Grade Bond (LSIIX)	A	Dividend	K	T					
70. Wells Fargo Savings Account	A	Interest	J	T					
71. First National Bank, Accounts Checking and Money Market	A	Interest	K	T					
72. Cohutta Bank Checking and MMKT	A	Interest	K	T					
73. Associated Credit Union Money Market and Checking	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions: Further clarification to Answer 2 "Operator".

Part VII. INVESTMENTS AND TRUSTS

Lines 49: This is fixed retirement income. I have control by power of attorney. No value past the death of the beneficiary. (Per instruction VII, Para. C. Income, page 45.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles A. Pannell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544