

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drain, Robert D.	2. Court or Organization Southern District of New York	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Bankruptcy Court, S.D.N.Y. 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Israel Ministry of Justice/Bar-Ilan School of Law	01/17/2014 - 1/22/2014	Tel Aviv, Israel	Speaker at conference with Israeli bankruptcy law professionals and administrators	Airfare, hotel, food, other transportation
2. American Bankruptcy Institute	02/05/2014 - 02/08/2014	San Juan, PR	Speaker at annual bankruptcy conference	Airfare, hotel, food, other transportation
3. American Bankruptcy Institute/U. of Tex. Law School	0/26/2014 -02/28/2014	Las Vegas, NV	Speaker at conference on valuation issues	Airfare, hotel, food, other transportation
4. South Florida Bankruptcy Bar Ass'n	05/08/2014 - 05/12/2014	Key Largo, FL	Speaker at annual bankruptcy law conference	Airfare, hotel, food, other transportation

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5.	Assoc. of Insolvency & Restructuring Advisors	06/05/2014 - 06/07/2014	Denver, CO	Speaker at annual bankruptcy law conference	Airfare, hotel, food, other transportation
6.	Hudson Valley Bankruptcy Bar Ass'n	09/11/2014 - 09/12/2014	Hyde Park, NY	Speaker at annual consumer bankruptcy law conference	Auto mileage, hotel, food
7.	Turnaround Management Ass'n	10/18/2014 - 10/21/2014	Sao Paulo, Brazil	Speaker at conference with Brazilian bankruptcy law professionals and judges	Airfare, hotel, food, other transportation
8.	American Bankruptcy Institute/Georgetown Law School	10/23/2014 - 10/24/2014	Washington, DC	Speaker at annual views from the bench bankruptcy law conference	Bus, food, other transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Citibank Account #1	A	Interest	J	T					
2. Access Financial Account #1	B	Int./Div.	K	T					
3. Access Financial Account #2	A	Int./Div.	K	T					
4. Access Financial Account #3	A	Interest	J	T	Redeemed (part)	12/10/14	J	B	
5. FifthThird Bancorp common	A	Dividend	J	T					
6. US Trust Managed US TCommon Account (no control)	E	Int./Div.	P1	T					See note in Part VIII
7. -Accenture					Sold (part)	03/19/14	J		
8.					Sold (part)	03/20/14	J		
9.					Sold	04/01/14	J		
10. -Ace Ltd.					Sold	03/19/14	J	A	
11. -Alexion Pharma.					Sold (part)	03/06/14	J	A	
12.					Sold (part)	03/12/14	J	A	
13. -Amazon					Buy (add'l)	01/13/14	J		
14. -American Express					Buy (add'l)	03/19/14	J		
15. -Anadarko Pete					Sold	01/13/14	J		
16.					Buy	04/21/14	J		
17.					Buy (add'l)	05/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	06/25/14	J		
19.					Sold (part)	12/12/14	J		
20.					Sold (part)	12/15/14	J		
21. -Apple					Sold (part)	02/26/14	J	A	
22.					Buy (add'l)	06/16/14	J		
23.					Buy (add'l)	07/30/14	J		
24.					Buy (add'l)	08/22/14	J		
25. -Aston Montag & Caldwell Grwth Fnd Cl 1									
26. -Autozone					Buy	03/12/14	J		
27.					Buy (add'l)	03/27/14	J		
28.					Sold (part)	04/21/14	J		
29. -Berkshire Hathaway Cl B					Sold (part)	10/08/14	J	A	
30. -Celgene Corp.					Buy	01/15/14	J		
31.					Sold	03/27/14	J		
32. -Cerner					Sold (part)	05/30/14	J		
33.					Sold (part)	06/02/14	J	A	
34.					Sold (part)	09/11/14	J	A	

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35. -Chevron					Sold	01/13/14	J	A	
36. -Chipotle					Buy	06/04/14	J		
37.					Buy (add'l)	06/05/14	J		
38. -CME Grp.					Sold (part)	10/29/14	J	A	
39.					Sold (part)	10/30/14	J	A	
40.					Sold (part)	10/31/14	J	A	
41.					Sold	11/03/14	J	A	
42. -Col. Dividend. Income FD Class Z									
43. -Col. Select Large Cap Growth FD Class Z					Redeemed (part)	08/18/14	J	B	
44. -Comcast									
45. -Conoco Phillips					Sold	01/13/14	J	A	
46. -Costco Whsl					Sold (part)	03/19/14	J		
47. -Danaher					Buy	05/28/14	J		
48.					Buy (add'l)	05/30/14	J		
49. -Discovery Comm.					Sold (part)	04/16/14	J		
50.					Sold	04/17/14	J		
51. -EMC					Sold (part)	02/03/14	J		

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52. -EOG Res Inc.					Buy	04/21/14	J		
53.					Buy (add'l)	04/22/14	J		
54.					Buy (add'l)	05/30/14	J		
55.					Buy (add'l)	06/25/14	J		
56.					Buy (add'l)	11/10/14	J		
57.					Sold (part)	12/12/14	J		
58.					Sold	12/15/14	J		
59. -Exxon Mobil					Buy (add'l)	03/06/14	J		
60. -Facebook					Buy	02/10/14	J		
61.					Buy (add'l)	02/26/14	J		
62.					Buy (add'l)	06/04/14	J		
63.					Buy (add'l)	06/05/14	J		
64.					Sold (part)	09/11/14	J	A	
65.					Sold (part)	11/25/14	J	A	
66. -Gilead					Buy	03/27/14	J		
67.					Buy (add'l)	04/17/14	J		
68.					Sold (part)	04/21/14	J		

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2. Value Codes (See Columns C1 and D3)					
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69.					Sold (part)	08/22/14	J	A	
70.					Sold (part)	10/31/14	J	A	
71. -Google					Buy (add'l)	04/17/14	J		
72.					Sold (part)	04/21/14	J	A	
73.					Sold (part)	06/25/14	J	A	
74.					Sold (part)	10/08/14	J	A	
75.					Buy (add'l)	12/12/14	J		
76.					Sold (part)	12/16/14	J	A	
77. -Grainger					Sold (part)	02/14/14	J		
78.					Sold	07/22/14	J		
79. -Hershey					Sold (part)	12/12/14	J	A	
80.					Sold (part)	12/15/14	J		
81. -Home Depot					Sold (part)	04/25/14	J	A	
82.					Buy (add'l)	08/22/14	J		
83.					Buy (add'l)	10/31/14	J		
84. -Intuitive Surgical					Buy (add'l)	01/23/14	J		
85.					Buy (add'l)	01/30/14	J		

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86.					Sold	04/14/14	J	A	
87. -Johnson & Johnson					Sold (part)	03/19/14	J		
88.					Buy (add'l)	05/20/14	J		
89. -Kraft Foods									
90. -Las Vegas Sands					Sold (part)	01/21/14	J	A	
91.					Sold (part)	01/23/14	J	A	
92.					Sold (part)	01/27/14	J	A	
93.					Sold (part)	02/26/14	J	A	
94.					Sold (part)	03/04/14	J	A	
95.					Buy (add'l)	06/03/14	J		
96.					Sold (part)	10/30/14	J		
97.					Buy (add'l)	11/19/14	J		
98.					Buy (add'l)	11/19/14	J		
99.					Buy (add'l)	11/20/14	J		
100.					Sold (part)	11/19/14	J		
101.					Sold (part)	11/20/14	J		
102. -Mastercard					Sold (part)	02/26/14	J	A	

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103.					Sold (part)	03/04/14	J	A	
104.					Sold (part)	03/19/14	J	A	
105.					Sold (part)	04/21/14	J	A	
106.					Sold (part)	06/25/14	J	A	
107. -Mckesson					Buy	04/16/14	J		
108.					Buy	04/17/14	J		
109.					Buy (add'l)	04/21/14	J		
110.					Buy	10/31/14	J		
111. -MFS Value FD C1 1									
112. -Microsoft Corp.					Buy	02/03/14	J		
113.					Buy (add'l)	03/25/14	J		
114.					Buy (add'l)	04/21/14	J		
115.					Buy (add'l)	06/25/14	J		
116. -Mondelez Intl									
117. -Monsanto New Corn.					Sold (part)	02/27/14	J	A	
118.					Sold (part)	02/28/14	J		
119. -Morgan Stanley					Buy (add'l)	09/08/14	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/12/14	J		
121.					Buy (add'l)	12/15/14	J		
122. -National Oilwell Varco									
123. -Priceline					Sold (part)	05/30/14	J	A	
124.					Buy (add'l)	11/07/14	J		
125.					Sold (part)	11/25/14	J	A	
126. -Qualcomm					Buy	04/23/14	J		
127.					Sold (part)	11/19/14	J		
128.					Sold	11/20/14	J		
129. -Regeneron Pharma.					Sold (part)	03/06/14	J	A	
130.					Sold (part)	03/12/14	J	A	
131.					Sold (part)	10/08/14	J	A	
132.					Sold (part)	10/31/14	J	B	
133. -Salesforce Com Inc.					Buy (add'l)	02/14/14	J		
134.					Sold (part)	06/09/14	J		
135.					Sold (part)	11/25/14	J	A	
136. -Sherwin Williams					Sold (part)	01/14/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	01/15/14	J	A	
138. -SPDR S&P Dividend ETF									
139. -Starbucks					Buy	03/19/14	J		
140.					Buy (add'l)	03/20/14	J		
141.					Sold (part)	05/09/14	J		
142. -Target									
143. -TCW Select Equities FD C1 1									
144. -Tesla Motors					Buy	12/12/14	J		
145. -TJX Cos.					Sold (part)	05/30/14	J		
146.					Buy (add'l)	10/31/14	J		
147.					Buy (add'l)	11/03/14	J		
148. -Twenty-First Cent. Fox					Sold	07/28/14	J	A	
149. -Union Pac					Buy (add'l)	04/16/14	J		
150.					Buy (add'l)	04/21/14	J		
151.					Buy (add'l)	07/30/14	J		
152. -3M Co.					Buy	05/09/14	J		
153.					Buy (add'l)	05/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/20/14	J		
155.					Buy (add'l)	05/21/14	J		
156.					Sold (part)	10/07/14	J		
157. -Verizon Com.					Buy	11/14/14	J		
158.					Buy (add'l)	11/25/14	J		
159.					Buy (add'l)	11/12/14	J		
160. -WholeFoods					Sold (part)	04/22/14	J		
161.					Sold	04/22/14	J		
162. -Zoetis Inc.					Buy	11/25/14	J		
163.					Buy (add'l)	11/26/14	J		
164.					Buy (add'l)	11/28/14	J		
165. -Alliance Data					Buy (add'l)	03/19/14	J		
166.					Sold (part)	05/20/14	J		
167.					Sold	05/21/14	J		
168. -Amphenol					Sold (part)	02/14/14	J	A	
169.					Sold (part)	02/18/14	J	A	
170.					Sold (part)	02/19/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Drain, Robert D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	11/25/14	J	A	
172.					Buy (add'l)	12/12/14	J		
173.					Buy (add'l)	12/15/14	J		
174. -Autonation					Buy	06/25/14	J		
175.					Buy (add'l)	06/26/14	J		
176.					Buy (add'l)	06/27/14	J		
177.					Sold (part)	10/06/14	J		
178.					Sold	10/07/14	J		
179. -Church & Dwight									
180. -Concur Technologies Inc.					Buy	06/18/14	J		
181.					Buy (add'l)	06/19/14	J		
182.					Buy (add'l)	06/20/14	J		
183.					Buy (add'l)	06/23/14	J		
184.					Buy (add'l)	06/24/14	J		
185.					Buy (add'l)	06/25/14	J		
186.					Buy (add'l)	06/26/14	J		
187.					Buy (add'l)	06/27/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Drain, Robert D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	11/19/14	J	C	
189. -Fireeye					Buy	09/11/14	J		
190.					Buy (add'l)	12/12/14	J		
191. -Flowserve					Sold (part)	05/30/14	J	A	
192.					Sold (part)	06/02/14	J	A	
193.					Sold (part)	06/03/14	J	A	
194.					Sold (part)	06/25/14	J	A	
195.					Sold (part)	06/26/14	J	A	
196.					Sold (part)	06/27/14	J	A	
197.					Sold (part)	11/25/14	J		
198.					Sold (part)	11/26/14	J	A	
199.					Sold	11/28/14	J	A	
200. -FMC Technologies Inc.					Buy	10/08/14	J		
201.					Buy (add'l)	10/30/14	J		
202.					Buy (add'l)	10/31/14	J		
203.					Buy (add'l)	11/19/14	J		
204.					Buy (add'l)	11/20/14	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Drain, Robert D.

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05/06/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Hunt JB Trans.					Sold (part)	03/19/14	J		
206.					Sold (part)	03/20/14	J		
207.					Sold (part)	03/21/14	J		
208.					Sold (part)	04/16/14	J		
209.					Sold	04/17/14	J	A	
210.					Buy	07/30/14	J		
211.					Buy (add'l)	07/31/14	J		
212.					Buy (add'l)	08/01/14	J		
213. -Intl Flavors & Fragrances									
214. -Leucadia Natl					Sold (part)	10/08/14	J		
215.					Sold (part)	10/09/14	J		
216.					Sold (part)	10/10/14	J		
217.					Sold (part)	10/14/14	J		
218.					Sold	10/15/14	J		
219. -Now Inc.					Buy	06/03/14	J		
220. -Owen					Sold (part)	02/04/14	J		
221.					Sold (part)	02/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Sold (part)	02/06/14	J		
223.					Sold (part)	02/07/14	J		
224.					Sold (part)	02/14/14	J		
225.					Sold	02/18/14	J		
226. -Polaris Inds.					Sold (part)	04/21/14	J		
227.					Sold (part)	06/09/14	J		
228.					Sold (part)	06/10/14	J		
229.					Buy (add'l)	12/12/14	J		
230.					Buy (add'l)	12/15/14	J		
231. -Renaissance Hldgs.									
232. -Rockwell Automation									
233. -Stericycle					Sold (part)	03/19/14	J		
234.					Sold (part)	03/20/14	J		
235.					Sold (part)	03/21/14	J		
236.					Sold (part)	03/24/14	J		
237.					Sold (part)	03/25/14	J		
238.					Sold (part)	03/26/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	03/27/14	J		
240.					Sold (part)	04/11/14	J	A	
241.					Sold (part)	04/14/14	J	A	
242.					Sold (part)	04/15/14	J		
243.					Sold (part)	04/16/14	J		
244.					Sold (part)	04/17/14	J		
245.					Sold (part)	04/21/14	J		
246.					Sold (part)	04/22/14	J		
247.					Sold	04/23/14	J		
248. -Tractor Supply					Sold (part)	04/21/14	J		
249.					Sold (part)	04/22/14	J		
250.					Sold (part)	04/23/14	J		
251.					Sold (part)	04/24/14	J		
252.					Sold (part)	04/25/14	J	A	
253.					Sold (part)	04/28/14	J	A	
254.					Sold	04/29/14	J	A	
255. -United Rentals Inc.					Buy	04/24/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	04/25/14	J		
257.					Buy (add'l)	04/28/14	J		
258.					Sold (part)	11/25/14	J	A	
259. -Vanguard Mid-Cap ETF									
260. -Enstrar Grp. Ltd.									
261. -Hexcel Corp.					Buy	08/28/14	J		
262.					Buy (add'l)	08/29/14	J		
263.					Buy (add'l)	09/02/14	J		
264.					Buy (add'l)	09/03/14	J		
265.					Buy (add'l)	09/04/14	J		
266.					Buy (add'l)	09/05/14	J		
267.					Buy (add'l)	09/08/14	J		
268.					Buy (add'l)	11/19/14	J		
269.					Buy (add'l)	11/20/14	J		
270.					Buy (add'l)	11/21/14	J		
271.					Buy (add'l)	11/24/14	J		
272. -Questcor Pharma.					Sold (part)	02/26/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

Date of Report

05/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	02/27/14	J	A	
274.					Sold (part)	02/28/14	J	A	
275.					Sold (part)	03/06/14	J	A	
276.					Sold (part)	04/17/14	J	B	
277.					Sold	04/21/14	J	A	
278. -Senomyx					Sold (part)	04/09/14	J	A	
279.					Sold (part)	04/10/14	J	A	
280.					Sold (part)	04/11/14	J	A	
281.					Sold (part)	04/14/14	J	A	
282.					Sold (part)	04/15/14	J	A	
283.					Sold (part)	01/16/14	J		
284.					Sold (part)	04/17/14	J		
285.					Sold (part)	04/21/14	J	A	
286.					Sold (part)	04/22/14	J	A	
287.					Sold (part)	04/24/14	J	A	
288.					Sold (part)	04/25/14	J	A	
289.					Sold (part)	04/28/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

Date of Report

05/06/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	04/29/14	J	A	
291.					Sold (part)	05/02/14	J	A	
292.					Sold (part)	05/09/14	J	A	
293.					Sold (part)	05/12/14	J	A	
294.					Sold (part)	05/13/14	J	A	
295.					Sold	05/14/14	J	A	
296.					Sold (part)	05/15/14	J	A	
297.					Sold (part)	05/16/14	J	A	
298.					Sold (part)	05/19/14	J	A	
299.					Sold (part)	05/20/14	J	A	
300.					Sold (part)	05/21/14	J	A	
301.					Sold (part)	05/22/14	J	A	
302.					Sold (part)	05/23/14	J	A	
303.					Sold (part)	05/27/14	J	A	
304.					Sold (part)	05/28/14	J	A	
305.					Sold (part)	05/30/14	J	A	
306.					Sold (part)	06/04/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

Date of Report

05/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	06/05/14	J	A	
308.					Sold	06/06/14	J	A	
309.					Sold (part)	06/09/14	J	A	
310.					Sold (part)	06/10/14	J	A	
311.					Sold (part)	06/11/14	J	A	
312.					Sold (part)	06/13/14	J	A	
313.					Sold (part)	06/16/14	J	A	
314.					Sold (part)	06/17/14	J	A	
315.					Sold (part)	06/20/14	J	A	
316.					Sold (part)	06/23/14	J	A	
317.					Sold (part)	06/24/14	J	A	
318.					Sold (part)	06/25/14	J	A	
319.					Sold	06/30/14	J	A	
320.					Buy (add'l)	01/27/14	J		
321.					Buy (add'l)	01/28/14	J		
322.					Buy (add'l)	02/26/14	J		
323.					Sold (part)	12/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

Date of Report

05/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324.					Sold (part)	12/15/14	J		
325.					Sold (part)	12/15/14	J		
326.					Sold (part)	12/16/14	J		
327.					Sold (part)	12/17/14	J		
328.					Sold (part)	12/18/14	J		
329.					Sold (part)	12/19/14	J		
330.					Sold (part)	12/22/14	J		
331.					Sold (part)	12/23/14	J		
332.					Sold (part)	12/24/14	J		
333.					Sold (part)	12/26/14	J		
334.					Sold (part)	12/29/14	J		
335.					Sold (part)	12/31/14	J		
336.	-Actelion Ltd.								
337.	-Alibaba				Buy	10/31/14	J		
338.					Buy (add'l)	11/14/14	J		
339.	-Anheuser Busch Inbev				Buy (add'l)	04/17/14	J		
340.	-Bombardier				Sold (part)	02/26/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

Date of Report

05/06/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	02/27/14	J		
342.					Sold	05/29/14	J		
343. -Brilliance China Automotive					Buy	04/17/14	J		
344.					Buy (add'l)	04/22/14	J		
345.					Buy (add'l)	04/23/14	J		
346.					Buy (add'l)	04/24/14	J		
347.					Buy (add'l)	04/25/14	J		
348.					Buy (add'l)	04/28/14	J		
349.					Buy (add'l)	04/29/14	J		
350.					Buy (add'l)	05/02/14	J		
351.					Buy (add'l)	05/05/14	J		
352.					Buy (add'l)	12/11/14	J		
353. -Compagnie Financiere Richemont					Sold (part)	03/05/14	J		
354.					Buy (add'l)	11/19/14	J		
355. -Core Labs.					Buy	12/11/14	J		
356.					Buy (add'l)	12/12/14	J		
357.					Buy (add'l)	12/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Dassault Systems					Buy (add'l)	01/21/14	J		
359. -Fanuc Corp.									
360. -Fast Retailing					Sold (part)	01/21/14	J		
361.					Sold (part)	01/23/14	J		
362.					Sold (part)	03/05/14	J		
363.					Sold (part)	04/17/14	J		
364.					Sold	07/25/14	J		
365. -Gemalto NV					Sold (part)	10/29/14	J		
366.					Sold	10/30/14	J		
367. -Givaudan AG									
368. -Hitachi					Buy	08/29/14	J		
369.					Buy (add'l)	11/20/14	J		
370. -Industria De Diseno Textil					Sold	08/28/14	J	A	
371. -Japan Exchanged Grp.					Buy (add'l)	01/22/14	J		
372.					Sold (part)	01/23/14	J		
373.					Sold (part)	01/28/14	J		
374.					Sold (part)	02/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000				
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	03/04/14	J		
376.					Sold (part)	03/05/14	J		
377.					Sold (part)	03/07/14	J	A	
378.					Sold (part)	03/18/14	J	A	
379.					Sold (part)	04/10/14	J	A	
380.					Sold	04/11/14	J	A	
381. -Jardine Strat. Hldgs. Lt.					Buy (add'l)	01/23/14	J		
382. -JD.Com Inc. ADR					Buy	10/08/14	J		
383.					Buy (add'l)	10/09/14	J		
384.					Buy (add'l)	10/10/14	J		
385.					Buy (add'l)	10/30/14	J		
386.					Buy (add'l)	10/31/14	J		
387. -Keyence Corp.					Sold (part)	10/10/14	J	A	
388. -Kuka AG					Buy (add'l)	01/17/14	J		
389. -LyondellBasell									
390. -Nidec Corp.					Sold (part)	10/09/14	J	A	
391.					Sold (part)	10/10/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -Noble Grp. Ltd.									
393. -Novo-Nordisk ADR					Sold (part)	10/08/14	J	A	
394. -Novozymes									
395. -NXP Semiconductors					Buy	11/20/14	J		
396.					Buy (add'l)	11/21/14	J		
397. -Onex					Sold (part)	10/08/14	J	A	
398. -Rakuten Inc. Tokyo					Sold (part)	08/29/14	J		
399.					Sold (part)	09/02/14	J		
400.					Sold (part)	09/03/14	J	A	
401.					Sold (part)	09/04/14	J	A	
402.					Sold	09/08/14	J	A	
403. -RHJ Intl					Sold (part)	01/08/14	J	A	
404.					Sold (part)	01/10/14	J	A	
405.					Sold (part)	01/15/14	J	A	
406.					Sold (part)	01/16/14	J		
407.					Sold	01/17/14	J		
408. -Roch Hlds					Sold (part)	02/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Robert D.

Date of Report

05/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	10/08/14	J	A	
410. -Rolls-Royce Hldgs					Sold (part)	03/03/14	J		
411.					Buy (add'l)	04/23/14	J		
412.					Buy (add'l)	05/06/14	J		
413.					Sold (part)	07/08/14	J		
414.					Sold (part)	11/19/14	J		
415.					Sold (part)	11/20/14	J		
416.					Sold (part)	11/21/14	J		
417. -Salvatore Farragamo Italia									
418. -Shinsei Bk Ltd.					Sold (part)	06/19/14	J		
419.					Sold (part)	06/20/14	J		
420.					Sold (part)	06/23/14	J		
421.					Sold (part)	06/24/14	J		
422.					Sold (part)	06/25/14	J		
423.					Sold (part)	06/26/14	J		
424.					Sold (part)	06/27/14	J		
425.					Sold	06/30/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426. -Softbank					Buy (add'l)	11/20/14	J		
427. -Swatch Grp.					Sold	06/05/14	J		
428. -Valeant Pharma. Intl.					Sold (part)	03/06/14	J	A	
429.					Sold (part)	03/12/14	J	A	
430.					Sold (part)	04/21/14	J	A	
431.					Sold (part)	05/30/14	J	A	
432.					Sold (part)	06/25/14	J	A	
433. -Wynn Macau Ltd.					Buy	10/08/14	J		
434.					Buy (add'l)	10/09/14	J		
435.					Buy (add'l)	10/10/14	J		
436.					Buy (add'l)	10/30/14	J		
437.					Buy (add'l)	10/31/14	J		
438. -Ishares MSCI Emerging MKTS Index FD					Sold	02/03/14	L	B	
439. -NY NY Go Ref BDS									
440. -Babylon NY Pub Impt BDS									
441. -North Hempstead NY Pub Impt BDS									
442. -Port Auth NY & NJ CONS BDS OID 2021									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. -NY St Dorm Auth St. Pers BDs 2022									
444. -BofA Tax Exempt Reserves					Buy (add'l)	01/15/14	J		
445.					Buy (add'l)	02/03/14	L		
446.					Sold (part)	02/10/14	J		
447.					Buy (add'l)	02/14/14	J		
448.					Sold (part)	02/24/14	K		
449.					Sold (part)	03/12/14	J		
450.					Buy (add'l)	04/01/14	J		
451.					Buy (add'l)	04/14/14	J		
452.					Sold (part)	04/16/14	J		
453.					Sold (part)	04/17/14	K		
454.					Sold (part)	04/24/14	K		
455.					Buy (add'l)	04/25/14	J		
456.					Buy (add'l)	04/29/14	J		
457.					Sold (part)	05/23/14	K		
458.					Buy (add'l)	05/30/14	J		
459.					Buy (add'l)	06/02/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold (part)	06/03/14	J		
461.					Sold (part)	06/04/14	J		
462.					Sold (part)	06/05/14	J		
463.					Buy (add'l)	06/09/14	J		
464.					Sold (part)	06/16/14	J		
465.					Buy (add'l)	06/23/14	K		
466.					Buy (add'l)	07/22/14	J		
467.					Buy (add'l)	07/25/14	J		
468.					Sold (part)	07/31/14	J		
469.					Sold (part)	08/08/14	K		
470.					Sold (part)	08/29/14	J		
471.					Buy (add'l)	09/02/14	J		
472.					Sold (part)	09/08/14	J		
473.					Buy (add'l)	10/07/14	J		
474.					Sold (part)	10/08/14	J		
475.					Sold (part)	10/09/14	J		
476.					Sold (part)	10/10/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477.					Buy (add'l)	10/29/14	J		
478.					Buy (add'l)	10/31/14	J		
479.					Sold (part)	11/10/14	J		
480.					Sold (part)	11/14/14	J		
481.					Buy	12/01/14	J		
482.					Sold (part)	12/11/14	J		
483.					Buy (add'l)	12/11/14	J		
484.					Buy (add'l)	12/15/14	J		
485.					Buy (add'l)	12/17/14	J		
486.					Buy (add'l)	12/31/14	J		
487. US Trust managed IRA Rollover Account (no control)	B	Int./Div.	P1	T					See note in part VIII
488. -BofA Money Market Savings					Sold (part)	01/06/14	M		
489.					Sold (part)	01/08/14	L		
490. -Aston Montag & Caldwell Growth Fd					Redeemed	01/06/14	K	B	
491. -Col. Dividend Income Fd					Redeemed (part)	01/06/14	M	E	
492.					Redeemed (part)	03/03/14	J	A	
493. -Col Select Large Cap Growth Fd C1 Z									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. -MFS Value Fd C1 1					Buy	01/06/14	K		
495.					Redeemed (part)	03/03/14	J	A	
496.					Redeemed (part)	07/01/14	J	A	
497. -TCW Select Equities Fd					Redeemed (part)	01/06/14	K	D	
498.					Buy (add'l)	03/03/14	K		
499.					Redeemed (part)	07/01/14	J		
500. -Baird Midcap Fd					Buy	03/03/14	K		
501.					Buy (add'l)	07/01/14	J		
502. -Goldman Sachs Growth Op. Fd C1 1					Buy	01/06/14	K		
503.					Redeemed	03/03/14	K	A	
504. -John Hancock FDS III Disciplined Value Mid Cap Fd					Buy	01/06/14	K		
505.					Redeemed	03/03/14	K	A	
506. -iShares Core S&P Mid Cap ETF					Buy	01/08/14	K		
507.					Redeemed (part)	03/05/14	J	A	
508.					Redeemed (part)	07/03/14	J	A	
509. -Ridgeworth Mid Cap Value Equity Fd					Buy	03/03/14	K		
510.					Redeemed (part)	07/01/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. -iShares Russell 2000 ETF					Buy	01/08/14	K		
512. -Touchstone Small Cap Growth Fd					Buy	03/03/14	J		
513.					Buy (add'l)	03/03/14	J		
514. -Undiscovered Managers Behavioral Value Fd					Buy	03/03/14	J		
515. -Kalmar Growth Small Cap Fd					Buy	01/06/14	J		
516.					Redeemed	03/03/14	J		
517. -Victory Small Co. Oppty Fd					Buy	01/06/14	J		
518.					Redeemed	03/03/14	J	A	
519. -Invesco Intl Growth Fd					Buy	08/14/14	K		
520. -John Hancock FDS III Intl Growth Fd					Buy	01/06/14	K		
521.					Redeemed (part)	03/03/14	J	A	
522.					Redeemed (part)	07/07/14	J	A	
523.					Redeemed	08/14/14	K	A	
524. -JP Morgan Intl Value Fd					Buy	01/06/14	K		
525.					Buy (add'l)	03/03/14	J		
526.					Redeemed (part)	07/01/14	J	A	
527. -Vanguard FTSE Developed Mkts ETF					Buy	01/08/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528.					Redeemed (part)	07/03/14	J	A	
529. -Walmart Stores									
530. -Bank of NY Med. Term. Sr. Nt.									
531. -Berkshire Hathaway Fin Corp. Gtd. Sr. Nt.									
532. -Col Income Opp. Fd CI Z									
533. -Fidelity Advisor Floating Rt. High Income Fd.									
534. -Col. Emerging Markets Fd					Buy	01/06/14	K		
535.					Buy (add'l)	03/03/14	K		
536.					Buy (add'l)	07/01/14	J		
537. -Vanguard FTSE Emerging Mkts ETF					Buy	01/08/14	K		
538.					Redeemed	03/05/14	K		
539. -Goldman Sachs Absolute Return Tracker Fd					Buy	01/06/14	K		
540.					Redeemed (part)	03/03/14	J	A	
541. -Goldman Sachs Strategic Income Fd					Buy	07/01/14	J		
542.					Buy (add'l)	03/03/14	K		
543.					Buy (add'l)	07/01/14	J		
544. -Hatteras Alpha Hedged Strategies Fd					Buy	01/06/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Buy (add'l)	07/07/14	J		
546. -Pimco Global Multi-Asset Fd					Buy	01/06/14	K		
547.					Redeemed	03/03/14	K	A	
548. -Prudential Global Real Estate Fd C1 Z					Buy	01/06/14	K		
549.					Redeemed (part)	07/01/14	J	A	
550. -Credit Suisse Commodity-Return Fd					Buy	01/06/14	K		
551.					Redeemed (part)	03/03/14	J	A	
552.					Buy (add'l)	07/01/14	K		
553. FifthThird Bancorp common	A	Dividend	J	T					
554. US Trust IRA (no control)	C	Dividend	N	T					See note in part VIII
555. -BofA Money Market									
556. -SPDR S&P Div. ETF									
557. -SPDR S&P 500 ETF									
558. -Vanguard Mid-Cap ETF									
559. -Vanguard Small-Cap ETF									
560. -US Treas Strips									
561. NY 529 savings program #1 - Moderate-Age Based Option Income Portfolio		None	L	T	Buy (add'l)	12/26/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. NY 529 savings program #2 - Moderate-Age Based Option Income Portfolio		None	L	T					
563. NY 529 savings program #3 - Moderate-Age Based Option Income Portfolio		None	M	T	Buy (add'l)	01/07/14	J		
564.					Distributed (part)	12/11/14	K		
565.					Distributed (part)	12/26/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, lines 6-486, columns B and C. The U.S. Trust-managed account described on line 6 is held and managed in trust by U.S. Trust as a fiduciary for [REDACTED] me. It is not a personal brokerage or financial management account. We have no discretion with respect to any of the individual assets in the account, which are listed in lines 7-486. Instead, U.S. Trust makes each investment, buy or sell decision with respect to the individual assets in the account. We are able only to increase or decrease the aggregate investment in the account (in addition to periodically being able to change risk categories). I therefore reported the assets in the account in accordance with the method for reporting trust assets in the filing instructions.
2. Part VII, lines 487-553, columns B and C. The U.S. Trust-managed IRA rollover account described in line 487 is held and managed in trust by U.S. Trust as a fiduciary for me with respect to the rollover of my former pension and 401(k) accounts. As with the account described on line 6 of Part VII and above, I have no discretion with respect to any of the individual assets in the account, which are listed in lines 488-553, with the exception of periodically being able to change risk categories. I therefore reported the assets in the account in accordance with the method for reporting trust assets in the filing instructions.
3. Part VII, lines 554-561, columns B and C. The U.S. Trust-managed IRA rollover account described in line 554 is held and managed by U.S. Trust as a fiduciary for [REDACTED] with respect to the rollover of her former 401(k) account. As with the accounts described in lines 6 and 488 of Part VII and above, [REDACTED] has no discretion with respect to any of the individual assets in the account, which are listed in lines 555-561, with the exception of periodically being able to change risk categories. I therefore reported the assets in the account in accordance with the method for reporting trust assets in the filing instructions.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert D. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544