

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mummert III, Thomas C.	2. Court or Organization U.S. District Court, EDMO	3. Date of Report 06/25/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 111 South 10th Street, 13 North St. Louis, Missouri 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Mummert III, Thomas C.

Date of Report

06/25/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Mummert III, Thomas C.

Date of Report

06/25/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Mummert III, Thomas C.

Date of Report

06/25/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Walmart Common Stock	B	Dividend	L	T					
2. ATT Common Stock (formerly SBC)	A	Dividend	J	T					
3. Southern Commercial Bank Acct.	A	Interest	M	T					
4. IFUE Common Stock		None	J	T					
5. State of MO Deferred Compensation	C	Interest	M	T					
6. --ing stable fund					Redeemed	01/09/14	M		
7. --Stable Value Cash Management					Open	01/09/14	L		
8. --Balanced Asset Allocation					Open	01/09/14	L		
9. Time Warner, Inc.	A	Dividend	J	T					
10. American Funds, American Mutual, A	B	Dividend	M	T					
11.									
12. Ameren (AEE)	B	Dividend	K	T					
13. U.S. Savings Bonds (HH)	A	Interest	L	T					
14.									
15. Commerce Bank	A	Interest	M	T					
16. Ameriprise Money Market Account	A	Interest	L	T					
17. Lindbergh Schools	A	Interest	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
	U ~Book Value	V ~Other	W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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Mummert III, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. St. Peters, MO (O Coupon)	A	Interest	K	T					
19. Anheuser Busch Credit Union	A	Interest			Closed	08/21/14	L	A	
20.									
21. First Community Credit Union	A	Dividend	J	T					
22. Regions Bank	A	Interest	M	T					
23. Nuveen Build America Fund	D	Interest	M	T					
24. Proctor & Gamble Co.	A	Dividend	J	T					
25. Wells Fargo & Co.	A	Dividend	J	T					
26. Apple, Inc.	A	Dividend	J	T	Buy	07/09/14	J		
27. Bank of America	A	Dividend	J	T					
28. PNC Invesco	B	Dividend	K	T					
29. Eagle Bank	B	Interest	N	T					
30. Wells Fargo Bank NA	A	Interest	K	T					
31. Nuveen Dividend Adv.	A	Dividend	J	T					
32.									
33.									
34. Jefferson County MO Cons	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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Mummert III, Thomas C.

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06/25/2015

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35. Duke Energy Corp.	A	Dividend	J	T					
36. Edison Intl.	A	Dividend	J	T					
37. Laclede Group	A	Dividend	K	T					
38. Pulaski Financial Corp.	A	Dividend	J	T					
39. Nuveen Municipal Value Fund	A	Dividend	J	T					
40. Belton MO COP RFDG	A	Interest	J	T					
41. Boone Cnty MO Hosp. Rev.	A	Interest			Redeemed	08/01/14	J		
42. Martins Ferry Ohio City School Refunding	A	Interest	J	T	Buy	01/28/14	J		
43.									
44.									
45.									
46.									
47. Independence MO School District	A	Interest	J	T					
48. Johnson Cnty MO Hosp.	A	Interest			Redeemed	06/01/14	J		
49.									
50.									
51. Lincoln Cnty MO Reorg. School District	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Mummert III, Thomas C.

Date of Report

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52. MO St. Dev. Fin. Brd. Edl.	A	Interest			Redeemed	10/01/14	J		
53.									
54.									
55.									
56.									
57. NIXA MO Reorg. School District	A	Interest	J	T					
58.									
59.									
60.									
61. Southeast MO State Univ.	A	Interest			Redeemed	04/01/14	J		
62. Springfield MO Public Utility	C	Interest	L	T					
63. St. Louis MO SPL, BOE, Pub. Saf. RFDG	B	Interest	K	T					
64.									
65.									
66. Vernon Cnty. MO Reorg. School District	A	Interest			Redeemed	03/01/14	J		
67.									
68. Washington MO COP Mun Compl.	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Mummert III, Thomas C.

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69.									
70.									
71. Wildwood MO COP	B	Interest	K	T					
72. Edward Jones Money Market	A	Interest	L	T					
73. Spectra Energy	A	Dividend	J	T					
74. Moberly Missouri School District	A	Interest	J	T					
75. J.P. Morgan Core Bond Fund	A	Interest	J	T					
76. Nuveen All American Municipal Bond Fund	A	Interest	J	T					
77. Nuveen High Yield Municipal Bond Fund	A	Interest	J	T					
78. Loomis Sayles Income Fund	A	Interest	J	T					
79. Columbia Missouri Sewer Rev. System	A	Interest	K	T					
80. Franklin County Missouri CTFS	A	Interest	K	T					
81. Missouri JT Municipal Electric Utility MOPEP	A	Interest	K	T					
82. Missouri Western State University	A	Interest	K	T					
83. Columbia MO Special Electric Utility	A	Interest	K	T					
84. MO State Public Buildings Revenue	A	Interest	J	T					
85. Southeast MO State System Facilities	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Mummert III, Thomas C.

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06/25/2015

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86. O'Fallon MO Special Obligation Revenue	A	Interest	J	T					
87. Spartanburg SC Sewer District	A	Interest	J	T					
88. Wisconsin State General Obligation	A	Interest	K	T					
89.									
90.									
91.									
92.									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting	Date of Report
Mummert III, Thomas C.	06/25/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This amendment is a result of Judge Fenner's letter of June 16, 2015, regarding Section VII, Item 5. On January 9, 2015, the ING Stable Fund was redeemed and in its place the Stable Value Case Management and Balanced Asset Allocation accounts were gained.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Mummert III, Thomas C.

Date of Report

06/25/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas C. Mummert III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544