

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Urbanski, Michael F.	2. Court or Organization Virginia - Western District	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 210 Franklin Road, SW, Room 350 Roanoke, VA 24011-2208		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	- part-time (wages)
2. 2017	Gardener - self-employed (occasional)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Virginia CLE	01/09/17-01/12/17	Charlottesville, VA	National Trial Advocacy College (teaching/mock trial judging)	Meals, Lodging
2. William and Mary Law School	02/17/2017-02/19/17	Williamsburg, VA	Moot court competition (judging)	Meals
3. Virginia CLE	12/7/17	Staunton, VA	CLE Seminar (teaching)	Mileage
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (accounts)	A	Interest	M	T					
2. Parkway Acquisition Corp. PKKW:US - Common Stock	A	Dividend	K	T					
3. MetLife Policyholder Trust	A	Dividend	J	T					
4. Brighthouse Financial BHFC		None	J	T	Spinoff (from line 3)	08/04/17	J		
5. Real Estate/ Carroll Co., VA		None	L	S					
6. Northwestern Mutual - Ordinary Life	B	Dividend	K	T					
7. Northwestern Mutual - Custom CompLife	B	Dividend	L	T					
8. Northwestern Mutual - Term to Age 65	B	Dividend	K	T					
9. Northwestern Mutual - Whole Life	B	Dividend	K	T					
10. AXA Group IRA Equivest 100 Series (variable annuity) (H)									
11. AXA Equitable IRA/Multimanager Aggressive Equity Fund	B	Dividend	J	T					
12. Trust #1 (H)									
13. USAA Subscriber's Account	A	Distribution	J	T					
14. USAA Life Ins. Co. Annuity (fixed)	D	Interest	N	T					
15. USAA Life Ins. Co. Annuity (fixed)	A	Interest	J	T					
16. USAA Life Ins. Co. Annuity (fixed)	A	Interest	K	T	Sold (part)	07/28/17	J	B	
17. 1st Advantage Federal Credit Union - Accounts	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. American General Life Insurance - Whole Life	A	Interest	J	T					
19. Wells Fargo Advisors Cash Account	A	Interest	J	T					
20. Invesco High Yield Mun. Fd CL Y (ACTDX)	B	Dividend	K	T					
21. API Funds, API Multi-Asset Income Fund (APIIX)	B	Dividend	K	T					
22. Invt Mngrs Ctr Coast Ins (CCCNX)	A	Distribution			Sold	06/26/17	J		
23. Delaware Grp Global & Intl Funds (DEMIX)	A	Dividend	K	T	Buy	01/05/17	K		
24. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T	Buy	03/27/17	J		
25. Federated Equity Funds, Strt Flu Instl (SVAIX)	B	Dividend	K	T	Sold (part)	01/03/17	J	A	
26.					Sold (part)	03/27/17	J	A	
27.					Sold (part)	12/08/17	J	A	
28. Janus Invt Fd. Henderson Global Equity Income CL I (HFQIX)	B	Dividend	K	T	Sold (part)	01/03/17	J		
29.					Sold (part)	03/27/17	J		
30.					Sold (part)	09/25/17	J		
31. Legg Mason Clrbdr Agg I (SAGYX)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
32.					Sold (part)	03/27/17	J	A	
33.					Sold (part)	12/08/17	J	A	
34. T. Rowe Price Real Estate Fund (TRREX)	A	Dividend	J	T	Sold (part)	01/04/17	J		

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35. Touchstone Mid Cap Inst. (TMCPX)	A	Dividend	K	T	Sold (part)	01/03/17	J	B	
36.					Sold (part)	03/27/17	J	A	
37.					Sold (part)	09/25/17	J	B	
38.					Sold (part)	12/08/17	J	B	
39. Undiscovered Mgrs Beh Value I (UBVLX)	A	Dividend	K	T	Sold (part)	03/27/17	J	A	
40. Trust #1 - CUNA Mutual Members Variable Annuity (H)									
41. Ultra Series Fund Large Cap Growth		None	J	T					
42. Ultra Series Fund Large Cap Value		None	J	T					
43. Ultra Series Fund Diversified Income		None	J	T					
44. Vanguard VIF Money Market		None	K	T					
45. CUNA 1-Year Guaranteed Return	C	Interest	M	T					
46. CUNA 7-Year Guaranteed Return	B	Interest	K	T					
47. Ultra Series Fund Mid Cap		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 5: One-half interest in real estate in Carroll County, Virginia. The assessed value of the entire parcel is \$163,300.

Part VII, line 8: This policy includes a cash value.

Part VII, lines 10 and 11: The full name of this variable annuity is now listed as a header on line 10, with the underlying investment listed on line 11; collectively, these entries correspond with line 7 of the 2016 report.

Part VII, lines 21, 25, and 28: Name changes; see 2016, lines 35, 20, and 36, respectively.

Part VII, lines 41-47: Income on the assets held in the CUNA Mutual Members Variable Annuity (apart from the fixed portion at lines 45 and 46) is not reported by CUNA Mutual.

Part VII, lines 45 and 46: These items are now listed separately and by proper names to more fully identify the holdings; collectively, these entries correspond with line 25 of the 2016 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael F. Urbanski**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544