

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TREADWELL, MARC T.	2. Court or Organization UNITED STATES DISTRICT COURT, MIDDLE DISTRICT OF GEORGIA	3. Date of Report 7/28/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address P. O. BOX 65 MACON, GA 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Board of Trustees	Mercer University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Mercer Law School - Salary	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-employed landscape architect (d/b/a WT Designs)
2. 2013	Self-employed real estate professional (d/b/a WT Development, LLC)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Medical Center of Central Georgia	1/11/2013 - 1/13/2013	Lake Oconee, GA	Conference (board member)	Gas, lodging, meals
2. Medical Center of Central Georgia	4/3/2013 - 4/5/2013	Hilton Head Island, SC	Conference (board member)	Gas, lodging, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Trust #2	Mortgage on rental property #1, Fripp Island, SC (Part VII, line 3)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Bank and Trust Company Accounts		None	K	T					
2. Wells Fargo Bank Account (X)		None	J	T					
3. Rental Property #1, Fripp Island, SC	E	Rent	N	W					
4. Lincoln National Life - Survivorship universal life policy		None	L	V					
5. Brokerage #1									
6. -Merrill Lynch Cash Management Account	A	Interest	K	T					
7. -Powershares VRDO Tax Free Fund	A	Dividend	M	T	Sold (part)	12/11/13	K		
8.					Sold (part)	12/26/13	K		
9. -SP500 Stepup Issuer BAC		None	K	T	Buy (add'l)	06/27/13	J		
10. -Apple Inc. (common)	A	Dividend	J	T	Buy	03/22/13	J		
11. Brokerage #2									
12. -Merrill Lynch Cash Management Account	A	Interest	J	T					
13. -American Express Company (common)	A	Dividend	K	T	Sold (part)	03/04/13	K		
14. -Ameriprise Financial Inc (common)	A	Dividend	K	T					
15. -Bristol-Myers Squibb Co (common)	A	Dividend	K	T	Sold (part)	03/04/13	J		
16. -General Electric (common)	A	Dividend	J	T					
17. -Home Depot Inc (common)	A	Dividend	K	T	Sold (part)	03/04/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,001 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Johnson and Johnson (common)	A	Dividend	K	T	Sold (part)	03/04/13	J		
19. -Kimberly Clark (common)	B	Dividend	L	T	Sold (part)	03/04/13	J		
20. -Zimmer Holdings Inc (common)	A	Dividend	J	T					
21. Brokerage #3									
22. -Merrill Lynch Cash Management Account	A	Dividend	J	T					
23. -Allianz NFJ Dividend Value Fd C1 P	A	Dividend	K	T	Buy (add'l)	01/29/13	J		
24.					Buy (add'l)	02/21/13	J		
25.					Buy (add'l)	03/06/13	J		
26. -Allianz NFJ International Value Fund	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
27.					Buy (add'l)	03/06/13	J		
28. -Ivey Asset Strategy Fund C1 I	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
29.					Buy (add'l)	03/06/13	J		
30. -Lord Abbet Intermediate Tax Free Fd C1 F	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
31.					Sold (part)	02/21/13	J	A	
32.					Buy (add'l)	03/06/13	J		
33.					Sold (part)	09/24/13	J		
34. -Mainstay Large Cap Growth Fund C1 I	A	Dividend	K	T	Buy (add'l)	01/29/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.					Buy (add'l)	03/06/13	J		
36.					Sold (part)	09/24/13	J	A	
37. -Mainstay Marketfield Fund C1 I	A	Dividend	J	T	Buy	09/24/13	J		
38. -MFS Value Fd C1 I	A	Dividend	K	T	Buy (add'l)	01/29/13	J		
39.					Buy (add'l)	02/21/13	J		
40.					Buy (add'l)	03/06/13	J		
41. -Oppenheimer Gold & Special Minerals Fd C1 Y	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
42.					Buy (add'l)	02/21/13	J		
43.					Buy (add'l)	03/06/13	J		
44.					Sold (part)	06/04/13	J		
45. -Oppenheimer Rising Dividends Fund C1 Y	A	Dividend	K	T	Buy (add'l)	01/29/13	J		
46.					Sold (part)	02/21/13	J	A	
47.					Buy (add'l)	03/06/13	J		
48. -Rydex/SGI Mid Cap Value Fund C1 I (Y)									
49. -T Rowe Price Summit Muni Intermediate Fd	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
50.					Sold (part)	02/21/13	J	A	
51.					Buy (add'l)	03/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold (part)	09/24/13	J		
53. -Nuveen High Yield Muni Bond Fd Cl I	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
54.					Buy (add'l)	03/06/13	J		
55.					Buy (add'l)	09/24/13	J		
56. -Guggenheim Mid Cap Value Fund Cl I	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
57.					Buy (add'l)	03/06/13	J		
58. -Permanent Portfolio Fund	A	Dividend	J	T	Buy (add'l)	01/29/13	J		
59.					Buy (add'l)	03/06/13	J		
60. -Blackrock Equity Dividend Fund Instl	A	Dividend	K	T	Buy (add'l)	01/29/13	J		
61.					Buy (add'l)	03/06/13	J		
62. -Blackrock Short-term Municipal Fund Instl	A	Dividend	K	T	Buy	09/24/13	K		
63. IRA #1	C	Dividend	L	T					
64. -Merrill Lynch cash holding account									
65. -Blackrock Equity Dividend Fund Instl					Sold (part)	01/08/13	J	A	
66. -Dreyfus Emerging Mkts Debt Local Currency Cl I					Sold	10/21/13	J	A	
67. -Dreyfus Appreciation Fd					Buy (add'l)	07/26/13	J		
68. -Eagle Small Cap Growth Fund Cl I					Sold	01/08/13	J	A	

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69. -First Eagle Gold Fd C1 I					Sold	05/24/13	J		
70. -Harbor International Fund Instl C1					Sold	01/08/13	J	A	
71. -Lazard Emerging Mkts Equity PtfI Instl Class									
72. -Mainstay Large Cap Growth Fund C1 I									
73. -MFS Research Bond Fund C1 I					Sold (part)	01/08/13	J	A	
74. -Neuberger Berman High Income Bond Fd C1 Inst									
75. -Oppenheimer Rising Dividends Fund C1 Y									
76. -TCW Total Return Bond Fd C1 I					Sold (part)	01/08/13	J	A	
77. -American Century Inflation Adj Bd Inv C1									
78. -Principal Preferred Securities Fund C1 P					Sold (part)	07/26/13	J	A	
79. -Cohen & Steers Realty Shares Fund C1 N									
80. -Clearbridge Small Cap Growth Fund C1 I					Buy	01/08/13	J		
81. -John Hancock Classic Value Fund C1 I					Buy	01/08/13	J		
82. -MFS Emerging Markets Debt Fd C1 I					Buy	10/21/13	J		
83. -The Oakmark Intl Fund					Buy	01/08/13	J		
84. IRA #2	B	Int./Div.	L	T					
85. -Smith Barney Bank Deposit Program cash account (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. -Morgan Stanley Cash/Bank Deposit Program (X)									
87. -Capital World Growth & Income Fd Cl A (Y)									
88. -American Cap Wrld Gr & Inc A (X)									
89. -Apple Inc (Common)					Buy	03/05/13	J		
90. -Wisdomtree Trust Emrg Mkt Eqt Fund					Buy	03/05/13	J		
91. 401(k) Retirement Plan	E	Dividend	N	T					
92. -American Funds - Growth Fund of America-R3									
93. -American Funds - Investment Company of America-R3									
94. -American Funds - Fundamental Investors-R3									
95. IRA #3	C	Int./Div.	L	T					
96. -Merrill Lynch cash holding account									
97. -Blackrock Equity Dividend Fund Instl					Sold (part)	01/08/13	J	A	
98. -Dreyfus Emerging Mkts Debt Local Currency Cl I					Sold	10/21/13	J	A	
99. -Dreyfus Appreciation Fd					Buy (add'l)	07/26/13	J		
100. -Eagle Small Cap Growth Fund Cl I					Sold	01/08/13	J	A	
101. -First Eagle Gold Fd Cl I					Sold	05/24/13	J		
102. -Harbor International Fund Instl Cl					Sold	01/08/13	J	A	

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103. -Lazard Emerging Mkts Equity PtfI Instl Class									
104. -Mainstay Large Cap Growth Fund C1 I									
105. -MFS Research Bond Fund C1 I					Sold (part)	01/08/13	J	A	
106. -Neuberger Berman High Income Bond Fd C1 Inst									
107. -Oppenheimer Rising Dividends Fund C1 Y									
108. -American Centruy Inflation Adj Bd Inv C1									
109. -Principal Preferred Securities Fund C1 I					Sold (part)	07/26/13	J	A	
110. -TCW Total Return Bond Fd C1 I					Sold (part)	01/08/13	J	A	
111. -Cohen & Steers Realty Shares Find C1 N									
112. -The Oakmark Intl Fund					Buy	01/08/13	J		
113.					Buy (add'l)	11/26/13	J		
114. -John Hancock Classic Value Fund C1 I					Buy	01/08/13	J		
115.					Buy (add'l)	05/24/13	J		
116. -Clearbridge Small Cap Growth Fund C1 I					Buy	01/08/13	J		
117. -MFS Emerging Markets Debt Fd C1 I					Buy	10/21/13	J		
118. 529 College Savings Plan		None							
119. -Blackrock 100% Equity Port					Sold	07/31/13	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Trust #1	E	Int/Div.	O	T					
121. -Federated Money Mkt Obligs Tr Trsy Obligs Instl Cl #68 FFS									
122. -BP PLC Spons ADR (common)					Sold	07/12/13	K	E	
123. -Exxon Mobil Corp (Common)									
124. -Praxair Inc (Common)									
125. -General Electric Co (Common)									
126. -Home Depot Inc (Common)					Sold (part)	07/12/13	M	F	
127. -Coca-Cola Co (Common)									
128. -Colgate Palmolive Co (Common)									
129. -Kimberly Clark Corp (Common)									
130. -Bristol Myers Squibb Co (Common)									
131. -Johnson & Johnson (Common)					Sold (part)	07/12/13	J	D	
132. -American Express Co (Common)									
133. -JP Morgan Chase & Co (Common)					Buy (add'l)	07/12/13	J		
134. -Wells Fargo & Co New (Common)					Buy (add'l)	07/12/13	J		
135. -Intel Corp (Common)									
136. -Microsoft Corp (Common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Verizon Communications (Common)									
138. -Forum Funds - Absolute Strategies Fd Instl Cl									
139. -Manning & Napier Funds - World Opptys Ser Cl A									
140. -Oppenheimer Funds - Developing Mkts Instl Fd Cl Y									
141. -PNC Fincl Svcs Group Inc (Common)									
142. -Apple Inc (Common)									
143. -Capital One Fincl Corp (Common)									
144. -International Business Machines Corp (Common)									
145. -Wasatch Long/Short Fund-Ins (Common)					Buy	07/12/13	K		
146. -BB&T Corporation (Common)					Buy	07/12/13	J		
147. -Blackrock Inc Cl A (Common)					Buy	07/12/13	J		
148. -ConocoPhilips (Common)					Buy	07/12/13	K		
149. -Darden Restaurants Inc (Common)					Buy	07/12/13	K		
150. -Williams Cos Inc. (Common)					Buy	07/12/13	J		
151. Trust #2	C	Int./Div.	N	T					
152. -Federated Money Mkt Obligs Tr Trsy Obligs Instl Cl #68 FFS									
153. -Exxon Mobil Corp (Common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -General Electric Co (Common)					Donated (part)				
155. -Home Depot Inc (Common)					Sold (part)	07/12/13	J	D	
156. -Abbott Labs (Common)									
157. -JP Morgan Chase & Co (Common)									
158. -Intel Corp (Common)					Donated (part)				
159. -Oppenheimer Funds-Developing Mkts Instl Fd Cl Y					Buy (add'l)	07/12/13	J		
160. -Note Rec. (Mortgage on rental prop #1-Part VI, Ln 1)									
161. -Wal Mart Stores Inc (Common)					Buy	07/12/13	J		
162.					Sold	11/07/13	J	A	
163. -Pepsico Inc (Common)					Buy	07/12/13	J		
164. -Google Inc Cl A (Common)					Buy	11/07/13	J		
165. -Abbvie Inc (Common)					Spinoff (from line 156)	01/02/13	J		
166.					Donated (part)				
167. Trust #3	B	Int./Div.	K	T					
168. -Cash - ML Bank Deposit Program									
169. -General Electric (Common)									
170. -Johnson and Johnson (Common)					Sold (part)	07/30/13	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	09/11/13	J	A	
172. -Wal-Mart Stores Inc (Common)					Sold (part)	05/22/13	J	D	
173. Trust #4	C	Int/Div.	M	T					
174. -General Electric (Common)									
175. -Home Depot Inc (Common)									
176. -Johnson and Johnson (Common)									
177. -MFS Growth Allocation Fund Cl C					Sold (part)	07/30/13	J	A	
178.					Sold	12/16/13	J	B	
179. -Wal-Mart Stores Inc (Common)					Sold (part)	12/16/13	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Part VII, Line 4: The value of the universal life policy in Column C(1) is the contract value as of 12/31/2013.

Part VII, Lines 85 & 86: Name change - "Smith Barney Bank Deposit Program cash account" on line 85 is now "Morgan Stanley Cash/Bank Deposit Program" on line 86.

Part VII, Lines 87 & 88: Name change - "Capital Work Growth & Income Fd Cl A" on line 87 is now "American Cap World Gr & Inc A" on line 88.

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TREADWELL, MARC T.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MARC T. TREADWELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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