

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wolfson, Freda L.	2. Court or Organization United States District Court for the District of New Jersey	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III District Judge, Active, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Clarkson S. Fisher U.S. Courthouse 402 East State Street Trenton, New Jersey 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Douglas Residential College Dean's Advisory Board, Member (non-compensated)	Douglas Residential College
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Wolfson, Freda L.

Date of Report

05/08/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	State of New Jersey Judiciary - salary
2. 2016	Schwartz, Simon, Edelstein & Celson, LLC - Partnership payment
3. 2016	Martin, Kane & Kuper LLC - Referral fee from Certified Trial Attorney
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Jack and Sheryl Morris through Jags Leasing LLC	4/29/2016, 5/1/2016, 12/16/2016	New Jersey to Boca Raton, FL, Boca Raton FL to New Jersey	Personal vacation	Transportation
2. Jack and Sheryl Morris through JSM at Falcon LLC	1/21/2016, 1/31/2016	New Jersey to Boca Raton, FL	Personal vacation	Transportation
3. Jack and Sheryl Morris through JSM at Falcon LLC and JSM at Stelton LLC	2/11/2016 - 2/16/2016	New Jersey to Nassau, Bahamas and back to New Jersey	Personal vacation	Transportation, lodging and meals
4. Jack and Sheryl Morris through JSM at Stelton LLC	7/21/2016 - 7/25/2016, 9/23/2016 - 9/26/2016	Sag Harbor, New York	Personal Vacation	Transportation, lodging and meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting	Date of Report
Wolfson, Freda L.	05/08/2017

5. _____

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Wolfson, Freda L.

Date of Report

05/08/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Wolfson, Freda L.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America (various accounts)	A	Interest	K	T					
2. Charles Schwab & Co., Inc. Custodial IRA									
3. - Blackrock Strat Incm Potty Port Instl: BSIIX	A	Dividend	K	T	Buy	08/29/16	K		
4. - Goldman Sachs Strategic Incm Fd Inst: GSZIX	A	Dividend	K	T	Buy	08/29/16	K		
5. - iShares Russell Mid Cap: IWP	A	Dividend	J	T	Buy	08/29/16	J		
6. - iShares S&P 500 Growth: IVW	A	Dividend	L	T	Buy	08/29/16	L		
7. - iShares Tips Bond ETF: TIP	A	Dividend	J	T	Buy	08/29/16	J		
8. - Powershares Emerg. Mkts: PCY	A	Dividend	J	T	Buy	08/29/16	J		
9. - SPDR Barclays High Yield: JNK	A	Dividend	K	T	Buy	08/29/16	K		
10. - Vanguard FTSE Developed: VEA	A	Dividend	L	T	Buy	08/29/16	L		
11. - Vanguard High Dividend: VYM	B	Dividend	L	T	Buy	08/29/16	L		
12. - Vanguard Short-Term Bond: BSV	A	Dividend	K	T	Buy	08/29/16	K		
13. - Vanguard Small Cap: VBK	A	Dividend	J	T	Buy	08/29/16	J		
14. - Wisdomtree Emrg Mrkt HG: DEM	A	Dividend	K	T	Buy	08/29/16	K		
15. - Wisdomtree Midcap: DON	A	Dividend	J	T	Buy	08/29/16	J		
16. - Wisdomtree Smallcap: DES	A	Dividend	J	T	Buy	08/29/16	J		
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Wolfson, Freda L.

Date of Report

05/08/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Wolfson, Freda L.

Date of Report

05/08/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Freda L. Wolfson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544