

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Richard B.	2. Court or Organization UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO	3. Date of Report 11/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address US Courthouse 901 19th St Denver CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	PERA STATE OF COLORADO	\$72,965.64
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	SELF-EMPLOYED TRAVEL AGENT
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -VANGUARD VALUE VIPERS	B	Dividend	L	T					
3. -DREYFUS INST BASIC S AND P 500	A	Dividend	L	T					
4. -VANGUARD EXTENDED MKT VIPERS	A	Dividend	K	T					
5. -VANGUARD GROWTH VIPERS	A	Dividend	L	T					
6. -BNY MELLON MUNICIPAL OPPORTUNITIES FUND	A	Dividend	K	T	Buy (add'l)	10/02/18	J		
7. -BNYMELLON NATIONAL INTERMEDIATE MUNICIPAL BOND FUND	B	Dividend	M	T	Buy (add'l)	01/18/18	K		
8. -BNY MELLON NATL SHORT TERM MUN BOND FUND	A	Dividend	K	T	Buy	06/26/18	K		
9. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T					
10. -DREYFUS FLOATING RATE INC	A	Dividend	J	T					
11. -DREYFUS GLOBAL REAL RETURN	A	Dividend	J	T					
12. -DREYFUS GLOBAL REAL ESTATE FUND	A	Dividend	J	T	Buy	01/05/18	J		
13. -DREYFUS DIVERSIFIED EMR MKTS	A	Dividend	K	T					
14. -DREYFUS MIDCAP INDEX FUND	A	Dividend			Sold	01/05/18	K	A	
15. -ISHARES MSCI EAFE INDEX FD	A	Dividend	L	T					
16. -ISHARES TR S AND P MIDCAP 400	A	Dividend	K	T	Buy	12/31/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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17. -ISHARES TR S AND P SMALLCAP 600	A	Dividend	K	T	Buy	12/31/18	K		
18. -ADVANTAGE GLO DYNAMIC TOTAL RETURN FUND		None	K	T	Buy (add'l)	10/02/18	K		
19. -US TREASURY NOTE 0.875% 1/15/18	A	Interest			Sold	01/16/18	K	A	
20. BROKERAGE ACCOUNT #1									
21. -FED HOME LN MTG CORP	A	Dividend	L	T					
22. -FEDERAL HOME LNMTG CORP	A	Dividend	K	T					
23. -US TREASURY NOTE 2% 11/21	A	Interest	L	T					
24. -U.S.TREASURY NOTE 2.25% 4/30/21	B	Interest	L	T					
25. -U.S.TREASURY NOTE 2% 2/15/22	A	Interest	L	T					
26. -U.S.TREASURY NOTE 2% 7/31/20	A	Interest	L	T					
27. -US TREASURY NOTE 2% 2/23	A	Interest	K	T					
28. -BERKSHIRE HATHAWAY INC 2/11/23	A	Interest	K	T					
29. -INTEL CORP 12/15/22	A	Interest	K	T					
30. -AT & T INC 2/1/2018	A	Interest			Sold	02/01/18	K	A	
31. -ISHARES TR MIDCAP	B	Dividend	L	T					
32. -ISHARES MSCI EAFE INDX FUND	D	Dividend	M	T	Sold (part)	08/08/18	K	E	
33. -FED HOME LN MTG CORP	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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34. -FED NAT MTG ASSN	A	Dividend			Sold	02/08/18	K	A	
35. -ADVANTAGE DYNA TOTAL RET FUND	A	Dividend	L	T	Buy (add'l)	08/30/18	L		
36. -BEAR STEARRNS CO 4.65% 7/2/18	A	Interest			Sold	07/02/18	K	A	
37. -DFA EMERGING CORE EQUITY	A	Dividend	L	T					
38. -DREYFUS INST BASIC S AND P 500 STOCK INDEX	D	Dividend	N	T					
39. -DREYFUS DIVERSIFIED INTL	A	Dividend	L	T					
40. -DREYFUS HIGH YIELD I	B	Dividend	L	T					
41. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	L	T					
42. -DREYFUS GLOBAL DYN BOND INCOME	C	Dividend	L	T	Buy	08/30/18	L		
43. -DREYFUS GLOBAL REAL EST SECUR FUND	A	Dividend	K	T	Buy	08/30/18	K		
44. -DREYFUS INTL SMALL CAP FUND	A	Dividend	K	T	Buy	08/30/18	K		
45. -DREYFUS SELECT MGR SCAP	A	Dividend	L	T					
46. -DREYFUS SELECT MGR SMALL CAP GROWTH	A	Dividend	K	T					
47. -BNY MELLON INCOME STOCK FUND	C	Dividend	L	T					
48. -BNY MELLON CORP BOND	B	Dividend	M	T					
49. -BNY MELLON BOND FUND	B	Dividend	N	T	Buy	07/05/18	M		
50. -BNY MELLONINTL EQUITY INCOME FUND	A	Dividend			Sold	08/30/18	K	D	

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51. -ANHEUSER BUSCH 25000 .2.5% 7/15/22	A	Dividend	K	T					
52. -ASTRAZENECA PLC 9/18/2019	A	Interest	K	T					
53. -BLACKROCKK INC 3.5% 3/24	A	Interest	K	T					
54. -CATERPILLAR INC 2.6% 6/22	A	Interest	K	T					
55. -PEPSICO INC 3.125% 11/20	A	Interest	K	T					
56. -VERIZON COMM INCL 3.55 11/21	A	Interest			Sold	06/21/18	K	A	
57. -WELLS FARGO & CO 3/4/21	A	Interest	L	T					
58. 401(k) #1									
59. -PERAdvantage Income Fund	B	Dividend	K	T					
60. -PERAdvantage Fixed Income	B	Dividend	K	T					
61. -PERAdvantage US Large Cap Stk	B	Dividend	J	T					
62. -PERAdvantage Intl Stock Fd	B	Dividend	J	T					
63. BROKERAGE ACCOUNT #2									
64. --ALAMEDA CNTYH CA TRANSP AUTHO 3/1/205%	A	Interest	L	T					
65. -ADVANTAGE DYN TOTAL REFTURN	A	Dividend	L	T					
66. -AMERICAN TOWER CORP	A	Dividend	J	T					
67. -BOULDER VALLEY SCH DIS 4% 12/1/26	B	Interest	L	T	Buy	01/10/18	L		

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68. -CHEVRON CORP	B	Dividend	K	T					
69. -EXXON MOBIL	C	Dividend	L	T					
70. -UNION PAC CORP	A	Dividend	K	T					
71. -WALT DISNEY CO	A	Dividend	J	T					
72. -STARBUCKS CORP	C	Dividend	N	T					
73. -NESTLE S A SPONSORED ADR REPSTG REG	A	Dividend	L	T					
74. -PEPSICO INC	A	Dividend	K	T					
75. -PROCTER & GAMBLE CO	B	Dividend	K	T					
76. -JOHNSON & JOHNSON	B	Dividend	K	T					
77. -PFIZER INC	A	Dividend	J	T					
78. -INVESCO LTD	A	Dividend			Sold	10/19/18	J	A	
79. -JP MORGAN CHASE & CO	A	Dividend	K	T					
80. -ACCENTURE PLC	A	Dividend	J	T					
81. -APPLE INC	A	Dividend	K	T					
82. -BROADCOM INC	B	Dividend	K	T	Buy	03/12/18	K		
83. -CORNING	A	Dividend	K	T					
84. -DEERE AND CO	A	Dividend	K	T					

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85. -EXACT SCIENCES CORP	A	Dividend	J	T	Buy	03/12/18	J		
86. -GILEAD SCIENCES	A	Dividend	J	T	Buy	06/12/18	J		
87. -GOOGLE INC/ALPHABET	A	Dividend	J	T					
88. -HARTFORD FIN SERVICES GR	A	Dividend			Sold	11/07/18	K	B	
89. -SALESFORCE.COM INC	A	Dividend	J	T					
90. -ISHARES MSCI EAFE INDEX FD	C	Dividend	M	T					
91. -ISHARES MSCI EMERGING MKTS INDEX	B	Dividend	L	T					
92. -VANGUARD EXTENDED MARKET VIPERS INDEX FUND	A	Dividend	L	T	Sold (part)	07/02/18	K	E	
93. -EL PASO CTY CO REV	A	Interest			Sold	02/01/18	K	A	
94. -BNY MELLON MUNICIPAL OPPORTUNITIES	B	Dividend	M	T					
95. -TUALATIN HILL OR 6.21	B	Interest	L	T					
96. -PROVO UTAH 4% 1/20	B	Interest	L	T					
97. -BEACHWOOD OHIO 12/19	B	Interest	L	T					
98. -VERIZON COMMUNICATIONS	A	Dividend			Sold	03/12/18	J	C	
99. -ALIGN TECHNOLOGY INC	A	Dividend	J	T					
100. -AMAZON .COM INC	A	Dividend	J	T					
101. -INTERCONTINENTAL EXCHANGE	A	Dividend	K	T					

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102. -ADOBE SYSTEMS INC	A	Dividend	J	T					
103. -CELANESE CORP	A	Dividend	J	T					
104. -DOMINION RES INC	A	Dividend			Sold	03/12/18	J	A	
105. -DOUGLAS CNT CO SCH 5/25% 12/15/21	A	Interest	L	T					
106. -DREYFUS MIDCAP INDEX FUND	A	Dividend			Sold	12/31/18	L	A	
107. -EL PASO CNTY CO SCH 5%9/15/24	A	Interest	L	T					
108. -EQUINIX INC	A	Dividend	J	T	Buy	03/12/18	J		
109. -EXELON CORP	A	Dividend	J	T					
110. -LAM RESH CORP	A	Dividend	K	T	Buy	03/12/18	K		
111. -MANSFIELD TX WTR SWR REVN 9/1/19	A	Interest	L	T					
112. -MEDTRONIC	A	Dividend	J	T	Buy	06/12/18	J		
113. -MICROSOFT CORP	A	Dividend	J	T					
114. -NUCOR CORP	A	Dividend	K	T					
115. -PLATTE RIVER CO PWER 5% 6/1/24	A	Interest	K	T					
116. -TAIWAN SEMICONDUCTOR MFG	A	Dividend			Sold	06/13/18	J	C	
117. -UNIV OF CO ENTERPRISE 5% 6/1/22	A	Dividend	L	T	Buy	03/19/18	L		
118. -VISA INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

11/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. -CHARLES SCHWAB CORP	A	Dividend	J	T					
120. -EAGLE GARFIELD 4.0% 12/22	A	Interest	L	T					
121. -ENGLEWOOD CO 5% 12/1/24	B	Interest	L	T	Buy	03/26/18	L		
122. -FACEBOOK INC	A	Dividend	J	T					
123. -DENVER CITY & CTY CO SCH 12/19/2021 4%	B	Interest	L	T					
124. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	L	T					
125. -DREYFUS INTL SMALL CAP FUND	A	Dividend			Sold	12/31/18	K	A	
126. -ACTAVIS PLC/ALLERGAN	A	Dividend	L	T					
127. -AVAGO TECH	A	Dividend			Sold	06/11/18	K	A	
128. -ABBOTT LABS	A	Dividend	J	T					
129. -DREYFUS HIGH YIELD FD	A	Dividend	K	T					
130. -DREYFUS SMALL CAP STOCK INDEX	A	Dividend			Sold	12/31/18	K	C	
131. -EATON CORP	A	Dividend			Sold	12/31/18	J	A	
132. IRA #2									
133. -BNY MELLON BOND FUND	B	Dividend	L	T					
134. -BNY MELLON INTERM BOND FUND CLASS M	B	Dividend	L	T					
135. -BNY MELLON SHORT TERM US GOVERNMENT SEC FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

11/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -DREYFUS YIELD ENHANCEMENT STRATEGY FUND	A	Dividend	K	T	Buy (add'l)	04/03/18	J		
137. -ADVANTAGE DYNAMIC TOTAL RETURN	A	Dividend	J	T					
138. -BNY MELLON EMERGING MKT FUND	A	Dividend	J	T					
139. -DREYFUS DIVERSIFIED INTERNATIONAL FUND	A	Dividend	K	T					
140. -DREYFUS INST BASIC S AND P 500 STOCK INDEX FD	B	Dividend	L	T					
141. -DREYFUS INFLATION ADJUST SECURITY FUND	A	Dividend			Sold	11/30/18	J	A	
142. -DREYFUS MIDCAP INDEX	A	Dividend	K	T					
143. -DREYFUS SELECT MANAGER SC	A	Dividend	J	T					
144. BROKERAGE ACCOUNT #3									
145. -DREYFUS LARGE FUND GROWTH FUND CLASS I	A	Dividend	K	T					
146. BROKERAGE ACCOUNT #4									
147. -CIT GROUP INC NEW	A	Dividend	J	T					
148. -DIVERSIFIED REAL ASSET INCOME FUND	A	Dividend	J	T					
149. -GABELLI DIVIDEND & INCOME TRUST	A	Dividend	J	T					
150. -AIM INV INVESCO VAN KAMPEN CORP BOND FD.	B	Dividend	K	T					
151. -AIM INV INVESTCO VAN KAMPEN HIGH YD FD CLASS A	A	Dividend	K	T					
152. -PLUM CREEK TIMBER CO INC/ Weyerhaeuser CO	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -WELLSFARGO WEALTH WELLS FARGO WEALTH BUILDER MODERATE BALANCE FUND	A	Dividend	K	T					
154. -WELLS FARGO BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
155. TRUST #1									
156. -ADVANTAGE DYNAMIC TOTAL RET FUND	A	Dividend	J	T	Buy	12/31/18	J		
157. -SPDR S AND P 500 FD	A	Dividend	K	T					
158. -I-SHARES MSCI EMERGING MKTS FD	A	Dividend	J	T					
159. -BNY MELLON NATL INTER MUN BD FUND	A	Dividend	K	T					
160. -BNY MELLON NAT S/T MIN BD FUND	A	Dividend			Sold	11/30/18	J	A	
161. -BNY MELLON SMALL CAP MULTI STRATEGY	A	Dividend	J	T					
162. -BNY MELLON MUN OPPORTUNITY FUND	A	Dividend	J	T	Buy	11/30/18	J		
163. -DREYFUS HIGH YIELD FD	A	Dividend	J	T					
164. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	J	T					
165. -DREYFUS BNY MEL ALTERNATIVE DIVERSIFIER STRAT FUND	A	Dividend			Sold	03/16/18	J	A	
166. -DFA EMERGING MARKETS CORE EQUITY	D	Dividend	J	T					
167. TRUST #2									
168. -ADVANTAGE DYNAMIC TOTAL RET FUND	A	Dividend	K	T	Buy	12/31/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. -SPDR S&P500ETF(fnaSPDR TRust series I)	B	Dividend	L	T					
170. -ISHARES MSCI EAFE INDX FD	A	Dividend	K	T					
171. -VANGUARD EXTENDED MTK VIPERS	A	Dividend			Sold	06/13/18	J	D	
172. -BNY MELLON INCOME STOCK FUND	A	Dividend			Sold	04/09/18	J	A	
173. -BNY SMALL CAP MULTI STRATEGY	A	Dividend			Sold	11/30/18	K	A	
174. -BNY MELLON MUNICIPAL OPPORT FUND	A	Dividend	K	T	Buy	11/30/18	K		
175. -BNY MELLON NATL INTERMED MUNI BOND FUND	A	Dividend	L	T	Buy	11/30/18	L		
176. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T					
177. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	J	T	Sold (part)	11/30/18	J	A	
178. -DREYFUS DIVERSIFIED INTL	A	Dividend	K	T					
179. -DREYFUS DIVERSIFIED EMERG MKTS	A	Dividend	J	T					
180. -DREYUS GLOBAL REAL ESTATE	A	Dividend	J	T	Buy	12/31/18	J		
181. -DREYFUS MID CAP	A	Dividend			Sold	11/30/18	K	A	
182. -DREYFUS INTL SMALL CAP	A	Dividend			Sold	12/31/18	J	B	
183. -BNYMELLON NATIONAL SHORT TERM MUN FUND	A	Dividend	L	T					
184. -VAN ECK CM COMMODITY INDEX FUND	A	Dividend	J	T	Buy	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Jackson, Richard B.

Date of Report

11/07/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard B. Jackson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544